

FOR LEGISLATIVE REVIEW

November 2014
REGIONAL CENTER
LOCAL ASSISTANCE ESTIMATE
for
FISCAL YEAR
2015-16
Governor's Budget



STATE OF CALIFORNIA
DEPARTMENT OF DEVELOPMENTAL SERVICES
JANUARY 9, 2015

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**Comparison of Enacted Budget to Governor's Budget
Fiscal Year 2014-15**

	A Enacted Budget	B Governor's Budget	C Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
1. Staffing	\$502,767,000	\$508,433,000	\$5,666,000
2. Federal Compliance	48,335,000	48,874,000	539,000
3. Projects	23,877,000	23,877,000	0
4. Agnews Ongoing Workload	2,946,000	2,946,000	0
5. Lanterman Development Center Closure	2,672,000	2,672,000	0
6. Operations Subtotal (Items 1 thru 5)	<u>\$580,597,000</u>	<u>\$586,802,000</u>	<u>\$6,205,000</u>
7. Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment (SPA) Administration Fee	1,703,000	1,678,000	-25,000
8. Total Operations (Items 6 thru 7)	<u>\$582,300,000 *</u>	<u>\$588,480,000</u>	<u>\$6,180,000</u>
B. Purchase of Services (POS)			
1. Community Care Facilities	\$1,019,445,000	\$1,042,484,000	\$23,039,000
2. Medical Facilities	29,563,000	20,682,000	-8,881,000
3. Day Programs	938,253,000	944,019,000	5,766,000
4. Habilitation Services	148,216,000	150,802,000	2,586,000
5. Transportation	250,846,000	267,232,000	16,386,000
6. Support Services	885,016,000	950,601,000	65,585,000
7. In-Home Respite	234,384,000	253,422,000	19,038,000
8. Out-of-Home Respite	44,615,000	40,772,000	-3,843,000
9. Health Care	86,704,000	95,975,000	9,271,000
10. Miscellaneous	439,724,000	463,525,000	23,801,000
11. POS Subtotal (Items 1 thru 10)	<u>\$4,076,766,000 *</u>	<u>\$4,229,514,000</u>	<u>\$152,748,000</u>
12. Quality Assurance Fees (Transfer from Department of Health Care Services (DHCS))	9,192,000	9,061,000	-131,000
13. Total POS (Items 11 thru 12)	<u>\$4,085,958,000</u>	<u>\$4,238,575,000</u>	<u>\$152,617,000</u>
C. Early Start/Part C: Other Agency Costs	\$19,450,000	\$19,450,000	\$0
D. Prevention Program	\$2,003,000	\$2,003,000	\$0
E. GRAND TOTAL	\$4,689,711,000	\$4,848,508,000	\$158,797,000

* Amount includes reappropriation from 2011-12 of \$13.0 million (\$12.9 million General Fund (GF)) with \$1.3 million (\$1.2 million GF) in OPS and \$11.7 million GF in POS.

**Comparison of Enacted Budget to Governor's Budget
Fiscal Year 2014-15**

	A Enacted Budget	B Governor's Budget	C Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$2,659,224,000 *	\$2,761,388,000	\$102,164,000
1. General Fund Match	1,736,847,000	1,776,896,000	40,049,000
2. General Fund - Other	922,377,000	984,492,000	62,115,000
B. Reimbursements	\$1,971,422,000	\$2,014,987,000	\$43,565,000
1. HCBS Waiver	1,314,003,000	1,336,818,000	22,815,000
2. HCBS Waiver Administration	6,494,000	8,781,000	2,287,000
3. Medicaid Administration	10,417,000	10,420,000	3,000
4. TCM	146,952,000	153,335,000	6,383,000
5. TCM Administration	4,411,000	4,321,000	-90,000
6. Title XX Block Grant	214,555,000	214,555,000	0
a. Social Services	137,088,000	137,088,000	0
b. Temporary Assistance for Needy Families	77,467,000	77,467,000	0
7. ICF-DD SPA	56,751,000	55,947,000	-804,000
8. Quality Assurance Fees	10,044,000	9,900,000	-144,000
9. 1915(i) SPA	177,948,000	186,603,000	8,655,000
10. Money Follows the Person	8,290,000	8,267,000	-23,000
11. Homeland Security Grant	411,000	411,000	0
12. Race to the Top	341,000	341,000	0
13. Early Periodic Screening Diagnosis and Treatment	20,805,000	25,288,000	4,483,000
C. Program Development Fund / Parental Fees	\$5,808,000	\$4,071,000	-\$1,737,000
D. Developmental Disabilities Services Account	\$150,000	\$150,000	\$0
E. Mental Health Services Fund	\$740,000	\$740,000	\$0
F. Federal Funds	\$52,367,000	\$67,172,000	\$14,805,000
1. Early Start/Part C Grant	51,654,000	66,459,000	14,805,000
2. Foster Grandparent Program	713,000	713,000	0
G. GRAND TOTAL	\$4,689,711,000	\$4,848,508,000	\$158,797,000

* Amount includes reappropriation from 2011-12 of \$13.0 million (\$12.9 million GF) with \$1.3 million (\$1.2 million GF) in OPS and \$11.7 million GF in POS.

**Comparison of Enacted Budget to Governor's Budget
Fiscal Year 2015-16**

	A Enacted Budget	B Governor's Budget	C Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
1. Staffing	\$502,767,000	\$532,262,000	\$29,495,000
2. Federal Compliance	48,335,000	48,999,000	664,000
3. Projects	23,877,000	24,171,000	294,000
4. Agnews Ongoing Workload	2,946,000	2,946,000	0
5. Lanterman Development Center Closure	2,672,000	2,576,000	-96,000
6. Operations Subtotal (Items 1 thru 5)	\$580,597,000	\$610,954,000	\$30,357,000
7. ICF-DD SPA Administration Fee	1,703,000	1,678,000	-25,000
8. AB 10 Minimum Wage Increase, Effective January 1, 2016	0	1,872,000	1,872,000
9. Total Operations (Items 6 thru 8)	\$582,300,000	\$614,504,000	\$32,204,000 *
B. Purchase of Services (POS)			
1. Community Care Facilities	\$1,019,445,000	\$1,080,433,000	\$60,988,000
2. Medical Facilities	29,563,000	21,112,000	-8,451,000
3. Day Programs	938,253,000	983,343,000	45,090,000
4. Habilitation Services	148,216,000	149,896,000	1,680,000
5. Transportation	250,846,000	280,675,000	29,829,000
6. Support Services	885,016,000	1,026,176,000	141,160,000
7. In-Home Respite	234,384,000	275,520,000	41,136,000
8. Out-of-Home Respite	44,615,000	41,584,000	-3,031,000
9. Health Care	86,704,000	102,771,000	16,067,000
10. Miscellaneous	439,724,000	449,729,000	10,005,000
11. POS Subtotal (Items 1 thru 10)	\$4,076,766,000	\$4,411,239,000	\$334,473,000 *
12. Quality Assurance Fees (Transfer from DHCS)	\$9,192,000	\$9,061,000	-\$131,000
13. AB 10 Minimum Wage Increase, Effective January 1, 2016	0	62,357,000	62,357,000
14. AB 1522 Employment: Paid Sick Days	0	25,324,000	25,324,000
15. Impacts from Other Departments, DHCS - Behavioral Health Treatment	0	-2,083,000	-2,083,000
16. Total POS (Items 11 thru 15)	\$4,085,958,000	\$4,505,898,000	\$419,940,000
C. Early Start/Part C: Other Agency Costs	\$19,450,000	\$19,252,000	-\$198,000
D. Prevention Program	\$2,003,000	\$2,003,000	\$0
E. GRAND TOTAL	\$4,689,711,000	\$5,141,657,000	\$451,946,000

*Amount reflects the reduction of one-time funding of \$13.0 million from the 2011-12 appropriation.

**Comparison of Enacted Budget to Governor's Budget
Fiscal Year 2015-16**

	A Enacted Budget	B Governor's Budget	C Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$2,659,224,000	\$2,991,911,000	\$332,687,000 *
1. General Fund Match	1,736,847,000	1,856,431,000	119,584,000
2. General Fund - Other	922,377,000	1,135,480,000	213,103,000
B. Reimbursements	\$1,971,422,000	\$2,092,900,000	\$121,478,000
1. HCBS Waiver	1,314,003,000	1,409,047,000	95,044,000
2. HCBS Waiver Administration	6,494,000	8,825,000	2,331,000
3. Medicaid Administration	10,417,000	10,420,000	3,000
4. TCM	146,952,000	153,578,000	6,626,000
5. TCM Administration	4,411,000	4,321,000	-90,000
6. Title XX Block Grant	214,555,000	214,555,000	0
a. Social Services	137,088,000	137,088,000	0
b. Temporary Assistance for Needy Families	77,467,000	77,467,000	0
7. ICF-DD SPA	56,751,000	55,947,000	-804,000
8. Quality Assurance Fees	10,044,000	9,900,000	-144,000
9. 1915(i) SPA	177,948,000	192,861,000	14,913,000
10. Money Follows the Person	8,290,000	6,618,000	-1,672,000
11. Homeland Security Grant	411,000	411,000	0
12. Race to the Top	341,000	143,000	-198,000
13. Early Periodic Screening Diagnosis and Treatment	20,805,000	26,274,000	5,469,000
C. Program Development Fund / Parental Fees	\$5,808,000	\$4,103,000	-\$1,705,000
D. Developmental Disabilities Services Account	\$150,000	\$150,000	\$0
E. Mental Health Services Fund	\$740,000	\$740,000	\$0
F. Federal Funds	\$52,367,000	\$51,853,000	-\$514,000
1. Early Start/Part C Grant	51,654,000	51,041,000	-613,000
2. Foster Grandparent Program	713,000	812,000	99,000
G. GRAND TOTAL	\$4,689,711,000	\$5,141,657,000	\$451,946,000

*Amount reflects the reduction of one-time funding of \$13.0 million from the 2011-12 appropriation.

Comparison Fiscal Year 2014-15 to Fiscal Year 2015-16

	A Fiscal Year 2014-15	B Fiscal Year 2015-16	C Incremental Increase (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
1. Staffing	\$508,433,000	\$532,262,000	\$23,829,000
2. Federal Compliance	48,874,000	48,999,000	125,000
3. Projects	23,877,000	24,171,000	294,000
4. Agnews Ongoing Workload	2,946,000	2,946,000	0
5. Lanterman Developmental Center Closure	2,672,000	2,576,000	-96,000
6. Operations Subtotal (Items 1 thru 5)	<u>\$586,802,000</u>	<u>\$610,954,000</u>	<u>\$24,152,000</u>
7. ICF-DD SPA Administration Fee	1,678,000	1,678,000	0
8. AB 10 Minimum Wage Increase, Effective January 1, 2016	0	1,872,000	1,872,000
9. Total Operations (Items 6 and 9)	<u>\$588,480,000</u> ¹	<u>\$614,504,000</u> ²	<u>\$26,024,000</u>
B. Purchase of Services (POS)			
1. Community Care Facilities	\$1,042,484,000	\$1,080,433,000	\$37,949,000
2. Medical Facilities	20,682,000	21,112,000	430,000
3. Day Programs	944,019,000	983,343,000	39,324,000
4. Habilitation Services	150,802,000	149,896,000	-906,000
5. Transportation	267,232,000	280,675,000	13,443,000
6. Support Services	950,601,000	1,026,176,000	75,575,000
7. In-Home Respite	253,422,000	275,520,000	22,098,000
8. Out-of-Home Respite	40,772,000	41,584,000	812,000
9. Health Care	95,975,000	102,771,000	6,796,000
10. Miscellaneous	463,525,000	449,729,000	-13,796,000
11. POS Subtotal (Items 1 thru 10)	<u>\$4,229,514,000</u>	<u>\$4,411,239,000</u>	<u>\$181,725,000</u>
12. QAF (Transfer from DHCS)	9,061,000	9,061,000	0
13. AB 10 Minimum Wage Increase, Effective January 1, 2016	0	62,357,000	62,357,000
14. AB 1522 Employment: Paid Sick Days	0	25,324,000	25,324,000
15. Impacts from Other Departments, DHCS - Behavioral Health Treatment	0	-2,083,000	-2,083,000
16. Total POS (Items 11 thru 15)	<u>\$4,238,575,000</u> ¹	<u>\$4,505,898,000</u> ²	<u>\$267,323,000</u>
C. Early Start/Part C: Other Agency Costs	\$19,450,000	\$19,252,000	-\$198,000
D. Prevention Program	\$2,003,000	\$2,003,000	\$0
F. GRAND TOTAL	\$4,848,508,000	\$5,141,657,000	\$293,149,000

1/ Amount includes reappropriation from 2011-12 of \$13.0 million (\$12.9 million GF) with \$1.3 million (\$1.2 million GF) in OPS and \$11.7 million GF in POS.

2/ Amount reflects the reduction of one-time funding of \$13.0 million from the 2011-12 appropriation.

Comparison Fiscal Year 2014-15 to Fiscal Year 2015-16

	A	B	C
	Fiscal Year 2014-15	Fiscal Year 2015-16	Incremental Increase (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$2,761,388,000 ¹	\$2,991,911,000 ²	\$230,523,000
1. General Fund Match	1,776,896,000	1,856,431,000	79,535,000
2. General Fund - Other	984,492,000	1,135,480,000	150,988,000
B. Reimbursements	\$2,014,987,000	\$2,092,900,000	\$77,913,000
1. HCBS Waiver	1,336,818,000	1,409,047,000	72,229,000
2. HCBS Waiver Administration	8,781,000	8,825,000	44,000
3. Medicaid Administration	10,420,000	10,420,000	0
4. TCM	153,335,000	153,578,000	243,000
5. TCM Administration	4,321,000	4,321,000	0
6. Title XX Block Grant	214,555,000	214,555,000	0
a. Social Services	137,088,000	137,088,000	0
b. Temporary Assistance for Needy Families	77,467,000	77,467,000	0
7. ICF-DD SPA	55,947,000	55,947,000	0
8. Quality Assurance Fees	9,900,000	9,900,000	0
9. 1915(i) SPA	186,603,000	192,861,000	6,258,000
10. Money Follows the Person	8,267,000	6,618,000	-1,649,000
11. Homeland Security Grant	411,000	411,000	0
12. Race to the Top	341,000	143,000	-198,000
13. Early Periodic Screening Diagnosis and Treatment	25,288,000	26,274,000	986,000
C. Program Development Fund / Parental Fees	\$4,071,000	\$4,103,000	\$32,000
D. Developmental Disabilities Services Account	\$150,000	\$150,000	\$0
E. Mental Health Services Fund	\$740,000	\$740,000	\$0
F. Federal Funds	\$67,172,000	\$51,853,000	-\$15,319,000
1. Early Start/Part C Grant	66,459,000	51,041,000	-15,418,000
2. Foster Grandparent Program	713,000	812,000	99,000
G. GRAND TOTAL	\$4,848,508,000	\$5,141,657,000	\$293,149,000

1/ Amount includes reappropriation from 2011-12 of \$13.0 million (\$12.9 million GF) with \$1.3 million (\$1.2 million GF) in OPS and \$11.7 million GF in POS.

2/ Amount reflects the reduction of one-time funding of \$13.0 million from the 2011-12 appropriation.

COMPARISON FISCAL YEAR 2014-15 TO FISCAL YEAR 2015-16

OPERATIONS

	A	B	C	D	E
	Enacted Budget	2015 Proposed Governor's Budget CY 2014-15	2014-15 Request (B - A)	2015 Proposed Governor's Budget BY 2015-16	2015-16 Request (D - A)
I. BUDGET ITEMS:					
1. Staffing	\$502,767,000	\$508,433,000	\$5,666,000	\$532,262,000	\$29,495,000
2. Federal Compliance	48,335,000	48,874,000	539,000	\$48,999,000	\$664,000
3. Projects	23,877,000	23,877,000	0	\$24,171,000	\$294,000
4. Agnews Ongoing Workload	2,946,000	2,946,000	0	\$2,946,000	\$0
5. Lanterman Development Center Closure	2,672,000	2,672,000	0	2,576,000	-\$96,000
6. Operations Subtotal (Items 1 thru 5)	\$580,597,000	\$586,802,000	\$6,205,000	\$610,954,000	\$30,357,000
7. ICF-DD SPA Administration Fee	1,703,000	1,678,000	-25,000	1,678,000	-25,000
8. AB 10 Minimum Wage Increase, Effective January 1, 2016	0	0	0	1,872,000	1,872,000
9. Total Operations (Items 6 and 8)	\$582,300,000	\$588,480,000	\$6,180,000	\$614,504,000	\$32,204,000
II. FUND SOURCES:					
A. General Fund Total	\$406,433,000	\$404,256,000	-\$2,177,000	\$430,578,000	\$24,145,000
1. General Fund Match	163,745,000	172,244,000	8,499,000	172,304,000	\$8,559,000
2. General Fund Other	242,688,000	232,012,000	-10,676,000	258,274,000	\$15,586,000
B. Reimbursements	\$174,264,000	\$182,621,000	\$8,357,000	\$182,224,000	\$7,960,000
1. HCBS Waiver Administration	6,494,000	8,781,000	2,287,000	8,825,000	2,331,000
2. Medicaid Administration	10,417,000	10,420,000	3,000	10,420,000	3,000
3. TCM	146,952,000	153,335,000	6,383,000	153,578,000	6,626,000
4. TCM Administration	4,411,000	4,321,000	-90,000	4,321,000	-90,000
5. QAF	852,000	839,000	-13,000	839,000	-13,000
6. Money Follows the Person	4,727,000	4,514,000	-213,000	3,830,000	-897,000
7. Homeland Security Grant	411,000	411,000	0	411,000	0
C. Developmental Disabilities Services Account	\$150,000	\$150,000	\$0	\$150,000	\$0
D. Mental Health Services Fund	\$740,000	\$740,000	\$0	\$740,000	\$0
E. Federal Funds	\$713,000	\$713,000	\$0	\$812,000	\$99,000
1. Foster Grandparent Program	713,000	713,000	0	812,000	99,000
F. Grand Total	\$582,300,000	\$588,480,000	\$6,180,000	\$614,504,000	\$32,204,000

COMPARISON FISCAL YEAR 2014-15 TO FISCAL YEAR 2015-16

PURCHASE OF SERVICES

	A	B	C	D	E
	Enacted Budget	2015 Proposed Governor's Budget CY 2014-15	2014-15 Request (B - A)	2015 Proposed Governor's Budget BY 2015-16	2015-16 Request (D - A)
I. BUDGET ITEMS:					
A. Purchase of Services					
1. Community Care Facilities	\$1,019,445,000	\$1,042,484,000	\$23,039,000	\$1,080,433,000	\$60,988,000
2. Medical Facilities	29,563,000	20,682,000	-8,881,000	\$21,112,000	-8,451,000
3. Day Programs	938,253,000	944,019,000	5,766,000	\$983,343,000	45,090,000
4. Habilitation Services	148,216,000	150,802,000	2,586,000	\$149,896,000	1,680,000
5. Transportation	250,846,000	267,232,000	16,386,000	\$280,675,000	29,829,000
6. Support Services	885,016,000	950,601,000	65,585,000	\$1,026,176,000	141,160,000
7. In-Home Respite	234,384,000	253,422,000	19,038,000	\$275,520,000	41,136,000
8. Out-of-Home Respite	44,615,000	40,772,000	-3,843,000	\$41,584,000	-3,031,000
9. Health Care	86,704,000	95,975,000	9,271,000	\$102,771,000	16,067,000
10. Miscellaneous	439,724,000	463,525,000	23,801,000	449,729,000	10,005,000
11. POS Subtotal (Items 1 thru 10)	\$4,076,766,000	\$4,229,514,000	\$152,748,000	\$4,411,239,000	\$334,473,000
12. Quality Assurance Fees (Transfer from DHCS)	\$9,192,000	\$9,061,000	-\$131,000	\$9,061,000	-\$131,000
13. AB 10 Minimum Wage Increase, Effective January 1, 2016	0	0	0	62,357,000	62,357,000
14. AB 1522 Employment: Paid Sick Days	0	0	0	25,324,000	25,324,000
15.					
Impacts from Other Departments, DHCS - Behavioral Health Treatment	0	0	0	-2,083,000	-2,083,000
16. Total POS (Items 11 thru 15)	\$4,085,958,000	\$4,238,575,000	\$152,617,000	\$4,505,898,000	\$419,940,000
II. FUND SOURCES:					
A. General Fund Total	\$2,250,788,000	\$2,355,129,000	\$104,341,000	\$2,559,330,000	\$308,542,000
1. General Fund Match	1,573,102,000	1,604,652,000	31,550,000	1,684,127,000	111,025,000
2. General Fund Other	677,686,000	750,477,000	72,791,000	875,203,000	197,517,000
B. Reimbursements	\$1,796,817,000	\$1,832,025,000	\$35,208,000	\$1,910,533,000	\$113,716,000
1. Home and Community-Based Services (HCBS) Waiver	1,314,003,000	1,336,818,000	22,815,000	1,409,047,000	95,044,000
2. Title XX Block Grant	214,555,000	214,555,000	0	214,555,000	0
a. Social Services	137,088,000	137,088,000	0	137,088,000	0
b. Temporary Assistance for Needy Families	77,467,000	77,467,000	0	77,467,000	0
3. ICF-DD SPA	56,751,000	55,947,000	-804,000	55,947,000	-804,000
4. Quality Assurance Fees	9,192,000	9,061,000	-131,000	9,061,000	-131,000
5. 1915(i) SPA	177,948,000	186,603,000	8,655,000	192,861,000	14,913,000
6. Money Follows the Person	3,563,000	3,753,000	190,000	2,788,000	-775,000
7. Early Periodic Screening Diagnosis and Treatment	20,805,000	25,288,000	4,483,000	26,274,000	5,469,000
C. Program Development Fund / Parental Fees	\$5,808,000	\$4,071,000	-\$1,737,000	\$4,103,000	-\$1,705,000
D. Federal Funds	\$32,545,000	\$47,350,000	\$14,805,000	\$31,932,000	-\$613,000
1. Early Start/Part C Grant	32,545,000	47,350,000	14,805,000	31,932,000	-613,000
E. Grand Total	\$4,085,958,000	\$4,238,575,000	\$152,617,000	\$4,505,898,000	\$419,940,000

COMPARISON FISCAL YEAR 2014-15 TO FISCAL YEAR 2015-16

EARLY START/OTHER AGENCY COSTS

	A	B	C	D	E
	Enacted Budget	2015 Proposed Governor's Budget CY 2014-15	2014-15 Request (B - A)	2015 Proposed Governor's Budget BY 2015-16	2015-16 Request (D - A)
I. BUDGET ITEMS:					
Other Agency Costs					
1. California Department of Education	\$14,941,000	\$14,941,000	\$0	\$14,743,000	-\$198,000
a. Local Education Agencies	14,245,000	14,245,000	\$0	14,245,000	0
b. Support	355,000	355,000	\$0	355,000	0
c. Race to the Top	341,000	341,000	\$0	143,000	-198,000
2. System Requirements	\$1,850,000	\$1,850,000	\$0	\$1,850,000	\$0
3. Family Resource Centers	\$2,659,000	\$2,659,000	\$0	\$2,659,000	\$0
4. Other Agency Costs (Items 1 thru 3)	<u>\$19,450,000</u>	<u>\$19,450,000</u>	<u>\$0</u>	<u>\$19,252,000</u>	<u>-\$198,000</u>
II. FUND SOURCES:					
A. Reimbursements					
1. Race to the Top	\$341,000	\$341,000	\$0	\$143,000	-\$198,000
B. Federal Funds					
1. Early Start/Part C Grant	19,109,000	19,109,000	\$0	19,109,000	\$0
C. Grand Total	<u>\$19,450,000</u>	<u>\$19,450,000</u>	<u>\$0</u>	<u>\$19,252,000</u>	<u>-\$198,000</u>

**Comparison of Enacted Budget to Governor's Budget
Fiscal Year 2014-15 Detailed Funding Summary**

	A	B	C	D	E	F	G
	Enacted Budget	Caseload Operations (OPS)	Caseload Purchase of Services (POS)	Restoration of Unrealized Savings from SB 946 (POS)	Update of Federal Labor Regulations (POS)	Governor's Budget (A+B+C+D+E)	Funding Request (F- A)
I. BUDGET ITEMS:							
A. Operations							
1. Staffing	502,767,000	5,666,000	0	0	0	508,433,000	\$5,666,000
2. Federal Compliance	48,335,000	539,000	0	0	0	48,874,000	\$539,000
3. Projects	23,877,000	0	0	0	0	23,877,000	\$0
4. Agnews Ongoing Workload	2,946,000	0	0	0	0	2,946,000	\$0
5. Lanterman Development Center Closure	2,672,000	0	0	0	0	2,672,000	\$0
6. Operations Subtotal (Items 1 thru 5)	\$580,597,000	\$6,205,000	\$0	\$0	\$0	\$586,802,000	\$6,205,000
7. ICF-DD SPA Administration Fee	1,703,000	-25,000	0	0	0	1,678,000	-\$25,000
8. Total Operations (Items 6 thru 7)	\$582,300,000	\$6,180,000	\$0	\$0	\$0	\$588,480,000	\$6,180,000
B. Purchase of Services (POS)							
1. Community Care Facilities	1,019,445,000	0	23,039,000	0	0	1,042,484,000	\$23,039,000
2. Medical Facilities	29,563,000	0	-8,881,000	0	0	20,682,000	-\$8,881,000
3. Day Programs	938,253,000	0	5,766,000	0	0	944,019,000	\$5,766,000
4. Habilitation Services	148,216,000	0	2,586,000	0	0	150,802,000	\$2,586,000
5. Transportation	250,846,000	0	16,386,000	0	0	267,232,000	\$16,386,000
6. Support Services	885,016,000	0	48,541,000	14,244,000	2,800,000	950,601,000	\$65,585,000
7. In-Home Respite	234,384,000	0	18,160,000	0	878,000	253,422,000	\$19,038,000
8. Out-of-Home Respite	44,615,000	0	-3,843,000	0	0	40,772,000	-\$3,843,000
9. Health Care	86,704,000	0	9,271,000	0	0	95,975,000	\$9,271,000
10. Miscellaneous	439,724,000	0	-6,253,000	30,054,000	0	463,525,000	\$23,801,000
11. POS Subtotal (Items 1 thru 10)	\$4,076,766,000 *	\$0	\$104,772,000	\$44,298,000	\$3,678,000	\$4,229,514,000	\$152,748,000
12. Quality Assurance Fees (Transfer from	\$9,192,000	\$0	-\$131,000	\$0	\$0	\$9,061,000	-\$131,000
13. Total POS (Items 11 thru 12)	\$4,085,958,000	\$0	\$104,641,000	\$44,298,000	\$3,678,000	\$4,238,575,000	\$152,617,000
C. Early Start/Part C: Other Agency Costs	\$19,450,000	\$0	\$0	\$0	\$0	\$19,450,000	\$0
D. Prevention Program	\$2,003,000	\$0	\$0	\$0	\$0	\$2,003,000	\$0
E. GRAND TOTAL*	\$4,689,711,000	\$6,180,000	\$104,641,000	\$44,298,000	\$3,678,000	\$4,848,508,000	\$158,797,000

* Amount includes reappropriation from 2011-12 of \$13.0 million (\$12.9 million GF) with \$1.3 million (\$1.2 million GF) in OPS and \$11.7 million GF in POS.

Comparison of Enacted Budget to Governor's Budget
Fiscal Year 2014-15 Detailed Funding Summary

	A	B	C	D	E	F	G
	Enacted Budget	Caseload (OPS)	Caseload (POS)	Restoration of Unrealized Savings from SB 946 (POS)	Update of Federal Labor Regulations (POS)	Governor's Budget (A+B+C+D+E)	Funding Request (F-A)
II. FUND SOURCES:							
A. General Fund Total	\$2,659,224,000	-\$2,177,000	\$58,131,000	\$44,298,000	\$1,912,000	\$2,761,388,000	\$102,164,000
1. General Fund Match	1,736,847,000	8,499,000	29,785,000	0	1,765,000	1,776,896,000	\$40,049,000
2. General Fund - Other	922,377,000	-10,676,000	28,346,000	44,298,000	147,000	984,492,000	\$62,115,000
B. Reimbursements	\$1,971,422,000	\$8,357,000	\$33,442,000	\$0	\$1,766,000	\$2,014,987,000	\$43,565,000
1. Home and Community-Based Services (HCBS) Waiver	1,314,003,000	0	21,522,000	0	1,293,000	1,336,818,000	\$22,815,000
2. HCBS Waiver Administration	6,494,000	2,287,000	0	0	0	8,781,000	\$2,287,000
3. Medicaid Administration	10,417,000	3,000	0	0	0	10,420,000	\$3,000
4. Targeted Case Management (TCM)	146,952,000	6,383,000	0	0	0	153,335,000	\$6,383,000
5. TCM Administration	4,411,000	-90,000	0	0	0	4,321,000	-\$90,000
6. Title XX Block Grant	214,555,000	0	0	0	0	214,555,000	\$0
a. Social Services	137,088,000	0	0	0	0	137,088,000	\$0
b. Temporary Assistance for Needy Families	77,467,000	0	0	0	0	77,467,000	\$0
7. ICF-DD SPA	56,751,000	0	-804,000	0	0	55,947,000	-\$804,000
8. Quality Assurance Fees	10,044,000	-13,000	-131,000	0	0	9,900,000	-\$144,000
9. 1915(i) SPA	177,948,000	0	8,182,000	0	473,000	186,603,000	\$8,655,000
10. Money Follows the Person	8,290,000	-213,000	190,000	0	0	8,267,000	-\$23,000
11. Homeland Security Grant	411,000	0	0	0	0	411,000	\$0
12. Race to the Top	341,000	0	0	0	0	341,000	\$0
13. Early Periodic Screening Diagnosis and Treatment	20,805,000	0	4,483,000	0	0	25,288,000	\$4,483,000
C. Program Development Fund/Parental Fees	\$5,808,000	\$0	-\$1,737,000	\$0	\$0	\$4,071,000	-\$1,737,000
D. Developmental Disabilities Services Account	\$150,000	\$0	\$0	\$0	\$0	\$150,000	\$0
E. Mental Health Services Fund	\$740,000	\$0	\$0	\$0	\$0	\$740,000	\$0
F. Federal Funds	\$52,367,000	\$0	\$14,805,000	\$0	\$0	\$67,172,000	\$14,805,000
1. Early Start/Part C Grant	51,654,000	0	14,805,000	0	0	66,459,000	\$14,805,000
2. Foster Grandparent Program	713,000	0	0	0	0	713,000	\$0
G. GRAND TOTAL	\$4,689,711,000*	\$6,180,000	\$104,641,000	\$44,298,000	\$3,678,000	\$4,848,508,000	\$158,797,000

* Amount includes reappropriation from 2011-12 of \$13.0 million (\$12.9 million GF) with \$1.3 million (\$1.2 million GF) in OPS and \$11.7 million GF in POS.

**Comparison of Governor's Budget to 2014 Final Enacted Budget
Fiscal Year 2015-16 Detailed Funding Summary**

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
	Enacted Budget	Caseload Operations (OPS)	Caseload Purchase of Services (POS)	Caseload Other Agency Costs	Restoration of Unrealized Savings from SB 946 (POS)	AB 10 Minimum Wage Increase Effective January 2016 (OPS)	AB 10 Minimum Wage Increase Effective January 2016 (POS)	Update of Federal Labor Regulations Full-Year Costs (POS)	Reduction of One-Time CPP Funding (OPS)	Reduction of One-Time CPP Funding (POS)	AB 1522 Sick Leave Bill (POS)	Impacts from Other Departments - Behavioral Health Treatment (POS)	Governor's Budget (A+B+C+D+E+F+G+H+I+J+K+L)	Funding Request Request (M- A)
I. BUDGET ITEMS:														
A. Operations														
1. Staffing	502,767,000	29,495,000	0	0	0	0	0	0	0	0	0	0	532,262,000	\$29,495,000
2. Federal Compliance	48,335,000	664,000	0	0	0	0	0	0	0	0	0	0	48,999,000	\$664,000
3. Projects	23,877,000	339,000	0	0	0	0	0	0	-45,000	0	0	0	24,171,000	\$294,000
4. Agnews Ongoing Workload	2,946,000	0	0	0	0	0	0	0	0	0	0	0	2,946,000	\$0
5. Lanterman Development Center Closure	2,672,000	-96,000	0	0	0	0	0	0	0	0	0	0	2,576,000	-\$96,000
6. Operations Subtotal (Items 1 thru 5)	\$580,597,000	\$30,402,000	\$0	\$0	\$0	\$0	\$0	\$0	-\$45,000	\$0	\$0	\$0	\$610,954,000	\$30,357,000
7. ICF-DD SPA Administration Fee	1,703,000	-25,000	0	0	0	0	0	0	0	0	0	0	1,678,000	-\$25,000
8. AB 10 Minimum Wage Increase, Effective January 1, 2016	0	0	0	0	0	1,872,000	0	0	0	0	0	0	1,872,000	\$1,872,000
9. Total Operations (Items 6 thru 8)	\$582,300,000	\$30,377,000	\$0	\$0	\$0	\$1,872,000	\$0	\$0	-\$45,000	\$0	\$0	\$0	\$614,504,000	\$32,204,000
B. Purchase of Services (POS)														
1. Community Care Facilities	1,019,445,000	0	60,988,000	0	0	0	0	0	0	0	0	0	1,080,433,000	\$60,988,000
2. Medical Facilities	29,563,000	0	-8,451,000	0	0	0	0	0	0	0	0	0	21,112,000	-\$8,451,000
3. Day Programs	938,253,000	0	45,090,000	0	0	0	0	0	0	0	0	0	983,343,000	\$45,090,000
4. Habilitation Services	148,216,000	0	1,680,000	0	0	0	0	0	0	0	0	0	149,896,000	\$1,680,000
5. Transportation	250,846,000	0	29,829,000	0	0	0	0	0	0	0	0	0	280,675,000	\$29,829,000
6. Support Services	885,016,000	0	108,840,000	0	14,244,000	0	0	18,076,000	0	0	0	0	1,026,176,000	\$141,160,000
7. In-Home Respite	234,384,000	0	34,859,000	0	0	0	0	6,277,000	0	0	0	0	275,520,000	\$41,136,000
8. Out-of-Home Respite	44,615,000	0	-3,031,000	0	0	0	0	0	0	0	0	0	41,584,000	-\$3,031,000
9. Health Care	86,704,000	0	16,067,000	0	0	0	0	0	0	0	0	0	102,771,000	\$16,067,000
10. Miscellaneous	439,724,000	0	-7,046,000	0	30,054,000	0	0	0	0	-13,003,000	0	0	449,729,000	\$10,005,000
11. POS Subtotal (Items 1 thru 10)	\$4,076,766,000	\$0	\$278,825,000	\$0	\$44,298,000	\$0	\$0	\$24,353,000	\$0	-\$13,003,000	\$0	\$0	\$4,411,239,000	\$334,473,000
12. Quality Assurance Fees (Transfer from DHCS)	\$9,192,000	\$0	-\$131,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$9,061,000	-\$131,000
13. AB 10 Minimum Wage Increase, Effective January 1, 2016	\$0	\$0	\$0	\$0	\$0	\$0	\$62,357,000	\$0	\$0	\$0	\$0	\$0	\$62,357,000	\$62,357,000
14. AB 1522 Sick Leave Bill	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$25,324,000	\$0	\$25,324,000	\$25,324,000
15. Impacts from Other Departments, Behavioral Health Treatment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	-\$2,083,000	-\$2,083,000	-\$2,083,000
16. Total POS (Items 11 thru 15)	\$4,085,958,000	\$0	\$278,694,000	\$0	\$44,298,000	\$0	\$62,357,000	\$24,353,000	\$0	-\$13,003,000	\$25,324,000	-\$2,083,000	\$4,505,898,000	\$419,940,000
C. Early Start/Part C: Other Agency Costs	\$19,450,000	\$0	\$0	-\$198,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$19,252,000	-\$198,000
D. Prevention Program	\$2,003,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,003,000	\$0
E. GRAND TOTAL	\$4,689,711,000	\$30,377,000	\$278,694,000	-\$198,000	\$44,298,000	\$1,872,000	\$62,357,000	\$24,353,000	-\$45,000	-\$13,003,000	\$25,324,000	-\$2,083,000	\$5,141,657,000	\$451,946,000

**Comparison of Governor's Budget to 2014 Final Enacted Budget
Fiscal Year 2015-16 Detailed Funding Summary**

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
	Enacted Budget	Caseload (OPS)	Caseload (POS)	Caseload Other Agency Costs	Restoration of Unrealized Savings from SB 946 (POS)	AB 10 Minimum Wage Increase Effective January 2016 (OPS)	AB 10 Minimum Wage Increase Effective January 2016 (POS)	Update of Federal Labor Regulations Full-Year Costs (POS)	Reduction of One-Time CPP Funding (OPS)	Reduction of One-Time CPP Funding (POS)	AB 1522 Sick Leave Bill (POS)	Impacts from Other Departments - Behavioral Health Treatment (POS)	Governor's Budget (A+B+C+D+E+F+G+H+I+JK+L)	Funding Request (M-A)
II. FUND SOURCES:														
A. General Fund Total	\$2,659,224,000	\$22,580,000	\$213,974,000	\$0	\$44,298,000	\$1,610,000	\$35,042,000	\$13,098,000	-\$45,000	-\$13,003,000	\$16,174,000	-\$1,041,000	\$2,991,911,000	\$332,687,000
1. General Fund Match	1,736,847,000	8,297,000	64,347,000	0	0	262,000	27,314,000	11,255,000	0	0	9,150,000	-1,041,000	1,856,431,000	119,584,000
2. General Fund - Other	922,377,000	14,283,000	149,627,000	0	44,298,000	1,348,000	7,728,000	1,843,000	-45,000	-13,003,000	7,024,000	0	1,135,480,000	213,103,000
B. Reimbursements	\$1,971,422,000	\$7,698,000	\$67,038,000	-\$198,000	\$0	\$262,000	\$27,315,000	\$11,255,000	\$0	\$0	\$9,150,000	-\$1,042,000	\$2,092,900,000	\$121,478,000
1. Home and Community-Based Services (HCBS) Waiver	1,314,003,000	0	58,327,000	0	0	0	22,034,000	7,552,000	0	0	8,048,000	-917,000	1,409,047,000	95,044,000
2. HCBS Waiver Administration	6,494,000	2,331,000	0	0	0	0	0	0	0	0	0	0	8,825,000	2,331,000
3. Medicaid Administration	10,417,000	3,000	0	0	0	0	0	0	0	0	0	0	10,420,000	3,000
4. Targeted Case Management	146,952,000	6,364,000	0	0	0	262,000	0	0	0	0	0	0	153,578,000	6,626,000
5. TCM Administration	4,411,000	-90,000	0	0	0	0	0	0	0	0	0	0	4,321,000	-90,000
6. Title XX Block Grant	214,555,000	0	0	0	0	0	0	0	0	0	0	0	214,555,000	0
a. Social Services	137,088,000	0	0	0	0	0	0	0	0	0	0	0	137,088,000	0
Temporary Assistance for													77,467,000	
b. Needy Families	77,467,000	0	0	0	0	0	0	0	0	0	0	0	77,467,000	0
7. ICF-DD SPA	56,751,000	0	-804,000	0	0	0	0	0	0	0	0	0	55,947,000	-804,000
8. Quality Assurance Fees	10,044,000	-13,000	-131,000	0	0	0	0	0	0	0	0	0	9,900,000	-144,000
9. 1915(i) SPA	177,948,000	0	4,952,000	0	0	0	5,281,000	3,703,000	0	0	1,102,000	-125,000	192,861,000	14,913,000
10. Money Follows the Person	8,290,000	-897,000	-775,000	0	0	0	0	0	0	0	0	0	6,618,000	-1,672,000
11. Homeland Security Grant	411,000	0	0	0	0	0	0	0	0	0	0	0	411,000	0
12. Race to the Top	341,000	0	0	-198,000	0	0	0	0	0	0	0	0	143,000	-198,000
13. Early Periodic Screening Diagnosis and Treatment	20,805,000	0	5,469,000	0	0	0	0	0	0	0	0	0	26,274,000	5,469,000
C. Program Development Fund/Parental Fees	\$5,808,000	\$0	-\$1,705,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$4,103,000	-\$1,705,000
D. Developmental Disabilities Services Account	\$150,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$150,000	\$0
E. Mental Health Services Fund	\$740,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$740,000	\$0
F. Federal Funds	\$52,367,000	\$99,000	-\$613,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$51,853,000	-\$514,000
1. Early Start/Part C Grant	51,654,000	0	-613,000	0	0	0	0	0	0	0	0	0	51,041,000	-\$613,000
2. Foster Grandparent Program	713,000	99,000	0	0	0	0	0	0	0	0	0	0	812,000	\$99,000
G. GRAND TOTAL	\$4,689,711,000	\$30,377,000	\$278,694,000	-\$198,000	\$44,298,000	\$1,872,000	\$62,357,000	\$24,353,000	-\$45,000	-\$13,003,000	\$25,324,000	-\$2,083,000	\$5,141,657,000	\$451,946,000

* Amount includes the reduction of one-time funding from the 2011-12 appropriation of \$13.0 million.

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SECTION B: EXECUTIVE HIGHLIGHTS B-1 to B-17

Executive Highlights

I. FISCAL YEAR 2014-15 COSTS AND FUND SOURCES

A. Overview

Funding for the regional centers in the current year is estimated to increase by \$158.8 Million (\$102.2 Million General Fund (GF)), compared to the Enacted Budget.

Fiscal Year 2014-15 Costs and Fund Sources <i>(in thousands)</i>			
	Enacted Budget	Updated 2014-15	Request
Total Costs	\$4,689,711	\$4,848,508	\$158,797
Operations	582,300	588,480	6,180
Purchase of Services	4,085,958	4,238,575	152,617
Early Start - Other Agency Costs	19,450	19,450	0
Prevention Program	2,003	2,003	0
Fund Sources	\$4,689,711	\$4,848,508	\$158,797
General Fund (GF)	2,659,224	2,761,388	102,164
<i>GF Match</i>	(1,736,847)	(1,776,896)	(40,049)
<i>GF Other</i>	(922,377)	(984,492)	(62,115)
Reimbursements	1,971,422	2,014,987	43,565
Program Development Fund	5,808	4,071	-1,737
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	52,367	67,172	14,805

The increase in costs and fund sources are composed of the following:

1. Caseload : \$110.8 Million increase (\$56.0 Million GF increase) as follows:
 - Operations (OPS): \$6.2 Million increase (-\$2.1 Million GF decrease) to reflect updated caseload, expenditure data and with increased reimbursements for Home and Community-Based Services (HCBS) Waiver Administration and Targeted Case Management (TCM); and
 - Purchase of Services (POS): \$104.6 Million increase (\$58.1 Million GF increase) to reflect updated caseload and expenditure data. (See page B-3 and B-4 for more detailed explanation of increase.);

2. POS: \$3.7 Million increase (\$1.9 Million GF increase) in to reflect an update of costs related to implementation of Federal Labor Regulations regarding overtime based upon updated expenditure data. While the Governor's Budget includes funding to implement Federal Labor Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing; and
3. POS: \$44.3 Million GF increase to reflect restoration of funding as a result of unrealized savings from Senate Bill (SB) 946, Chapter 650, Statutes of 2011 – health coverage, pervasive developmental disorder or autism. SB 946 requires health care insurers to provide coverage for behavioral health treatment for pervasive developmental disorder or autism.

B. Population

By January 31, 2015, the 2014-15 community population is estimated to increase by 1,397 consumers over the Enacted Budget population estimate of 277,196 to 278,593 consumers. There is no change from the Enacted Budget for the estimated population related to the restoration of Early Start eligibility criteria on January 1, 2015.

	Fiscal Year 2014-15		
	Enacted Budget	Updated 2014-15	Difference
<u>CASELOAD</u>			
Active (Age 3 & Older)	243,414	243,649	235
Total Early Start (Birth through 36 Months)	33,782	34,944	1,162
<i>a. Early Start</i>	31,282	32,444	1,162
<i>b. Early Start Restoration of Eligibility Criteria, January 1, 2015</i>	2,500	2,500	0
Total Community Caseload	277,196	278,593	1,397

C. Total Regional Center Costs: \$158.8 Million increase (\$102.2 Million GF increase)

1. Updated Costs for Regional Center OPS:

\$6.2 Million increase (-\$2.1 Million GF decrease) to reflect updated caseload as follows:

	Fiscal Year 2014-15		
	Enacted Budget	Updated 2014-15	Request
	(in thousands)		
<u>Operations Total</u>			
Core Staffing	\$502,767	\$508,433	\$5,666
Federal Compliance	48,335	48,874	539
Agnews Ongoing Workload	2,946	2,946	0
Lanterman Developmental Center Closure	2,672	2,672	0
Projects	23,877	23,877	0
Intermediate Care Facility-Developmentally Disabled (ICF-DD) Administration Fee	1,703	1,678	-25
Total Operations	\$582,300	\$588,480	\$6,180

- a) Core Staffing: Increase of \$5.7 Million (-\$3.3 Million GF decrease) to reflect updated caseload, expenditure data and increased reimbursements for HCBS Waiver Administration and TCM;
 - b) Federal Compliance: Increase of \$0.5 Million (\$0.2 Million GF increase) to reflect additional Client Program Coordinator positions to meet the HCBS Waiver requirement for case management coverage as a result of updated HCBS Waiver caseload;
 - c) Agnew's Ongoing Workload: Funds shift to reflect \$0.2 Million GF increase to reflect decreased reimbursements for Medicaid Administration; and
 - d) Lanterman Developmental Center Closure: Funds shift to reflect \$0.8 Million GF increase to reflect decreased reimbursements for Money Follows the Person.
2. Updated Costs for Regional Center POS: \$152.6 Million increase (\$104.3 Million GF increase) to reflect updated caseload, utilization change and growth; an update of the fiscal impact of change in Federal Labor Regulations related to overtime; and unrealized savings from SB 946 as follows:
- a) Caseload, Utilization Change and Growth: This estimate reflects updated caseload and expenditure data trends through June 2014, resulting in an increase of \$104.6 Million (\$58.1 Million GF increase) as follows:

Fiscal Year 2014-15				
Purchase of Services				
Caseload (Utilization and Growth)				
<i>(in thousands)</i>				
	Enacted Budget	Updated 2014-15	Change over Enacted Budget	Percent Change
Community Care Facilities	\$1,019,445	\$1,042,484	\$23,039	2.30%
Medical Facilities	29,563	20,682	-8,881	-30.00%
Day Programs	938,253	944,019	5,766	0.60%
Habilitation	148,216	150,802	2,586	1.70%
Transportation	250,846	267,232	16,386	6.50%
Support Services	885,016	933,557	48,541	5.50%
In-Home Respite	234,384	252,544	18,160	7.70%
Out of Home Respite	44,615	40,772	-3,843	-8.60%
Health Care	86,704	95,975	9,271	10.70%
Miscellaneous	439,724	433,471	-6,253	-1.40%
Quality Assurance Fees (QAF)	9,192	9,061	-131	-1.40%
TOTAL	\$4,085,958	\$4,190,599	\$104,641	2.60%

The Department is closely examining expenditures to identify specific cost drivers that exceed caseload growth. Overall explanations for the following cost increases in the following service categories:

- Community Care Facilities (CCFs) – The increased costs for CCFs reflects increased utilization of Specialized Adult Residential Facilities; and
 - Support Services – The increased costs for Support Services reflects increased utilization and expenditures of Supported Living Services.
- b) \$3.7 Million increase (\$1.9 Million increase GF) to reflect an update of costs related to implementation of Federal Labor Regulations regarding overtime based upon updated data. While the Governor's Budget includes funding to implement Federal Labor Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing;
- c) \$44.3 Million increase GF to reflect unrealized savings from SB 946, health coverage – pervasive developmental disorder or autism. The 2012 May Revision included estimated GF savings of \$80 Million for implementation of SB 946. Since implementation, the Department has realized savings of \$35.7 Million which is reflected in the trends used to develop the November 2014 Estimate.

D. Fund Sources

1. General Fund: \$102.2 Million Increase: This increase reflects the following:
 - a) Caseload: \$56.0 Million Increase as follows:
 - OPS: -\$2.1 Million decrease to reflect updated caseload, expenditure data and increased reimbursement for HCBS Waiver Administration and TCM, and fund shift to reflect an increase in GF as a result of decreased reimbursements for Medicaid Administration and Money Follows the Person; and
 - POS: \$58.1 increase to reflect updated caseload utilization, expenditure data and HCBS Waiver enrollment data.
 - c) Federal Labor Overtime Regulations (POS): \$1.9 Million increase to reflect updated expenditures. While the Governor's Budget includes funding to implement Federal Labor Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing;
 - d) SB 946 (POS): \$44.3 Million increase to reflect restoration of funding as a result of unrealized savings from SB 946 as described above.

2. Reimbursements: \$43.5 Million Increase: This increase reflects the following changes:

Fiscal Year 2014-15			
Reimbursements			
<i>(in thousands)</i>			
	Enacted Budget	Updated 2014-15	Request
Home and Community-Based Services (HCBS) Waiver	\$1,314,003	\$1,336,818	\$22,815
HCBS Waiver Administration	6,494	8,781	2,287
Medicaid Administration	10,417	10,420	3
Targeted Case Management (TCM)	146,952	153,335	6,383
TCM Administration	4,411	4,321	-90
Title XX Block Grant	214,555	214,555	0
(1) Social Services	(137,088)	(137,088)	0
(2) Temporary Assistance for Needy Families	(77,467)	(77,467)	0
ICF-DD	56,751	55,947	-804
QAF	10,044	9,900	-144
1915(i) State Plan Amendment (SPA)	177,948	186,603	8,655
Money Follows the Person	8,290	8,267	-23
Homeland Security Grant	411	411	0
Race to the Top	341	341	0
Early Periodic Screening Diagnostic and Treatment (EPSDT)	20,805	25,288	4,483
TOTAL	\$1,971,422	\$2,014,987	\$43,565

The major changes to reimbursements are as follows:

- a) HCBS Waiver (POS): \$22.8 Million increase to reflect the following:
- \$21.5 Million increase to reflect additional Federal Funds Participation (FFP) from updated enrollments and services costs for consumers under the HCBS Waiver; and
 - \$1.3 million increase to reflect an update of Federal Labor Regulations regarding overtime. While the Governor's Budget includes funding to implement Federal Labor Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing.
- b) HCBS Waiver Administration (OPS): \$2.3 Million increase to reflect updated regional center expenditures;
- c) TCM (OPS): \$6.4 Million increase to reflect updated data on billable units;

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- d) TCM Administration (OPS): -\$0.1 Million decrease to reflect the most current expenditure data;
 - e) ICF-DD State Plan Amendment (SPA) (POS): -\$0.8 Million decrease reflects updated regional center POS expenditures for adult day treatment and transportation services;
 - f) Quality Assurance Fees (POS): -\$0.1 Million decrease to reflect updated regional center POS expenditures for adult day treatment and transportation services;
 - g) 1915(i) SPA (POS): \$8.6 Million increase to reflect the following:
 - \$8.1 Million increase reflects updated regional center POS expenditures for consumers under the 1915(i) SPA; and
 - \$0.5 Million increase to reflect an update of Federal Labor Regulations regarding overtime. While the Governor's Budget includes funding to implement Federal Labor Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing; and
 - h) EPSDT (POS): \$4.4 Million increase to reflect updated regional center POS expenditures for consumers eligible for the EPSDT benefit.
3. Program Development Fund (POS): -\$1.7 Million decrease to reflect \$0.9 million in one-time recoveries for past due fees from 2011-12 and 2012-13 already budgeted for in 2013-14; and updated population and payment information.
 4. Federal Funds, Early Start Part C (POS): One-time \$14.8 Million increase to expend available carryover funds in the 2013-14 Part C grant.

II. FISCAL YEAR 2015-16 COSTS AND FUND SOURCES**A. Overview**

Funding for the regional centers in the budget year is estimated to increase by \$452.0 Million (\$332.7 Million GF increase), compared to the Enacted Budget.

Fiscal Year 2015-16 Costs and Fund Sources <i>(in thousands)</i>			
	Enacted Budget	2015-16	Request
Total Costs	\$4,689,711	\$5,141,657	\$451,946
Operations	582,300	614,504	32,204
Purchase of Services	4,085,958	4,505,898	419,940
Early Start - Other Agency Costs	19,450	19,252	-198
Prevention Program	2,003	2,003	0
Fund Sources	\$4,689,711	\$5,141,657	\$451,946
General Fund (GF)	2,659,224	2,991,911	332,687
<i>GF Match</i>	(1,736,847)	(1,856,431)	(119,584)
<i>GF Other</i>	(922,377)	(1,135,480)	(213,103)
Reimbursements	1,971,422	2,092,900	121,478
Program Development Fund	5,808	4,103	-1,705
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	52,367	51,853	-514

The increase in costs and fund sources are composed of the following:

1. **Caseload:** \$308.8 Million increase (\$236.5 Million GF increase) as follows:
 - a) OPS: \$30.3 Million increase (\$22.5 Million GF increase) to reflect updated caseload and expenditure data;
 - b) POS: \$278.7 Million increase (\$214.0 Million GF increase) to reflect updated caseload and expenditure data; and
 - c) Other Agency Costs: -\$0.2 Million (\$0.0 GF) decrease to reflect updated grant amount for Race to the Top
2. POS: -\$13.0 Million decrease to reflect reduction of one-time funding from the 2011-12 appropriation for Community Placement Plan (CPP).

3. POS: \$24.4 Million increase (\$13.1 Million GF increase) to reflect the full-year impact of the change in the Federal overtime regulations. While the Governor's Budget includes funding to implement Federal Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing;
4. POS: \$44.3 Million GF increase to reflect restoration of funding as a result of unrealized savings from SB 946 – health coverage, pervasive developmental disorder or autism.
5. Assembly Bill (AB) 10, Chapter 351, Statutes of 2013 which will increase the minimum wage from \$9.00 per hour to \$10.00 per hour effective January 1, 2016.
 - a) OPS: \$1.9 Million increase (\$1.6 Million GF increase) to adjust the budgeted salary for Account Clerks and Secretary I positions which currently are budgeted at salary levels that are below \$10.00 per hour; and
 - b) POS: \$62.3 Million increase (\$35.0 Million GF increase).
6. POS: \$25.3 Million increase (\$16.2 Million GF increase) to reflect AB 1522, Chapter 317, Statutes of 2014 which enacts the Healthy Workplace, Healthy Families Act of 2014. Pursuant to AB 1522, after July 1, 2015, an employee who works in California for 30 days or more in a calendar year, is entitled to paid sick days that will accrue at a rate of no less than one hour for every 30 hours worked; and
7. POS: -\$2.0 Million decrease (-\$1.0 Million GF decrease) to reflect the implementation of Behavioral Health Treatment (BHT) services by the Department of Health Care Services (DHCS) per Senate Bill (SB) 870, Chapter 40, Statutes of 2014, which directs the DHCS to implement BHT services as a Medi-Cal benefit for individuals under the age of 21 with an Autism Spectrum Disorder diagnosis if required by federal law. This estimate reflects a reduction in regional center POS expenditures for new caseload of 292 consumers that would receive BHT services through the DHCS as a Medi-Cal benefit beginning in 2015-16.

B. Population

The 2015-16 community population as of January 31, 2016, is estimated to increase by 11,121 consumers over the Enacted Budget population estimate from 277,196 to 288,317 consumers.

	Fiscal Year 2015-16		
	Enacted Budget	2015-16	Request
<u>CASELOAD</u>			
Active (Age 3 & Older)	243,414	252,004	8,590
Early Start (Birth through 2 Years)	33,782	36,313	2,531
a. <i>Early Start</i>	31,282	32,759	1,477
b. <i>Early Start Restoration of Eligibility Criteria, January 1, 2015</i>	2,500	3,554	1,054
Total Community Caseload	277,196	288,317	11,121

C. Total Regional Center Costs: \$452.0 Million increase (\$332.7 Million GF increase):

- OPS to Reflect Budget Year Costs: \$32.2 Million increase (\$24.1 Million GF increase) to reflect updated caseload as follows:**

	Fiscal Year 2015-16		
	Enacted Budget	2015-16	Request
-	(in thousands)		
<u>Operations Total</u>			
Core Staffing	\$502,767	\$532,262	\$29,495
Federal Compliance	48,335	48,999	664
Agnews Ongoing Workload	2,946	2,946	0
Lanterman Developmental Center Closure Projects	2,672	2,576	-96
ICF-DD Administration Fee	1,703	1,678	-25
AB 10 Minimum Wage Increase, Effective January 1, 2016	0	1,872	1,872
Total Operations	\$582,300	\$614,504	\$32,204

The major changes in costs for OPS include:

- Caseload - \$30.3 Million increase (\$22.5 Million GF increase)

- Core Staffing: Increase of \$29.5 Million (\$20.0 GF increase) to reflect updated caseload and expenditure data;
 - Agnews Ongoing Workload: Fund shift to reflect \$0.2 Million GF increase as a result of decreased reimbursements from Medicaid Administration;
 - Lanterman Developmental Center Closure: Decrease of -\$0.1 Million (\$1.9 Million GF increase) as follows:
 - -\$0.1 Million decrease (-\$0.1 Million GF decrease) to reflect a reduction in staffing consistent with the closure of Lanterman Developmental Center (LDC) on December 31, 2014; and
 - \$2.0 Million GF increase to correct the overstatement of FFP for Money Follows the Person.
 - Federal Compliance: Increase of \$0.6 Million (\$0.3 Million GF increase) to reflect additional Client Program Coordinator positions to meet the HCBS Waiver requirement for case management coverage as a result of updated HCBS Waiver caseload;
 - Projects: Increase of \$0.3 Million (\$0.1 Million GF increase) to reflect the transition of the Foster Grandparent/Senior Companion program from LDC to the Community Services Program.
- b) AB 10: \$1.9 Million increase (\$1.6 Million GF increase) to reflect minimum wage increase from \$9.00 per hour to \$10.00 per hour, effective January 1, 2016. Impacted positions in Core Staffing that are budgeted at salary levels below \$10.00 per hour are Account Clerks and Secretary I positions.

2. POS to Reflect Budget Year Costs: \$420.0 Million increase (\$308.6 Million GF increase) to reflect the following:

a) Caseload, Utilization and Growth: \$278.7 Million increase (\$214.0 Million GF increase) to reflect updated caseload and expenditure data:

Fiscal Year 2015-16				
Purchase of Services				
Caseload (Utilization and Growth)				
<i>(in thousands)</i>				
	Enacted Budget	2015-16	Change over Enacted Budget	Percent Change
Community Care Facilities	\$1,019,445	\$1,080,433	\$60,988	6.00%
Medical Facilities	29,563	21,112	-8,451	-28.60%
Day Programs	938,253	983,343	45,090	4.80%
Habilitation	148,216	149,896	1,680	1.10%
Transportation	250,846	280,675	29,829	11.90%
Support Services	885,016	993,856	108,840	12.30%
In-Home Respite	234,384	269,243	34,859	14.90%
Out of Home Respite	44,615	41,584	-3,031	-6.80%
Health Care	86,704	102,771	16,067	18.50%
Miscellaneous	439,724	432,678	-7,046	-1.60%
QAF	9,192	9,061	-131	-1.40%
TOTAL	\$4,085,958	\$4,364,652	\$278,694	6.80%

This estimate assumes the same level of caseload expenditures for 2014-15, plus additional caseload growth in 2015-16 and updated expenditures for CPP as follows:

Fiscal Year 2015-16	
Purchase of Services	
Caseload (Utilization and Growth)	
<i>(in thousands)</i>	
	2015-16
2014-15 Estimated Caseload	\$104,641
Additional Caseload Growth in 2015-16	175,932
Reduction in CPP	-1,045
Miscellaneous Adjustments	-834
TOTAL	\$278,694

Additional adjustments to POS include:

- b) -\$13.0 Million GF decrease to reflect the reduction of one-time funding from the reappropriation of funds from 2011-12 for the CPP;
 - c) \$24.4 Million increase (\$13.1 Million increase GF) to reflect the full-year costs of implementing Federal overtime regulations. While the Governor's Budget includes funding to implement Federal Labor Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing;
 - d) \$44.3 Million increase GF to reflect unrealized savings from SB 946, health coverage – pervasive developmental disorder or autism. The 2012 May Revision included estimated GF savings of \$80 Million for implementation of SB 946. Since implementation, the Department has realized savings of \$35.7 Million which is reflected in the trends used to develop the November 2014 Estimate.
 - e) \$62.3 Million increase (\$35.0 Million GF increase) to reflect the impact of AB 10, which increases the minimum wage from \$9.00 to \$10.00 per hour, effective January 1, 2016.
 - f) \$25.3 Million increase (\$16.2 Million GF increase) to reflect AB 1522, which enacts the Healthy Workplace, Healthy Families Act of 2014. Pursuant to AB 1522, after July 1, 2015, an employee who works in California for 30 days or more in a calendar year, is entitled to paid sick days that will accrue at a rate of no less than one hour for every 30 hours worked; and
 - g) -\$2.0 Million decrease (-\$1.0 Million GF decrease) to reflect the implementation of BHT services by DHCS per SB 870, which directs DHCS to implement BHT services as a Medi-Cal benefit for individuals under the age of 21 with an Autism Spectrum Disorder diagnosis if required by federal law. This estimate reflects a reduction in regional center POS expenditures for new caseload of 292 consumers that would receive BHT services through DHCS as a Medi-Cal benefit beginning in 2015-16.
3. Updated Costs for Early Start Part C, Other Agency Costs: -\$0.2 Million decrease to reflect updated grant amount for Race to the Top.

D. Fund Sources

1. General Fund: \$332.7 Million increase to reflect the following:
 - a) Caseload: \$236.5 Million increase
 - OPS: \$22.5 increase to reflect updated caseload and expenditure data; and

- POS: \$214.0 increase to reflect updated caseload, utilization change, expenditure and HCBS Waiver enrollment data;
- b) Reduction of One-Time Funding, reappropriation From 2011-12 of - \$13.0 in POS;
- c) SB 946 (POS): \$44.3 Million increase to reflect restoration of funding as a result of unrealized savings from SB 946 as described above;
- d) AB 10 which will increase the minimum wage from \$9.00 per hour to \$10.00 per hour effective January 1, 2016.
- OPS: \$1.6 Million to adjust the budgeted salary for Account Clerks and Secretary I positions which currently are budgeted at salary levels that are below \$10.00 per hour; and
 - POS: \$35.0 Million increase to reflect the impact of minimum wage increase; and
- e) Federal Labor Regulations (POS): \$13.1 Million increase to reflect the full-year costs of implementing Federal overtime regulations. While the Governor's Budget includes funding to implement Federal Labor Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing;
- f) AB 1522 (POS): \$16.2 Million GF increase to reflect AB 1522, which enacts the Healthy Workplace, Healthy Families Act of 2014. Pursuant to AB 1522, after July 1, 2015, an employee who works in California for 30 days or more in a calendar year, is entitled to paid sick days that will accrue at a rate of no less than one hour for every 30 hours worked; and
- g) Impacts from Other Departments, DHCS –BHT (POS): -\$1.0 Million GF decrease to reflect the implementation of BHT services by DHCS per SB 870 which directs the DHCS to implement BHT services as a Medi-Cal benefit for individuals under the age of 21 with an Autism Spectrum Disorder diagnosis if required by federal law. This estimate reflects a reduction in regional center POS expenditures for new caseload of 292 consumers that would receive BHT services through DHCS as a Medi-Cal benefit beginning in 2015-16.

2. Reimbursements: \$121.5 Million increase to reflect the following:

Fiscal Year 2015-16 Reimbursements <i>(in thousands)</i>			
	Enacted Budget	2015-16	Request
HCBS Waiver	\$1,314,003	\$1,409,047	\$95,044
HCBS Waiver Administration	6,494	8,825	2,331
Medicaid Administration	10,417	10,420	3
TCM	146,952	153,578	6,626
TCM Administration	4,411	4,321	-90
Title XX Block Grant	214,555	214,555	0
(1) Social Services	(137,088)	(137,088)	0
(2) Temporary Assistance for Needy Families	(77,467)	(77,467)	0
ICF-DD	56,751	55,947	-804
QAF	10,044	9,900	-144
1915(i) SPA	177,948	192,861	14,913
Money Follows the Person	8,290	6,618	-1,672
Homeland Security Grant	411	411	0
Race to the Top	341	143	-198
EPSDT	20,805	26,274	5,469
TOTAL	\$1,971,422	\$2,092,900	\$121,478

The major changes to reimbursements are as follows:

a) HCBS Waiver (POS): \$95.0 Million increase to reflect the following:

- \$58.3 Million increase to reflect additional FFP from updated enrollments and services costs for consumers under the HCBS Waiver;
- \$22.0 Million increase to reflect the impact of AB 10 which increases the minimum wage from \$9 per hour to \$10 per hour, effective January 1, 2016;
- \$7.6 Million increase to reflect the full year costs of implementing Federal Overtime Regulations. While the Governor's Budget includes funding to implement Federal Labor Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing;
- \$8.0 Million increase to reflect the impact of AB 1522 which entitles an employee who works in California for 30 days or more in a calendar year to paid sick days; and

- -\$0.9 Million decrease to reflect implementation of BHT services by DHCS per SB 870 which directs DHCS to implement BHT services as a Medi-Cal benefit for individuals under the age of 21 with an Autism Spectrum Disorder diagnosis if required by federal law.
- b) HCBS Waiver Administration (OPS): \$2.3 Million increase to reflect updated regional center expenditures;
- c) TCM (OPS): \$6.6 Million increase to reflect updated data on billable units;
- d) TCM Administration (OPS): -\$0.1 Million decrease to reflect the most current expenditure data;
- e) ICF-DD SPA (POS): -\$0.8 Million decrease reflects updated regional center POS expenditures for adult day treatment and transportation services;
- f) QAF (POS): -\$0.1 Million decrease to reflect updated regional center POS expenditures for adult day treatment and transportation services;
- g) 1915(i) SPA (POS): \$14.9 Million increase to reflect the following:
- \$4.9 Million increase reflects updated regional center POS expenditures for consumers under the 1915(i) SPA;
 - \$5.3 Million increase to reflect the impact of AB 10 which increases the minimum wage from \$9 per hour to \$10 per hour, effective January 1, 2016
 - \$3.7 Million increase to reflect the full year costs of implementing Federal Overtime Regulations. While the Governor's Budget includes funding to implement Federal Labor Regulations, effective January 1, 2015, implementation of these regulations has been delayed until January 15, 2015, pending a federal court hearing;
 - \$1.1 Million increase to reflect the impact AB 1522 which entitles an employee who works in California for 30 days or more in a calendar year to paid sick days; and
 - -\$0.1 Million decrease to reflect implementation of BHT services by DHCS per SB 870 which directs DHCS to implement BHT services as a Medi-Cal benefit for individuals under the age of 21 with an Autism Spectrum Disorder diagnosis if required by federal law.
- h) Money Follows the Person: -\$1.6 Million decrease reflects reduction in funding for the transition of LDC residents into the community as a result of LDC's closure on December 31, 2014 and to correct the fund split between GF and FFP to now reflect that there is no GF match for this funds source as follows:

- OPS: -\$0.8 Million decrease
 - POS: -\$0.8 Million decrease
- i) Race to the Top (Early Start, Other Agencies Costs): -\$0.2 Million decrease to reflect updated grant amount;
 - j) EPSDT (POS): \$5.5 Million increase to reflect updated regional center POS expenditures for consumers eligible for the EPSDT benefit.
3. Program Development Fund (POS): -\$1.7 Million decrease to reflect \$0.9 million in one-time recoveries for past due fees from 2011-12 and 2012-13 already budgeted for in 2013-14; and updated population and payment information.
 4. Federal Funds: -\$0.5 Million Decrease as follows:
 - a) Foster Grandparent Program (OPS): \$0.1 Million increase to reflect the transfer of funding from LDC to the Community Services Program; and
 - b) Early Start Part C (POS): -\$0.6 Million decrease to reflect the grant amount.

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SECTION C: FUTURE FISCAL ISSUES/MAJOR ASSUMPTIONSC-1 to C-3

FUTURE FISCAL ISSUES AND NEW MAJOR ASSUMPTIONS

Future Fiscal Issues

Centers for Medicare & Medicaid Services Final Regulations for Home and Community-Based Services

In early 2014, Centers for Medicare & Medicaid Services (CMS) published final regulations affecting 1915(c) Waiver programs, 1915(i) State Plan programs, and 1915(k) Community First Choice State Plans for Home and Community-Based Services (HCBS) provided through Medicaid (Medi-Cal in California). The purpose of the regulations is to ensure that individuals receive HCBS in settings that are integrated in and support full access to the greater community, including opportunities to seek employment and work in competitive and integrated settings, engage in community life, control personal resources, and receive services in the community, to the same degree as individuals who do not receive HCBS. The regulations also aim to ensure that individuals have a free choice of where they live and who provides services to them, and that individual rights and freedoms are not restricted, among other provisions. CMS has moved away from defining Home and Community-Based (HCB) settings based on specific locations, geography, or physical characteristics, to defining them by the nature and quality of the individual's experiences. Basically, the regulations set higher standards for HCB settings in which it is permissible for states to pay for services using federal financial participation under Medicaid.

In California, there are currently eight 1915(c) Waiver programs and two 1915(i) State Plan programs impacted by the regulations, as overseen by the Department of Health Care Services (DHCS) as the single state agency for Medicaid/Medi-Cal. The Department of Developmental Services (DDS) administers one 1915(c) Waiver (the HCBS Waiver for Persons with Developmental Disabilities) and the two 1915(i) State Plan programs. These programs provide HCBS to approximately 130,000 consumers. Because the DDS programs only use HCBS eligibility for identification and billing purposes, providers are not separately identified for participation in these programs. Therefore, all providers that could potentially be utilized for HCBS must meet the new requirements, which could impact residential and nonresidential services across the State.

On December 19, 2014, DHCS submitted the Statewide Transition Plan for CMS approval that describes at a high level California's overall commitment to, and plan for achieving compliance by or before March 17, 2019. However, guidance is still being provided by CMS on the application of the regulations and, at this point, many unknowns remain concerning how the regulations will affect consumers, providers, regional centers and state operations.

DDS is at the very beginning stages of a multi-year process for implementing the regulations. Under the framework of the Statewide Transition Plan, DDS is proceeding by organizing a comprehensive stakeholder process to guide and develop the activities and specificity needed for achieving compliance, and will prepare its own, more detailed transition plan. Because the regulations may require modifications for some services and

providers in order to maintain eligibility for federal funding, fiscal impacts are expected but cannot be determined at this time. In future budget cycles, DDS will provide essential information about the specific measures that will be necessary to achieve compliance, as they become known.

New Major Assumptions

Assembly Bill 1522 Employment: Paid Sick Days

Assembly Bill (AB) 1522 (Chapter 317, Statutes of 2014) Employment: Paid Sick Days enacts the Healthy Workplaces, Healthy Families Act of 2014. Pursuant to AB 1522, after July 1, 2015, an employee who works in California for 30 days or more in a calendar year, is entitled to paid sick days that will accrue at a rate of no less than one hour for every 30 hours worked, and may be used beginning on the 90th calendar day of employment. Employers may limit the use of an employee's sick leave to 24 hours or 3 days in each calendar year. See page G-3 for estimated fiscal impact to service providers to comply with the requirements of AB 1522.

Impact from Other Departments: Department of Health Care Services-Behavioral Health Treatment Services Under Medi-Cal

Senate Bill (SB) 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code, Section 14132.56 to direct DHCS to implement Behavioral Health Treatment (BHT) services to the extent it is required by the federal government to be covered by Medi-Cal for individuals less than 21 years of age. This program ensures that children with autism will receive treatment so they do not become life-long dependents on government support. Once implemented, the retroactive date of this new service will be July 1, 2014.

On September 30, 2014, DHCS submitted a State Plan Amendment to CMS seeking approval to add BHT services as a Medi-Cal benefit for individuals under the age of 21 with an Autism Spectrum Disorder diagnosis. The policy change directly impacts DDS. It is estimated that 7,700 individuals currently receiving BHT services through the regional centers may be eligible to receive these services under the proposed Medi-Cal benefit.

Consistent with DHCS' interim policy guidance issued on September 15, 2014, all individuals receiving BHT services through the regional centers on September 14, 2014 will continue to receive those services through the regional centers until such time that DHCS and DDS develop a plan for transition. While the development of this plan for transition is under way, the timing of when this transition will begin has not yet been determined. As a result, the fiscal impact for DDS is unknown at this time.

For new estimated caseload growth for 2015-16, children with a diagnosis of autism who are eligible for Medi-Cal, DDS assumes those children will receive BHT services through DHCS which will result in a reduction in regional center purchase of services expenditures. See page F-1 for estimated fiscal impact.

Senate Bill 468 (Chapter 683, Statutes of 2013), Statewide Self-Determination Program

SB 468 requires DDS to implement a statewide Self-Determination Program (SDP), subject to approval of federal funding. As required, an application for federal funding was submitted to CMS on December 31, 2014. The SDP will allow regional center consumers and their families more freedom, control, and responsibility in choosing services and supports to help them meet objectives in their individual program plans. Participation is limited to 2,500 individuals in the first three years of the SDP, including the approximately 140 participants in the current state-only funded self-determination pilot projects. An estimated \$5.5 million in state General Fund (GF) is spent annually for services provided to the 140 pilot project participants. Therefore, it is assumed that implementation of the SDP will result in a GF savings of approximately \$2.75 million (50% federal match of \$5.5 million in expenditures.) To ensure the required cost neutrality of the SDP, SB 468 GF savings shall be used to offset administrative costs to the department, including the required criminal background checks. Any remaining funds can be used to offset costs to the regional centers in implementing the SDP. Since implementation of the SDP is contingent upon federal approval, and the timing for obtaining this approval is uncertain, it is not possible to estimate when implementation will begin at this time. However, DDS anticipates having more information available for the 2015-16 May Revision.

The Budget Bill for 2015-16 includes the following provisional language to administer the SDP once federal approval has been received:

X. Upon order of the Department of Finance, the Controller shall transfer up to \$2,800,000 between this item and Item 4300-001-001 in order to effectively administer the SDP. Within 10 working days after approval of a transfer as authorized by this provision, the Department of Finance shall notify the Chairperson of the fiscal committees in each house of the Legislature and the Chairperson of the Joint Legislative Budget Committee of the transfer, including the amount transferred, how the amount transferred was determined, and how the amount transferred will be utilized.

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Population

Fiscal Year 2014-15

<i>Estimated Population as of 1/31/15</i>				
	<i>ENACTED BUDGET</i>	<i>GOVERNOR'S BUDGET</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	243,414	243,649	235	0.10%
B. Total Early Start (Birth through 36 Months)	33,782	34,944	1,162	3.44%
<i>a. Early Start</i>	31,282	32,444	1,162	3.71%
<i>b. Early Start Restoration of Eligibility Criteria, Effective January 1, 2015</i>	2,500	2,500	0	0.00%
C. Total Community Population	277,196	278,593	1,397	0.50%
D. Developmental Center (DC) Average Population	1,127	1,131	4	0.35%
E. On Leave	-15	-15	0	0.00%
F. Total Average In-Center DC Population	1,112	1,116	4	0.36%
G. Total Regional Center (RC) Population (C + F)	278,308	279,709	1,401	0.50%

Population

Fiscal Year 2015-16

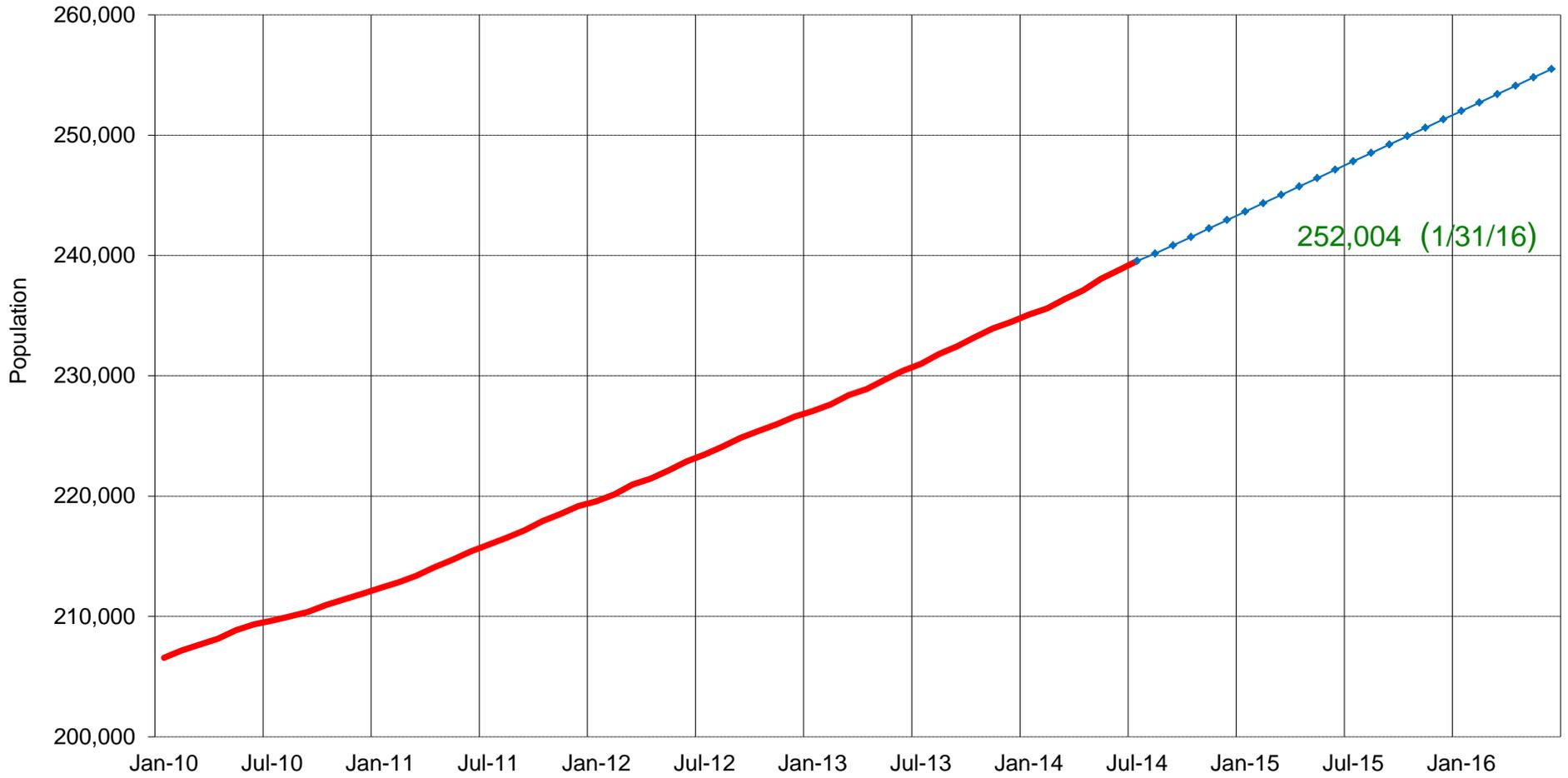
<i>Estimated Population as of 1/31/16</i>				
	<i>ENACTED BUDGET</i>	<i>GOVERNOR'S BUDGET</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	243,414	252,004	8,590	3.53%
B. Total Early Start (Birth through 36 Months)	33,782	36,313	2,531	7.49%
<i>a. Early Start</i>	31,282	32,759	1,477	4.72%
<i>b. Early Start Restoration of Eligibility Criteria, Effective January 1, 2015</i>	2,500	3,554	1,054	42.16%
C. Total Community Population	277,196	288,317	11,121	4.01%
D. Developmental Center (DC) Average Population	1,127	1,025	-102	-9.05%
E. On Leave	-15	-15	0	0.00%
F. Total Average In-Center DC Population	1,112	1,010	-102	-9.17%
G. Total Regional Center (RC) Population (C + F)	278,308	289,327	11,019	3.96%

Population

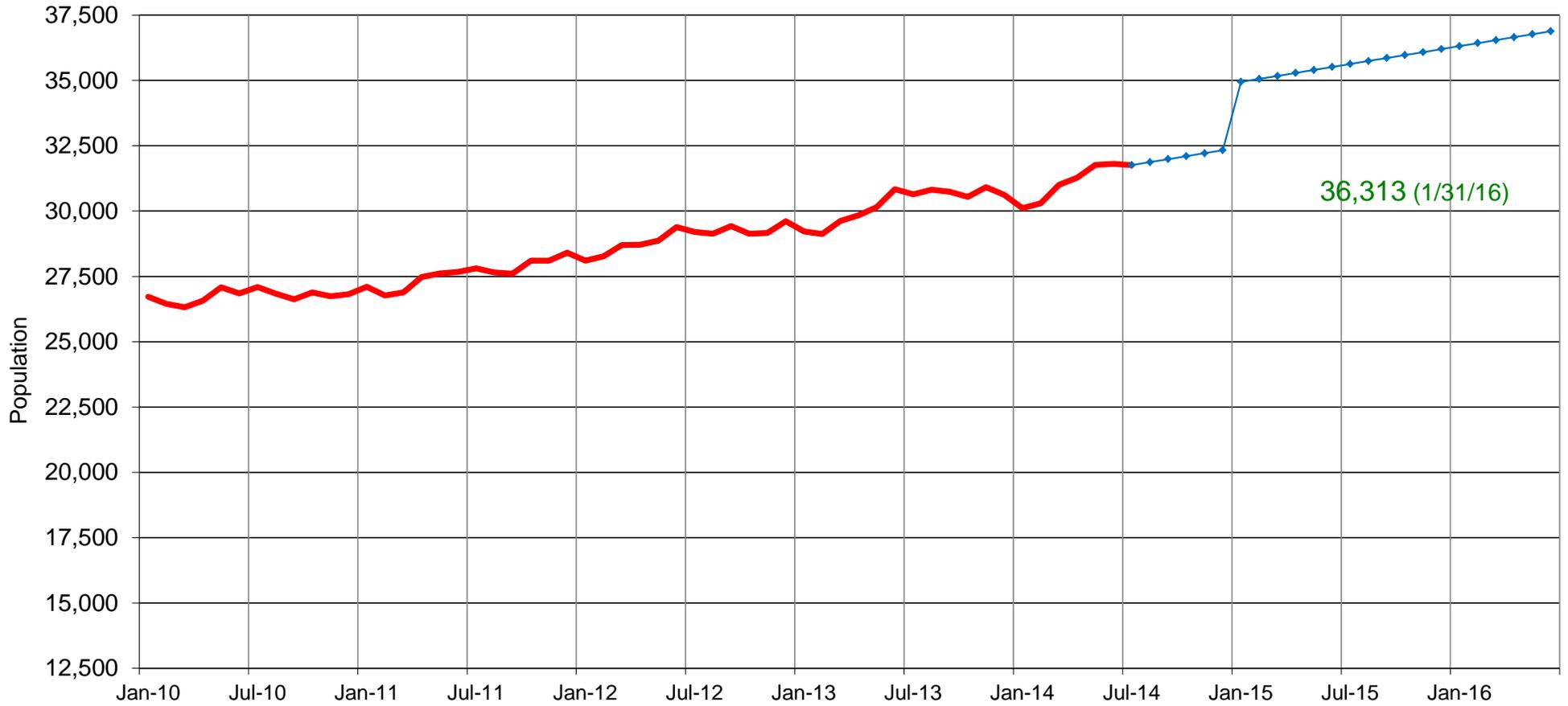
Fiscal Year 2014-15 vs. Fiscal Year 2015-16

	Fiscal Year 2014-15	Fiscal Year 2015-16		
	<i>Estimated Population as of 1/31/15</i>	<i>Estimated Population as of 1/31/16</i>	<i>Annual Change</i>	<i>Percent Annual Change</i>
A. Active Status (Age 3 & Older)	243,649	252,004	8,355	3.43%
B. Total Early Start (Birth through 36 Months)	34,944	36,313	1,369	3.92%
<i>a. Early Start</i>	<i>32,444</i>	<i>32,759</i>	<i>315</i>	<i>0.97%</i>
<i>b. Early Start Restoration of Eligibility Criteria, Effective January 1, 2015</i>	<i>2,500</i>	<i>3,554</i>	<i>1,054</i>	<i>42.16%</i>
C. Total Community Population	278,593	288,317	9,724	3.49%
D. Developmental Center (DC) Average Population	1,131	1,025	-106	-9.37%
E. On Leave	-15	-15	0	0%
F. Total Average In-Center DC Population	1,116	1,010	-106	-9.50%
G. Total Regional Center (RC) Caseload (D + G)	279,709	289,327	9,618	3.44%

Active Status Population (Age 3 & Older) Client Master File Trend Analysis

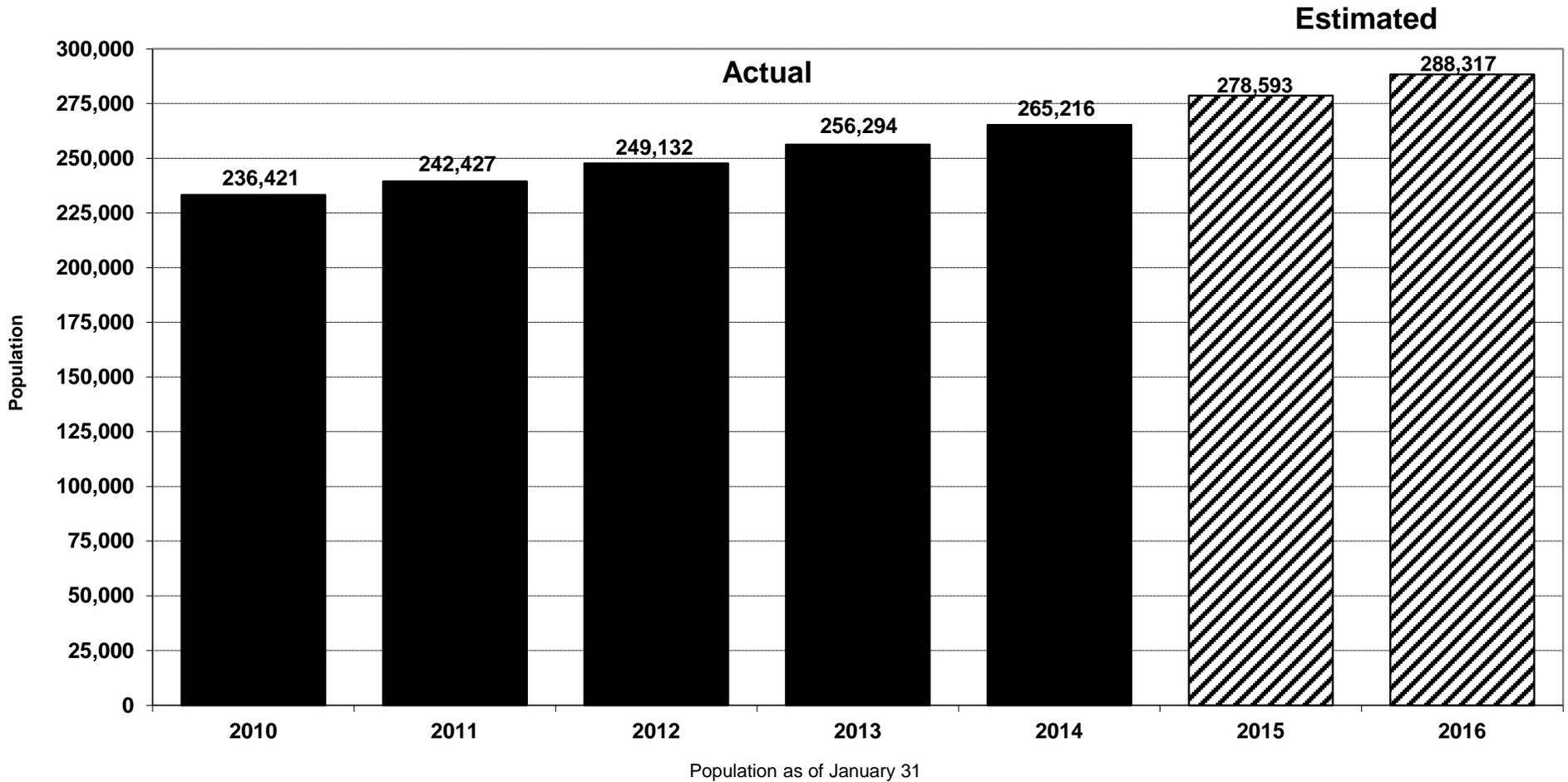


Early Start (Birth through 36 Months) Client Master File Trend Analysis



Community Population

(Active Status, Early Start, and Prevention)



*The Prevention Program ended on June 30, 2012

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Operations
POPULATION AND OPERATIONS SUMMARY
Comparison of Enacted Budget to Governor's Budget
Fiscal Year 2014-15

	Enacted Budget	Governor's Budget	Request
POPULATION			
Active Status (Age 3 & Older)	243,414	243,649	235
Early Start (Birth through 36 Months)	31,282	32,444	1,162
Early Start Restoration of Eligibility Criteria Effective January 1, 2015	2,500	2,500	0
Total Population	277,196	278,593	1,397
OPERATIONS			
I. STAFFING			
A. Core Staffing	\$525,547,000	\$531,213,000	\$5,666,000
B. Enhanced Caseload Ratio 1:45 for Two Years	344,000	344,000	0
C. Early Start Restoration of Eligibility Criteria Effective January 1, 2015	2,306,000	2,306,000	0
D. Community Placement Plan	14,220,000	14,220,000	0
E. Staffing for Collection of FFP for Contracted Services	2,228,000	2,228,000	0
F. Less: Intake and Assessment (2003-04)	-4,465,000	-4,465,000	0
G. Less: Unallocated Reduction (2001-02)	-10,559,000	-10,559,000	0
H. Less: Cost Containment (2004-05)	-5,968,000	-5,968,000	0
I. Less: Savings Target (2009-10)	-12,000,000	-12,000,000	0
J. Less: Cost Containment (2011-12)	-3,486,000	-3,486,000	0
K. Less: Unallocated Reduction (2011-12)	-5,400,000	-5,400,000	0
L. Total Staffing	\$502,767,000	\$508,433,000	\$5,666,000
II.			
A. Agnews Ongoing Workload	2,946,000	2,946,000	0
B. Lanterman Development Center Closure	2,672,000	2,672,000	0
C. Total Developmental Centers Closure	\$5,618,000	\$5,618,000	\$0
III. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135,000	21,135,000	0
B. Compliance with HCBS Waiver Requirements	9,200,000	9,200,000	0
C. Case Managers to Meet HCBS Waiver Requirements	12,414,000	12,953,000	539,000
D. Targeted Case Management	4,129,000	4,129,000	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473,000	473,000	0
F. Federal Medicaid Requirement for RC HCBS Services	984,000	984,000	0
G. Total Federal Compliance	\$48,335,000	\$48,874,000	\$539,000
IV. PROJECTS			
A. Information Technology Costs:	\$2,917,000	\$3,667,000	\$750,000
1. <i>Regional Center Application Support</i>	1,667,000	2,567,000	900,000
2. <i>Data Processing</i>	1,250,000	1,100,000	-150,000
B. Clients' Rights Advocacy Contract	5,792,000	5,992,000	200,000
C. Quality Assessment Contract	3,139,000	3,139,000	0
D. Direct Support Professional Training	2,620,000	2,620,000	0
E. Office of Administrative Hearings Contract	2,910,000	2,910,000	0
F. Wellness Projects	100,000	100,000	0
G. Foster Grandparent / Senior Companion Programs	2,110,000	2,110,000	0
H. Special Incident Reporting/Risk Assessment Contract	873,000	873,000	0
I. Increased Access to Mental Health Services	740,000	740,000	0
J. Sherry S. Court Case / Los Angeles County Hops	211,000	211,000	0
K. Enhancing FFP, Phase II, Proposal C, Consultant	500,000	500,000	0
L. University Enterprises, Inc.	113,000	113,000	0
M. Affordable Housing	94,000	94,000	0
N. Review of SB 1175 Housing Proposals	150,000	150,000	0
O. Denti-Cal Infrastructure for RC Dental Services	1,197,000	247,000	-950,000
P. Homeland Security Grant Program	411,000	411,000	0
Q. Total Projects	\$23,877,000	\$23,877,000	\$0
V. Intermediate Care Facility-Developmentally Disabled Administrative Fees			
	\$1,703,000	\$1,678,000	-\$25,000
VI. GRAND TOTAL	\$582,300,000	\$588,480,000	\$6,180,000

Operations
POPULATION AND OPERATIONS SUMMARY
Comparison of Enacted Budget to Governor's Budget
Fiscal Year 2015-16

	Enacted Budget	Governor's Budget	Request
POPULATION			
Active Status (Age 3 & Older)	243,414	252,004	8,590
Early Start (Birth through 36 Months)	31,282	32,759	1,477
Early Start Restoration of Eligibility Criteria Effective January 1, 2015	2,500	3,554	1,054
Total Population	277,196	288,317	11,121
OPERATIONS			
I. STAFFING			
A. Core Staffing	\$525,547,000	\$556,303,000	\$30,756,000
B. Enhanced Caseload Ratio 1:45 for Two Years	\$344,000	\$344,000	\$0
C. Early Start Restoration of Eligibility Criteria Effective January	\$2,306,000	\$0	-\$2,306,000
D. Community Placement Plan	14,220,000	15,265,000	\$1,045,000
E. Staffing for Collection of FFP for Contracted Services	2,228,000	2,228,000	\$0
F. Less: Intake and Assessment (2003-04)	-4,465,000	-4,465,000	\$0
G. Less: Unallocated Reduction (2001-02)	-10,559,000	-10,559,000	\$0
H. Less: Cost Containment (2004-05)	-5,968,000	-5,968,000	\$0
I. Less: Savings Target (2009-10)	-12,000,000	-12,000,000	\$0
J. Less: Cost Containment (2011-12)	-3,486,000	-3,486,000	\$0
K. Less: Unallocated Reduction (2011-12)	-5,400,000	-5,400,000	\$0
L. Total Staffing	\$502,767,000	\$532,262,000	\$29,495,000
II. A. Agnews Ongoing Workload	2,946,000	2,946,000	0
B. Lanterman Development Center Closure	2,672,000	2,576,000	-96,000
C. Total Developmental Centers Closure	\$5,618,000	\$5,522,000	-\$96,000
III. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135,000	21,135,000	0
B. Compliance with HCBS Waiver Requirements	9,200,000	9,200,000	0
C. Case Managers to Meet HCBS Waiver Requirements	12,414,000	13,078,000	664,000
D. Targeted Case Management	4,129,000	4,129,000	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473,000	473,000	0
F. Federal Medicaid Requirement for RC HCBS Services	984,000	984,000	0
G. Total Federal Compliance	\$48,335,000	\$48,999,000	\$664,000
IV. PROJECTS			
A. Information Technology Costs:	\$2,917,000	\$2,917,000	\$0
1. <i>Regional Center Application Support</i>	1,667,000	1,817,000	150,000
2. <i>Data Processing</i>	1,250,000	1,100,000	-150,000
B. Clients' Rights Advocacy Contract	5,792,000	6,202,000	410,000
C. Quality Assessment Contract	3,139,000	3,129,000	-10,000
D. Direct Support Professional Training	2,620,000	2,636,000	16,000
E. Office of Administrative Hearings Contract	2,910,000	2,910,000	0
F. Wellness Projects	100,000	100,000	0
G. Foster Grandparent / Senior Companion Programs	2,110,000	2,488,000	378,000
H. Special Incident Reporting/Risk Assessment Contract	873,000	838,000	-35,000
I. Increased Access to Mental Health Services	740,000	740,000	0
J. Sherry S. Court Case / Los Angeles County Hops	211,000	211,000	0
K. Enhancing FFP, Phase II, Proposal C, Consultant	500,000	500,000	0
L. University Enterprises, Inc.	113,000	113,000	0
M. Affordable Housing	94,000	94,000	0
N. Review of SB 1175 Housing Proposals	150,000	150,000	0
O. Denti-Cal Infrastructure for RC Dental Services	1,197,000	732,000	-465,000
P. Homeland Security Grant Program	411,000	411,000	0
Q. Total Projects	\$23,877,000	\$24,171,000	\$294,000
V. Intermediate Care Facility-Developmentally Disabled Administrative Fees	\$1,703,000	\$1,678,000	-\$25,000
VI. AB 10 Minimum Wage Increase Effective January 2016	\$0	\$1,872,000	\$1,872,000
VII. GRAND TOTAL	\$582,300,000	\$614,504,000	\$32,204,000

Staffing

DESCRIPTION:

Staffing includes personal services and operating expenses for Core Staffing, and Community Placement Plan (CPP), and Placement Continuation staff.

ASSUMPTIONS:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
• Population.						
• Population Projections: (See Section D, Population, for detail)						
• Active Status (Age 3 and Over)	243,414	243,649	235	252,004	8,355	8,590
• Early Start (Birth through 36 Months)	33,782	34,944	1,162	36,313	1,369	2,531
Subtotal	277,196	278,593	1,397	288,317	9,724	11,121
• Total Average In Center Developmental Center (DC) Population	1,112	1,116	4	1,010	-106	-102
Total Population	278,308	279,709	1,401	289,327	9,618	11,019
Informational:						
• Community Care Facility Consumers (including Placement Continuation)	25,261	25,261	0	25,261	0	0
• Home and Community-Based Services (HCBS) Waiver-Enrolled Consumers	111,584	112,594	1,010	117,924	5,330	6,340
• Early Start (with Assessment)	35,584	36,748	1,164	40,669	3,921	5,085
• Placement Continuation Consumers	158	226	68	158	-68	0
• Intake cases per month	6,197	6,353	156	7,052	699	855
• Vendors	44,158	44,158	0	44,158	0	0
• Mediations per year	400	400	0	400	0	0
METHODOLOGY:						
CORE STAFFING PERSONAL SERVICES:						
• Direct Services and Administration:	\$361,451,000	\$365,504,000	\$4,053,000	\$382,412,000	\$16,908,000	20,961,000
Total Estimated Number of Positions:						
Enacted Budget:	10,364.35					
2014-15:	10,452.94					
2015-16:	10,949.50					
See Attachment A for Core Staffing Expenditure Detail.						
See Attachment B for Core Staffing Formulas.						
• Fringe Benefits:	85,632,000	86,624,000	992,000	90,632,000	4,008,000	5,000,000
Based on 23.7% per position.						

Staffing

METHODOLOGY (continued):

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2014-15 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> Salary Savings: <i>Client Program Coordinators:</i> 1.0% Per Position <i>All Other Staff:</i> 5.5% Per Position 	-14,909,000	-15,122,000	-213,000	-15,845,000	-723,000	-936,000
	-2,150,000	-2,166,000	-16,000	-2,260,000	-94,000	-110,000
	-12,759,000	-12,956,000	-197,000	-13,585,000	-629,000	-826,000
<ul style="list-style-type: none"> Early Start /Part C Administrative and Clinical Support: Includes salaries, fringe benefits and salary savings. 	694,000	694,000	0	694,000	0	0
TOTAL PERSONAL SERVICES	<u>\$432,867,000</u>	<u>\$437,701,000</u>	<u>\$4,834,000</u>	<u>\$457,893,000</u>	<u>\$20,192,000</u>	<u>25,026,000</u>
OPERATING EXPENSES:						
<ul style="list-style-type: none"> Operating Expenses: Base amount plus the following adjustments: Professional Positions: \$3,400 Per New Position Clerical Positions: \$2,400 Per New Position 	\$40,479,000	\$40,766,000	\$287,000	\$42,365,000	\$1,599,000	1,886,000
<ul style="list-style-type: none"> Rent: Base amount plus \$8,086 per new position CY: \$8,086 Per New Position BY: \$8,086 Per New Position 	52,201,000	52,746,000	545,000	56,045,000	3,299,000	3,844,000
						0
						0
TOTAL OPERATING EXPENSES	<u>\$92,680,000</u>	<u>\$93,512,000</u>	<u>\$832,000</u>	<u>\$98,410,000</u>	<u>\$4,898,000</u>	<u>5,730,000</u>
TOTAL CORE STAFFING	<u>\$525,547,000</u>	<u>\$531,213,000</u>	<u>\$5,666,000</u>	<u>\$556,303,000</u>	<u>\$25,090,000</u>	<u>30,756,000</u>
<ul style="list-style-type: none"> Enhanced Caseload Ratio 1:45 for Two Years 	344,000	344,000	0	344,000	0	0
<ul style="list-style-type: none"> Early Start Restoration of Eligibility Criteria Effective January 1, 2015 	2,306,000	2,306,000	0	0 *	-2,306,000	-2,306,000
CPP:						
<ul style="list-style-type: none"> See CPP for the methodology detail. 	14,220,000	14,220,000	0	15,265,000	1,045,000	1,045,000
STAFFING FOR COLLECTION OF FEDERAL FINANCIAL PARTICIPATION (FFP) FOR CONTRACTED SERVICES						
<ul style="list-style-type: none"> Funding provides one Community Program Specialist I and one Account Clerk II for each Regional Center (RC). This funding will be required until the RC have a billing system that allows the processing of vendor invoices that do not include the individual consumer data required to support HCBS Waiver billing. 	2,228,000	2,228,000	0	2,228,000	0	0

* For 2015-16, staffing for Early Start Restoration of Eligibility Criteria Effective January 2015 is determined based on core staffing and estimated caseload of 3,554.

Staffing

METHODOLOGY (continued):

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2014-15 Over 2014-15	2015-16 Over Enacted Budget
LESS INTAKE AND ASSESSMENT (2003-04)						
<ul style="list-style-type: none"> Expenditures reflect savings as a result of extending the amount of time allowable for RC to perform intakes and assessments from 60 to 120 days. 	-4,465,000	-4,465,000	0	-4,465,000	0	0
LESS UNALLOCATED REDUCTION (2001-02)	-10,559,000	-10,559,000	0	-10,559,000	0	0
LESS COST CONTAINMENT (2004-05)	-5,968,000	-5,968,000	0	-5,968,000	0	0
LESS SAVINGS TARGET (2009-10)	-12,000,000	-12,000,000	0	-12,000,000	0	0
LESS COST CONTAINMENT (2011-12)	-3,486,000	-3,486,000	0	-3,486,000	0	0
LESS UNALLOCATED REDUCTION (2011-12)	-5,400,000	-5,400,000	0	-5,400,000	0	0
TOTAL EXPENDITURES	<u>\$502,767,000</u>	<u>\$508,433,000</u>	<u>\$5,666,000</u>	<u>\$532,262,000</u>	<u>\$23,829,000</u>	<u>\$29,495,000</u>

Staffing

FUNDING:

The funding for Staffing expenditures is comprised of reimbursements from: Medicaid Administration (MA) (75% Federal Financial Participation (FFP), 25% General Fund (GF) Match), HCBS Waiver Administration (50% FFP/50% GF Match), Targeted Case Management (TCM) (50% FFP/50% GF Match), TCM Administration (50% FFP/50% GF Match), and Money Follows the Person (MFP) (100% FFP). The State GF portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
EXPENDITURES:						
TOTAL	\$502,767,000	\$508,433,000	\$5,666,000	\$532,262,000	\$23,829,000	\$29,495,000
GF	\$349,459,000	\$346,065,000	-\$3,394,000	\$369,454,000	\$23,389,000	\$19,995,000
<i>GF Match</i>	146,854,000	155,387,000	8,533,000	155,481,000	94,000	8,627,000
<i>GF Other</i>	202,605,000	190,678,000	-11,927,000	213,973,000	23,295,000	11,368,000
Reimbursements	\$153,308,000	\$162,368,000	\$9,060,000	\$162,808,000	\$440,000	\$9,500,000
<i>MA</i>	4,939,000	7,153,000	2,214,000	7,153,000	0	2,214,000
<i>HCBS Waiver Administration</i>	1,786,000	4,027,000	2,241,000	4,027,000	0	2,241,000
<i>TCM</i>	137,445,000	143,558,000	6,113,000	143,477,000	-81,000	6,032,000
<i>TCM Administration</i>	4,411,000	4,321,000	-90,000	4,321,000	0	-90,000
<i>MFP</i>	4,727,000	3,309,000	-1,418,000	3,830,000	521,000	-897,000

Attachment A
CORE STAFFING - CY 2014-15
Comparison of the Enacted Budget to the Governor's Budget

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

	Enacted Budget	Governor's Budget			Difference
		Positions	Budgeted Salary	Cost	
(a) Physician	\$10,931,471	138.60	\$79,271	\$10,986,961	\$55,490
(b) Psychologist	11,516,171	277.21	41,754	11,574,626	58,455
(c) Nurse	5,125,881	138.60	37,171	5,151,901	26,020
(d) Nutritionist	3,879,127	138.60	28,130	3,898,818	19,691

(2) Clinical Support Teams

(a) Physician/Psychiatrist	6,534,414	72.00	92,034	6,626,448	92,034
(b) Consulting Pharmacist	4,291,950	72.00	60,450	4,352,400	60,450
(c) Behavioral Psychologist	3,903,012	72.00	54,972	3,957,984	54,972
(d) Nurse	3,583,938	72.00	50,478	3,634,416	50,478

(3) SB 1038 Health Reviews

(a) Physician	2,254,833	24.50	92,034	2,254,833	0
(b) Nurse	5,770,645	114.32	50,478	5,770,645	0

b. Intake / Case Management

(1) Supervising Counselor (Intake)					
(1:10 Intake Workers in Item (2) below)	3,367,327	90.76	38,036	3,452,147	84,820
(2) Intake Worker	27,916,226	907.57	31,532	28,617,497	701,271
(3) Supervising Counselor (Case Management)					
(1:10 CPCs in Items (6) and (7) below)	22,781,089	437.15	52,392	22,903,163	122,074
(4) Supervising Counselor (Capitol People First)					
(DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers					
Capitol People First	1,698,326	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers(Total Pop w/o DCs, CPP, ES)	67,928,893	1,984.29	34,032	67,529,357	-399,536
(7) CPC (Waiver, Early Start only), 1:62 Consumers	78,419,257	2,339.31	34,032	79,611,398	1,192,141
(8) CPC, Quality Assurance for ARM	1,646,128	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical					
Care Regulations (1:10 CPCs)	71,253	1.36	52,392	71,253	0
(10) CPC, DSS Incidental Medical Care Regs	515,919	13.64	37,824	515,919	0

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor	2,099,871	40.53	52,392	2,123,448	23,577
(2) CPC	13,556,647	405.34	34,032	13,794,531	237,884

d. Early Intervention**(1) General**

(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
(c) Genetics Associate	798,714	21.00	38,034	798,714	0

(2) Early Start / Part C

(a) Supervising Counselor	1,155,768	22.39	52,392	1,173,057	17,289
(b) CPC	7,378,818	223.91	34,032	7,620,105	241,287
(c) Administrative and Clinical Support (see next page)					

e. Community Services

(1) Special Incident Coordinator	1,100,232	21.00	52,392	1,100,232	0
(2) Vendor Fiscal Monitor	1,248,729	24.56	50,844	1,248,729	0
(3) Program Evaluator	898,653	21.00	42,793	898,653	0
(4) Resource Developer	898,653	21.00	42,793	898,653	0
(5) Transportation Coordinator	898,653	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039					
Consumer Complaints)	449,327	10.50	42,793	449,327	0
(7) Developmental Center Liaison	107,262	2.82	38,036	107,262	0
(8) Diversion	126,584	4.00	31,646	126,584	0
(9) Placement Continuation:					
(a) Supervising Counselor	5,239	0.14	52,392	7,335	2,096
(b) CPC (Supplement at 1:45 Consumers)	32,671	1.37	34,032	46,624	13,953

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	397,655	7.62	52,392	399,227	1,572
(2) QA/CPC	2,584,390	76.22	34,032	2,593,919	9,529
(3) Nurses	1,916,650	38.11	50,478	1,923,717	7,067

g. Mediation

(1) Clinical Staff	7,093	0.11	64,484	7,093	0
(2) Supervising Counselor	52,916	1.01	52,392	52,916	0
(3) CPC	17,356	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	1,371,888	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	1,318,464	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

	\$302,534,382	8,030.15		\$305,206,996	\$2,672,614
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Attachment A
CORE STAFFING, CY 2014-15 (continued)

	Enacted Budget	Governor's Budget			Difference
		Positions	Budgeted Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	1,009,449	21.00	48,069	\$1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	\$986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	4,450,591	112.59	39,887	4,490,877	40,286
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,169,396	56.72	20,617	1,169,396	0
(6) Account Clerk (Enh. FFP, Phase II)	584,640	21.00	27,840	584,640	0
(7) Account Clerk	6,478,550	346.51	21,857	7,573,833	1,095,283
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	489,867	21.00	23,327	489,867	0
(2) PBX/Mail/File Clerk	1,378,188	63.00	21,876	1,378,188	0
(3) Executive Secretary	1,148,490	52.50	21,876	1,148,490	0
(4) MD/Psychologist Secretary II	286,503	12.25	23,388	286,503	0
(5) MD/Psychologist Secretary I	4,525,269	207.91	21,876	4,548,239	22,970
(6) Secretary II	3,973,387	171.61	23,388	4,013,615	40,228
(7) Secretary I	19,979,019	1,074.86	18,757	20,161,149	182,130
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$58,916,131	2,422.79		\$60,297,028	\$1,380,897
3. TOTAL POSITIONS AND SALARIES					
(Item A.1.i. + Item A.2.e.)	\$361,450,513	10,452.94		\$365,504,024	\$4,053,511
a. CPCs	173,778,405			175,073,663	1,295,258
b. All Other Staff	187,536,108			190,430,361	2,894,253
4. Fringe Benefits					
a. CPCs 23.7%	\$41,185,482			\$41,492,458	\$306,976
b. All Other Staff 23.7%	44,446,058			45,131,996	685,938
c. Total Fringe Benefits	\$85,631,540			\$86,624,454	\$992,914
5. Salary Savings					
a. CPCs 1.0%	-\$2,149,639			-\$2,165,661	-\$16,022
b. All Other Staff 5.5%	-12,759,019			-12,955,930	-196,911
c. Total Salary Savings	-\$14,908,658			-\$15,121,591	-\$212,933
6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$432,867,395			\$437,700,887	\$4,833,492
ROUNDED	\$432,867,000	10,453.00		\$437,701,000	\$4,834,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	\$40,479,000			\$40,766,000	\$287,000
2. Rent	\$52,201,000			\$52,746,000	\$545,000
3. Subtotal Operating Expenses and Rent	\$92,680,000			\$93,512,000	\$832,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$525,547,000			\$531,213,000	\$5,666,000

Attachment A
CORE STAFFING - BY 2015-16
Comparison of the Enacted Budget to the Governor's Budget

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

	Enacted Budget	Governor's Budget			Difference
		Positions	Budgeted Salary	Cost	
(a) Physician	\$10,931,471	144.65	\$79,271	\$11,466,550	\$535,079
(b) Psychologist	11,516,171	289.30	41,754	12,079,432	563,261
(c) Nurse	5,125,881	144.65	37,171	5,376,785	250,904
(d) Nutritionist	3,879,127	144.65	28,130	4,069,005	189,878

(2) Clinical Support Teams

(a) Physician/Psychiatrist	6,534,414	73.00	92,034	6,718,482	184,068
(b) Consulting Pharmacist	4,291,950	73.00	60,450	4,412,850	120,900
(c) Behavioral Psychologist	3,903,012	73.00	54,972	4,012,956	109,944
(d) Nurse	3,583,938	73.00	50,478	3,684,894	100,956

(3) SB 1038 Health Reviews

(a) Physician	2,254,833	26.14	92,034	2,405,769	150,936
(b) Nurse	5,770,645	121.96	50,478	6,156,297	385,652

b. Intake / Case Management**(1) Supervising Counselor (Intake)**

(1:10 Intake Workers in Item (2) below)	3,367,327	100.74	38,036	3,831,747	464,420
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(2) Intake Worker

	27,916,226	1,007.43	31,532	31,766,283	3,850,057
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(3) Supervising Counselor (Case Management)

(1:10 CPCs in Items (6) and (7) below)	22,781,089	456.60	52,392	23,922,187	1,141,098
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(4) Supervising Counselor (Capitol People First)

(DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
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(5) Client Program Coordinator (CPC), 1:66 DC Consumers Capitol People First

	1,698,326	36.12	47,019	1,698,326	0
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(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)

	67,928,893	2,030.34	34,032	69,096,531	1,167,638
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(7) CPC (Waiver, Early Start only), 1:62 Consumers

	78,419,257	2,487.69	34,032	84,661,066	6,241,809
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(8) CPC, Quality Assurance for ARM

	1,646,128	48.37	34,032	1,646,128	0
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(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)

	71,253	1.37	52,392	71,777	524
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(10) CPC, DSS Incidental Medical Care Regs

	515,919	13.67	37,824	517,054	1,135
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c. Quality Assurance / Quarterly Monitoring**(1) Supervising Counselor**

	2,099,871	41.01	52,392	2,148,596	48,725
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(2) CPC

	13,556,647	410.13	34,032	13,957,544	400,897
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d. Early Intervention**(1) General**

(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
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(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
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(c) Genetics Associate	798,714	21.00	38,034	798,714	0
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(2) Early Start / Part C

(a) Supervising Counselor	1,155,768	24.78	52,392	1,298,274	142,506
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(b) CPC	7,378,818	247.81	34,032	8,433,470	1,054,652
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(c) Administrative and Clinical Support (see next page)

e. Community Services**(1) Special Incident Coordinator**

	1,100,232	21.00	52,392	1,100,232	0
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(2) Vendor Fiscal Monitor

	1,248,729	24.56	50,844	1,248,729	0
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(3) Program Evaluator

	898,653	21.00	42,793	898,653	0
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(4) Resource Developer

	898,653	21.00	42,793	898,653	0
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(5) Transportation Coordinator

	898,653	21.00	42,793	898,653	0
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(6) Administrative Services Analyst (SB 1039 Consumer Complaints)

	449,327	10.50	42,793	449,327	0
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(7) Developmental Center Liaison

	107,262	2.82	38,036	107,262	0
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(8) Diversion

	126,584	4.00	31,646	126,584	0
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(9) Placement Continuation:

(a) Supervising Counselor	5,239	0.10	52,392	5,239	0
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(b) CPC (Supplement at 1:45 Consumers)	32,671	0.96	34,032	32,671	0
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f. Special Incident Reporting (SIR)**(1) Supervising Counselor**

	397,655	7.87	52,392	412,325	14,670
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(2) QA/CPC

	2,584,390	78.66	34,032	2,676,957	92,567
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(3) Nurses

	1,916,650	39.33	50,478	1,985,300	68,650
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g. Mediation**(1) Clinical Staff**

	7,093	0.11	64,484	7,093	0
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(2) Supervising Counselor

	52,916	1.01	52,392	52,916	0
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(3) CPC

	17,356	0.51	34,032	17,356	0
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h. Expansion of Autism Spectrum Disorders (ASD) Initiative**(1) ASD Clinical Specialist**

	1,371,888	21.00	65,328	1,371,888	0
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(2) ASD Program Coordinator

	1,318,464	21.00	62,784	1,318,464	0
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i. SUBTOTAL DIRECT SERVICES

	\$302,534,382	8,432.45		\$319,815,308	\$17,280,926
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Attachment A
CORE STAFFING, BY 2015-16 (continued)

	Enacted Budget	Governor's Budget			Difference
		Positions	Budgeted Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	1,009,449	21.00	48,069	\$1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	\$986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	4,450,591	117.92	39,887	4,703,475	252,884
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,169,396	56.72	20,617	1,169,396	0
(6) Account Clerk (Enh. FFP, Phase II)	584,640	21.00	27,840	584,640	0
(7) Account Clerk	6,478,550	361.62	22,755	8,228,663	1,750,113
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	489,867	21.00	23,327	489,867	0
(2) PBX/Mail/File Clerk	1,378,188	63.00	21,876	1,378,188	0
(3) Executive Secretary	1,148,490	52.50	21,876	1,148,490	0
(4) MD/Psychologist Secretary II	286,503	13.07	23,388	305,681	19,178
(5) MD/Psychologist Secretary I	4,525,269	216.98	21,876	4,746,654	221,385
(6) Secretary II	3,973,387	175.01	23,388	4,093,134	119,747
(7) Secretary I	19,979,019	1,135.39	18,757	21,296,510	1,317,491
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$58,916,131	2,517.05		\$62,596,929	\$3,680,798
3. TOTAL POSITIONS AND SALARIES					
(Item A.1.i. + Item A.2.e.)	\$361,450,513	10,949.50		\$382,412,237	\$20,961,724
a. CPCs	173,778,405			182,737,103	8,958,698
b. All Other Staff	187,536,108			199,675,134	12,139,026
4. Fringe Benefits					
a. CPCs 23.7%	\$41,185,482			\$43,308,693	\$2,123,211
b. All Other Staff 23.7%	44,446,058			47,323,007	2,876,949
c. Total Fringe Benefits	\$85,631,540			\$90,631,700	\$5,000,160
5. Salary Savings					
a. CPCs 1.0%	-\$2,149,639			-\$2,260,458	-\$110,819
b. All Other Staff 5.5%	-12,759,019			-13,584,898	-825,879
c. Total Salary Savings	-\$14,908,658			-\$15,845,356	-\$936,698
6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$432,867,395			\$457,892,581	\$25,025,186
ROUNDED	\$432,867,000	10,950.00		\$457,893,000	\$25,026,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	\$40,479,000			\$42,365,000	\$1,886,000
2. Rent	\$52,201,000			\$56,045,000	\$3,844,000
3. Subtotal Operating Expenses and Rent	\$92,680,000			\$98,410,000	\$5,730,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$525,547,000			\$556,303,000	\$30,756,000

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES</u>	
1. DIRECT SERVICES	
a. <u>Clinical</u>	
(1) <u>Intake and Assessment</u>	
(a) Physician (minimum of 1)	1.0 position : 2,000 total consumers
(b) Psychologist	1.0 position : 1,000 total consumers
(c) Nurse (minimum of 1)	1.0 position : 2,000 total consumers
(d) Nutritionist (minimum of 1)	1.0 position : 2,000 total consumers
(2) <u>Clinical Support Teams</u>	
(a) Physician/Psychiatrist	1.0 position : 1,700 consumers in community care facilities (CCF) and supported living and those with severe behavior and/or medical problems
(b) Consulting Pharmacist	1.0 position : 1,700 " "
(c) Behavioral Psychologist	1.0 position : 1,700 " "
(d) Nurse	1.0 position : 1,700 " "
(3) <u>SB 1038 Health Reviews</u>	
(a) Physician	1.5 hours : Referral/1,778 hrs./ full-time equivalent (FTE) position
(b) Nurse	1.75 hours : Individual program plan (IPP) review/1,778 hrs./FTE position
b. <u>Intake/Case Management</u>	
(1) Supervising Counselor: Intake	1.0 position : 10 Intake Workers
(2) Intake Worker	1.0 position : 14 monthly intake cases (assume average intake case lasts 2 mos.)
(3) Supervising Counselor: Case Management	1.0 position : 10 CPCs in Items b.(4 and 5) below
(4) Supervising Counselor: Capitol People First	1.0 position : 10 CPCs in Items b.(7) below
(5) Client Program Coordinator (CPC) Capitol People First	1.0 position : 66 consumers (Developmental Center residents)
(6) CPC	1.0 position : 66 consumers (all other consumers, excluding Waiver, Early Start, and CPP placements)
(7) CPC	1.0 position : 62 Waiver and Early Start consumers (excluding CPP placements)
(8) CPC, Quality Assurance for Alternative Residential Model	1.0 position : 527 CCF consumers
(9) Supervising Counselor: DSS Incidental Medical Care Regulations	1.0 position : 10 CPCs in item b.(10) below
(10) CPC, DSS Incidental Medical Care Regulations	1.0 position : 2.5 hrs x 8 visits per year to CCF consumers who rely on others to perform activities of daily living

CORE STAFFING CLASSIFICATION

STAFFING FORMULA

A. PERSONAL SERVICES (continued)

1. DIRECT SERVICES (continued)

c. Quality Assurance/Quarterly Monitoring

(1) Supervising Counselor	1.0 position	10 CPCs in Item c.(2) below
(2) CPC	10 hrs/yr.	: CCF consumer/1,778 hrs./FTE
	14 hrs./yr.	: Supported/Independent Living consumer/1,778 hrs./FTE
	10 hrs/yr.	: Skilled Nursing Facility and Intermediate Care Facility consumer/1,778 hrs./FTE
	10 hrs/yr.	: Family Home Agency consumer/1,778 hrs./FTE

d. Early Intervention

(1) <u>General</u>		
(a) Prevention Coordinator	1.0 position	: RC
(b) High-Risk Infant Case Mgr.	1.0 position	: RC
(c) Genetics Associate	1.0 position	: RC
(2) <u>Early Start/Part C</u>		
(a) Supervising Counselor	1.0 position	: 10 CPCs in Item d.(2)(b) below
(b) CPC:		
Marginal positions from:	1.0 position	: 62 children<age 3yrs.
to:	1.0 position	: 45 children<age 3yrs.*

e. Community Services

(1) Special Incident Coordinator	1.0 position	: RC
(2) Vendor Fiscal Monitor	0.5 position	: RC plus 1: every 3,140 vendors
(3) Program Evaluator	1.0 position	: RC
(4) Resource Developer	1.0 position	: RC
(5) Transportation Coordinator	1.0 position	: RC
(6) Administrative Services Analyst (SB 1039, Chapter 414, Statutes of 1997) Consumer Complaints	0.5 position	: RC
(7) Developmental Center Liaison	1.0 position	: 400 DC consumers
(8) Diversion	4.0 positions	: 21 RCs
(9) Placement Continuation		
(a) Supervising Counselor	1.0 position	: 10 CPCs in Item e.(9)(b) below
(b) CPC:		
1. Marginal positions from:	1.0 position	: 62 CPP Placements
2. to:	1.0 position	: 45 CPP Placements

* Note: This 1:45 staffing ratio is a funding methodology, not a required caseload ratio.

CORE STAFFING CLASSIFICATION	STAFFING FORMULA	
A. PERSONAL SERVICES (continued)		
1. DIRECT SERVICES (continued)		
f. <u>Special Incident Reporting (SIR)</u>		
(1) Supervising Counselor	1.0 position	10 CPCs in Item f. (2) below
(2) QA/CPC	1.0 position	: RC plus 1: every 5,000 consumers
(3) Nurse	0.5 position	: RC plus 0.5: every 5,000 consumers
g. <u>Mediation</u>		
(1) Clinical Staff	2.0 hours	: 25% of annual mediations/ 1,778 hrs /FTE position
(2) Supervising Counselor	4.5 hours	: mediation/1,778 hrs./FTE position
(3) CPC	4.5 hours	: 50% of annual mediations/ 1,778 hrs./FTE position
h. <u>Expansion of Autism Spectrum Disorders (ASD) Initiative</u>		
(1) ASD Clinical Specialist (effective January 1, 2007)	1.0 position	: RC
(2) ASD Program Coordinator (effective January 1, 2007)	1.0 position	: RC
2. ADMINISTRATION		
a. <u>Executive Staff</u>		
(1) Director	1.0 position	: RC
(2) Administrator	1.0 position	: RC
(3) Chief Counselor	1.0 position	: RC
b. <u>Fiscal</u>		
(1) Federal Program Coordinator (Enhancing FFP, Phase I)	1.0 position	: RC
(2) Federal Compliance Specialist (Enhancing FFP, Phase II)	1.0 position	: 1,000 HCBS Waiver consumers
(3) Fiscal Manager	1.0 position	: RC
(4) Program Technician II, FCPP	0.5 position	: RC
(5) Revenue Clerk	1.0 position	: 1,778 hours of FCPP determinations
(6) Account Clerk (Enhancing FFP, Phase II)	1.0 position	: 400 consumers for whom RCs are representative payee
(7) Account Clerk	1.0 position	: RC
(7) Account Clerk	1.0 position	: 800 total consumers
c. <u>Information Systems and Human Resources</u>		
(1) Information Systems Manager	1.0 position	: RC
(2) Information Systems Assistant	1.0 position	: RC
(3) Information Systems Assistant, SIR	0.5 position	: RC
(4) Privacy Officer, HIPAA	1.0 position	: RC
(5) Personal Computer Systems Manager	1.0 position	: RC
(6) Training Officer	1.0 position	: RC
(7) Training Officer, SIR	0.5 position	: RC
(8) Human Resources Manager	1.0 position	: RC

CORE STAFFING CLASSIFICATION

STAFFING FORMULA

A. PERSONAL SERVICES (continued)

2. ADMINISTRATION (continued)

d. Clerical Support

(1) Office Supervisor	1.0 position	:	RC
(2) PBX/Mail/File Clerk	3.0 positions	:	RC
(3) Executive Secretary	2.5 positions	:	RC
(4) MD/Psychologist Secretary II	1.0 position	:	2 Physicians in Item 1.a.(3)(a), SB 1038 Health Reviews
(5) MD/Psychologist Secretary I	1.0 position	:	2 Physicians/Psychologists in Items 1.a.(1)(a) and (b), Clinical Intake and Assessment
(6) Secretary II	1.0 position	:	6 professionals in Items: 1.a.(3)(b), SB 1038 Health Reviews 1.b.(9) and (10), DDS Incidental Medical Care Regulations 1.c., Quality Assurance/ Quarterly Monitoring 1.e.(1), (2) and (9)(a) and (b) Community Services 1.e.(9)2., Community Services (see Secty I, line 1.e.(9)1., below) 1.f.(1) thru (3), Special Incident Reporting 2.b.(1), Federal Program Coordinators (FFP Phase I) 2.b.(2), Federal Compliance Coordinators (FFP Phase II) 2.c., Information Systems and Human Resources
(7) Secretary I	1.0 position	:	6 professionals in Items: 1.a.(1)(c) and (d), Clinical Intake and Assessment 1.b.(1) to (5) and (8), Intake/Case Mgt. 1.b.(6) and(7) Capitol People First 1.d., Early Intervention 1.e.(3), (4), (6) to (8), Community Services 1.e.(9)1., Community Services (see Secty II, line 1.e.(9)2., above)

Staffing for Collection of FFP for Contracted Services

STAFFING:			2014-15	2015-16
PERSONAL SERVICES:				
	<u>Positions</u>	<u>Salary</u>		
• Positions and Salaries				
Community Program Specialist I	21.00	\$42,948	\$901,908	\$901,908
Account Clerk II	21.00	29,220	613,620	613,620
Total, Positions and Salaries	42.00		\$1,515,528	\$1,515,528
• Fringe Benefits (@ 23.7%):			359,180	359,180
• Salary Savings (@ -5.5%):			-103,109	-103,109
Total Personal Services			\$1,771,599	\$1,771,599
OPERATING EXPENSES:				
• Operating Expenses:				
Professional Positions:	\$3,400	Per Position	\$71,400	\$71,400
Clerical Positions:	2,400	Per Position	50,400	50,400
• Rent Factor:	7,968	Per Position	334,656	334,656
Total Operating Expenses			\$456,456	\$456,456
TOTAL STAFFING			\$2,228,055	\$2,228,055
(Rounded)			\$2,228,000	\$2,228,000

FUNDING:

General Fund (GF) and Home and Community-Based Services (HCBS) Waiver Administration.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

No change.

EXPENDITURES:

	TOTAL	\$2,228,000	\$2,228,000
	GF	\$1,771,000	\$1,771,000
	<i>GF Match</i>	456,000	456,000
	<i>GF Other</i>	1,315,000	1,315,000
	Reimbursements	\$457,000	\$457,000
	<i>HCBS Waiver Administration</i>	457,000	457,000

Agnews Ongoing Workload

A. Community Placement Plan (CPP) Staffing	Enacted Budget		Updated 2014-15		2014-15 Request		2015-16		2015-16 Over Enacted Budget	
	Positions	Cost	Positions	Cost	Positions	Cost	Positions	Cost	Positions	Cost
1. Unified Operations Costs										
a. Personal Services										
(1) Quality Assurance/Management	6.50	\$503,488	6.50	\$503,488	0.00	\$0	6.50	\$503,488	0.00	\$0
(2) Health Care Community Specialists	4.00	503,000	4.00	503,000	0.00	0	4.00	503,000	0.00	0
(3) Total Personal Services	10.50	\$1,006,488	10.50	\$1,006,488	0.00	\$0	10.50	\$1,006,488	0.00	\$0
b. Operating Expenses										
(1) Operating Expenses		\$27,718		\$27,718		\$0		\$27,718		\$0
(2) Rent		51,800		51,800		0		51,800		0
(3) Total Operating Expenses		\$79,518		\$79,518		\$0		\$79,518		\$0
c. Subtotal Unified Operations Costs (Rounded)	10.50	\$1,086,006	10.50	\$1,086,006	0.00	\$0	10.50	\$1,086,006	0.00	\$0
2. State Employees in the Community										
a. Personal Services	13.40	\$1,193,669	13.40	\$1,193,669	0.00	\$0	13.40	\$1,193,669	0.00	\$0
b. Operating Expenses		73,833		73,833		0		73,833		0
c. Total State Employees in the Community (Rounded)		\$1,267,502		\$1,267,502		\$0		\$1,267,502		\$0
(Rounded)		\$1,268,000		\$1,268,000		\$0		\$1,268,000		\$0
3. Total CPP Staffing	23.90	\$2,354,000	23.90	\$2,354,000	0.00	\$0	23.90	\$2,354,000	0.00	\$0
B. Placement Continuation Staffing										
1. Nurse	3.00	\$356,000	3.00	\$356,000	0.00	\$0	3.00	\$356,000	0.00	\$0
2. Oral Health Care	3.00	236,000	3.00	236,000	0.00	0	3.00	236,000	0.00	0
3. Subtotal Placement Continuation Staffing	6.00	\$592,000	6.00	\$592,000	0.00	\$0	6.00	\$592,000	0.00	\$0
C. Total (A + B) (Rounded)	29.90	\$2,946,000	29.90	\$2,946,000	0.00	\$0	29.90	\$2,946,000	0.00	\$0

Agnews Ongoing Workload

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over Enacted Budget
EXPENDITURES:					
TOTAL	\$2,946,000	\$2,946,000	\$0	\$2,946,000	\$0
General Fund (GF)	1,234,000	1,440,000	206,000	1,440,000	206,000
<i>GF Match</i>	696,000	626,000	-70,000	626,000	-70,000
<i>GF Other</i>	538,000	814,000	276,000	814,000	276,000
Reimbursements	1,712,000	1,506,000	-206,000	1,506,000	-206,000
<i>Home and Community-Based</i>					
<i>Waiver Administration</i>	188,000	189,000	1,000	189,000	1,000
<i>Medicaid Administration</i>	1,524,000	1,317,000	-207,000	1,317,000	-207,000

Lanterman Developmental Center Closure

Community Placement Plan Staffing	Enacted Budget		Updated 2014-15		2014-15 Request		2015-16		2015-16 Over Enacted Budget	
	Positions	Cost	Positions	Cost	Positions	Cost	Positions	Cost	Positions	Cost
1. Personal Services										
Quality Assurance/Management	3.00	\$255,000	3.00	\$255,000	0.00	\$0	3.00	\$255,000	0.00	\$0
Placement	1.00	85,000	1.00	85,000	0.00	0	0.00	0	-1.00	-85,000
Oral Health Care Consultant	8.00	624,000	8.00	624,000	0.00	0	8.00	624,000	0.00	0
Nurse Consultants	5.00	425,000	5.00	425,000	0.00	0	5.00	425,000	0.00	0
Health Care Community Specialists	8.00	1,006,000	8.00	1,006,000	0.00	0	8.00	1,006,000	0.00	0
Total Personal Services	25.00	\$ 2,395,000	25.00	\$ 2,395,000	0.00	\$0	24.00	\$ 2,310,000	-1.00	-\$85,000
2. Operating Expenses										
Operating Expenses		\$85,000		\$85,000		\$0		\$81,600		-\$3,400
Rent		192,375		192,375		0		184,680		-\$7,695
Total Operating Expenses		\$277,375		\$277,375		\$0		\$266,280		-\$11,095
3. Grand Total (1 + 2)		\$2,672,375		\$2,672,375		\$0		\$2,576,280		-\$96,095
(Rounded)	25.00	\$2,672,000	25.0	\$2,672,000	0.0	\$0	24.0	\$2,576,000	-1.0	-\$96,000

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data.

EXPENDITURES:

TOTAL	\$2,672,000	\$2,672,000	\$0	\$2,576,000	-\$96,000
General Fund (GF)	\$668,000	\$1,467,000	\$799,000	\$2,576,000	\$1,908,000
GF Match	668,000	402,000	-266,000	0	-668,000
GF Other	0	1,065,000	1,065,000	2,576,000	2,576,000
Reimbursements	\$2,004,000	\$1,205,000	-\$799,000	\$0	-\$2,004,000
Money Follows the Person	2,004,000	1,205,000	-799,000	0	-2,004,000

Federal Compliance

DESCRIPTION:

With the support of the Department of Health Care Services (the federally-recognized single state agency for Medicaid), the Department of Developmental Services utilizes federal funding combined with state General Fund (GF) expenditures to meet the mandate established by the Lanterman Developmental Disabilities Services Act. Services are provided to persons with developmental disabilities through a system of 21 not-for-profit agencies called regional centers (RC). Federal financial participation (FFP) in state programs is provided through the Home and Community-Based Services (HCBS) Waiver, and the Targeted Case Management (TCM), Nursing Home Reform (NHR), and Medicaid Administration (MA) programs.

There are both fiscal and program requirements placed on the RC that enable the State to receive federal funding. This workload includes ongoing tasks, such as reviewing choice statements, handling complex notice of action issues related to the HCBS Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, ensuring records are maintained in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, RCs are required to complete ongoing tasks related to the TCM and NHR programs such as ensuring compliance with Medicaid State Plan requirements for case management activities and ensuring those admitted to the nursing facilities receive appropriate screenings.

ASSUMPTIONS/METHODOLOGY:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16 2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> ● HCBS Waiver Operations costs for HCBS Waiver activities in 2014-15 and 2015-16 are based upon 6.5% of 1995-96 HCBS Waiver reimbursements of \$325,148,000. (100% GF) 	\$21,135,000	\$21,135,000	\$0	\$21,135,000	\$0	\$0
<ul style="list-style-type: none"> ● Compliance with HCBS Waiver Requirements Provides funding to ensure the RC system maintains compliance with the HCBS Waiver. Functions include maintaining average service coordinator-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; clinical consultation, monitoring, and review of consumers' health status; and developing and annually reviewing Waiver consumers' individual program plans and Client Developmental Evaluation Reports. See the attachment on page E-3.5 for fiscal detail. 	\$9,200,000	\$9,200,000	\$0	\$9,200,000	\$0	\$0

Funding: \$3,950,000 GF Match, \$3,300,000 TCM, \$1,950,000 MA.

Federal Compliance

ASSUMPTIONS/METHODOLOGY (continued):	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16	2015-16
					Over 2014-15	Over Enacted Budget
<p>• Case Managers to Meet HCBS Waiver Requirements</p> <p>An April 21, 2006 letter from the Centers for Medicare and Medicaid Services (CMS) indicated that the State must "review and revise, as needed, its policies to assure that the waiver participant to case manager ratio of 62:1 is consistently met." This augmentation by the California Legislature is intended to ensure further compliance. See the attachment on page E-3.6 for fiscal detail.</p> <p>Enacted Budget: 2014-15: \$6,207,000 GF Match, \$6,207,000 TCM.</p> <p>Governor's Budget: Updated 2014-15: \$6,539,000 GF Match, \$6,539,000 TCM. 2015-16: \$6,539,000 GF Match, \$6,539,000 TCM.</p> <p>Change from Prior Estimate and Reason for Year-to-Year Change: Reflects increase in the number of case manager positions to meet the ratio of 62:1.</p>	\$12,414,000	\$12,953,000	\$539,000	\$13,078,000	\$125,000	\$664,000
<p>• TCM</p> <p>Operations costs for TCM activities in 2014-15 and 2015-16 are based upon 5.8% of 1995-96 TCM reimbursements of \$71,181,000. (100% GF)</p>	\$4,129,000	\$4,129,000	\$0	\$4,129,000	\$0	\$0
<p>• NHR/Pre-Admission Screening and Resident Review (PASRR)</p> <p>Operations costs for RC to perform activities associated with NHR and to handle the increased workload of processing PASRR Levels I and II screening and evaluation activities. Persons determined to be eligible for services under the Lanterman Act will result in an increase in the number of consumers who require RC case management and other specialized services. RC, through clinical assessments, will identify individuals who meet the expanded federal definition of developmental disability. (100% GF)</p>	\$473,000	\$473,000	\$0	\$473,000	\$0	\$0

Federal Compliance

ASSUMPTIONS/METHODOLOGY (continued):

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> Federal Medicaid Requirement for RC HCBS Services Pursuant to federal law and mandated by the CMS, RC are required to gather and review business ownership, control and relationship information from current and prospective vendors. Additionally, RC are required to determine that all vendors are eligible to participate as Medicaid service providers. Furthermore, on a periodic basis, RC are required to verify that vendors continue to meet all applicable vendorization requirements (e.g. professional licensure), in order for the State to comply with federal law and meet the CMS mandated Home and HCBS Waiver assurance that only qualified providers deliver Medicaid funded services. (Funding is 50% HCBS Wavier Administration, 50% GF) <p>Funding: \$492,000 GF Match, \$492,000 HCBS Waiver Administration.</p>	\$984,000	\$984,000	\$0	\$984,000	\$0	\$0
<ul style="list-style-type: none"> EXPENDITURES 	\$48,335,000	\$48,874,000	\$539,000	\$48,999,000	\$125,000	\$664,000

Federal Compliance

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16 2015-16	2015-16	2015-16
					Over 2014-15	Over Enacted Budget
TOTAL	\$48,335,000	\$48,874,000	\$539,000	\$48,999,000	\$125,000	\$664,000
GF	\$36,386,000	\$36,655,000	\$269,000	\$36,718,000	\$63,000	\$332,000
<i>GF Match</i>	10,649,000	10,919,000	270,000	10,981,000	62,000	332,000
<i>GF Other</i>	25,737,000	25,736,000	-1,000	25,737,000	1,000	0
Reimbursements	\$11,949,000	\$12,219,000	\$270,000	\$12,281,000	\$62,000	\$332,000
<i>HCBS Waiver Administration</i>	492,000	492,000	0	492,000	0	0
<i>MA</i>	1,950,000	1,950,000	0	1,950,000	0	0
<i>TCM</i>	9,507,000	9,777,000	270,000	9,839,000	62,000	332,000

Attachment

Compliance with Home and Community-Based Services Waiver Requirements

Service	<u>Positions</u>	<u>Monthly Salary</u>	<u>Annual Salary</u>	<u>Annual Salary Cost</u>	<u>Benefit %</u>	<u>Annual Benefit Cost</u>	<u>Operating Expenses (OE) Annually PP</u>	<u>Rent Annually PP</u>	<u>Annual OE Total</u>	<u>Total Annual Salaries & OE (rounded)</u>
Coordinators	103.1	\$3,512	\$42,144	\$4,345,046	32.2%	\$1,399,105	\$4,248	\$4,200	\$870,989	\$6,600,000
Physicians	9.0	9,443	113,316	1,019,844	29.5%	300,854	7,632	5,388	117,180	1,400,000
Psychologists	13.7	4,824	57,888	793,066	28.4%	225,231	5,688	4,416	138,425	1,200,000
Totals	125.8			\$6,157,956		\$1,925,190			\$1,126,594	\$9,200,000
TOTAL EXPENDITURES:										\$9,200,000

FUNDING:

These positions are eligible for the following reimbursements: Targeted Case Management (TCM) 50% Federal Financial Participation (FFP), Medicaid Administration 75% FFP. The State General Fund (GF) portion is that which is non-FFP.

EXPENDITURES:

	<u>2014-15</u>	<u>2015-16</u>
TOTAL	\$9,200,000	\$9,200,000
General Fund	\$3,950,000	\$3,950,000
GF Match	3,950,000	3,950,000
Reimbursements	\$5,250,000	\$5,250,000
Medicaid Administration	1,950,000	1,950,000
TCM	3,300,000	3,300,000

Increase in Case Managers to Meet Federal Audit Requirements

	Enacted Budget	2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
A. Total Number of Budgeted HCBS Waiver-related Client Program Coordinator (CPC) Positions	2,191.25	2,297.68	106.43	2,308.58	10.90	117.33
B. Case Management Coverage Factor (e.g., immediate coverage for CPC vacancies)a/	7.4%	7.4%	0.0%	7.4%	0.0%	0.0%
C. Number of CPC Vacancies Related to HCBS Waiver Consumers (Item A x Item B) ^{b/}	162.15	170.03	7.88	170.83	0.81	8.68
D. CPC Salary	\$39,606	\$39,606	\$0.00	\$39,606	\$0	\$0.00
E. Total CPC Salary Expenditures (Item C x Item D)	\$6,422,212	\$6,734,142	\$311,930	\$6,766,088	\$31,946	\$343,876
F. Supervising Counselors (at 1:10 CPCs)						
Enacted Budget	162.15 x 1/10 = 16.22					
2014-15	170.03 x 1/10 = 17.00					
2015-16	170.83 x 1/10 = 17.08					
		16.22	17.00	0.78	17.08	0.08
G. Supervising Counselor Salary	\$62,784	\$62,784	\$0	\$62,784	\$0	\$0.00
H. Total Supervising Counselor Salary Expenditures (Item F x Item G)	\$1,018,356	\$1,067,328	\$48,972	\$1,072,351	\$0	\$53,995
I. Secretary II (at 1:6 CPCs and Supervising Counselors)						
Enacted Budget	162.15 + 16.22 = 178.37 x 1/6 = 29.73					
2014-15	162.15 + 16.22 = 178.37 178.37 x 1/6 = 29.73					
2015-16	170.83 + 17.08 = 187.91 187.91 x 1/6 = 31.32					
		29.73	29.73	0.00	31.32	1.59
J. Secretary II Salary	\$28,736	\$28,736	\$0	\$28,736	\$0	\$0
Total Secretary II Salary Expenditures (Item I x Item J)	\$854,321	\$854,321	\$0	\$900,012	\$0	\$0

Increase in Case Managers to Meet Federal Audit Requirements

		Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 over Enacted Budget
K. Fringe Benefits							
CPC's and All Other Staff	23.7%	\$1,965,889	\$2,051,422	\$85,534	\$2,071,013	\$19,590	\$105,124
		<u>\$1,965,889</u>	<u>\$2,051,422</u>	<u>\$85,534</u>	<u>\$2,071,013</u>	<u>\$19,590</u>	<u>\$105,124</u>
L. Salary Savings							
CPCs	1.0%	-\$79,443	-\$83,301	-3,859	-\$83,697	-\$395	-4,254
All Other Staff	5.5%	-127,408	-130,739	-3,332	-134,190	-3,450	-6,782
		<u>-206,851</u>	<u>-214,041</u>	<u>-7,190</u>	<u>-217,886</u>	<u>-3,845</u>	<u>-11,035</u>
M. Total Personal Services (E + H + J + K + L)		\$10,053,927	\$10,493,173	\$439,246	\$10,591,578	\$47,691	\$537,651
N. Operating Expenses							
Professional Positions	\$3,400	\$606,467	\$635,896	\$29,429	\$638,911	\$3,015	\$32,444
Clerical Positions	\$2,400	71,352	71,352	0	75,168	3,816	3,816
Rent	\$8,086	1,682,717	1,752,708	69,991	1,772,734	20,026	90,017
Total Operating Expenses		\$2,360,536	\$2,459,956	\$99,420	\$2,486,813	\$26,857	\$126,277
O. Total Costs (Item M + Item N)		\$12,414,463	\$12,953,129	538,666	\$13,078,391	\$125,262	663,928
Rounded		\$12,414,000	\$12,953,000	\$539,000	\$13,078,000	\$125,000	\$664,000

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects increase in the number of case managers to meet the ratio of 62:1.

TOTAL	\$12,414,000	\$12,953,000	\$539,000	\$13,078,000	\$125,000	\$664,000
General Fund (GF)	\$6,207,000	\$6,476,000	\$269,000	\$6,539,000	\$63,000	\$332,000
<i>GF Match</i>	<i>6,207,000</i>	<i>6,476,000</i>	<i>269,000</i>	<i>6,539,000</i>	<i>63,000</i>	<i>332,000</i>
Reimbursements	\$6,207,000	\$6,477,000	\$270,000	\$6,539,000	\$62,000	332,000
<i>Targeted Case Management</i>	<i>\$6,207,000</i>	<i>6,477,000</i>	<i>270,000</i>	<i>\$6,539,000</i>	<i>62,000</i>	<i>332,000</i>

a/ Assume the vacancy percentage related to all CPCs applies to HCBS Waiver-related CPCs.

b/ Need to cover these vacancies to meet the Centers for Medicare & Medicaid compliance audit recommendation.

Projects

DESCRIPTION:

This category of the regional center (RC) operating expenses includes various contracts, programs, and projects as described below:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
ASSUMPTIONS/METHODOLOGY:						
<ul style="list-style-type: none"> ● Information Technology Costs <ul style="list-style-type: none"> ● RC Application Support ● Data Processing 100% General Fund (GF) ● Clients' Rights Advocacy ● Quality Assessment Contract 	\$2,917,000	\$3,667,000	\$750,000	\$2,917,000	-\$750,000	\$0
	1,667,000	2,567,000	900,000	1,817,000	-750,000	150,000
	1,250,000	1,100,000	-150,000	1,100,000	0	-150,000
	\$5,792,000	\$5,992,000	\$200,000	\$6,202,000	\$210,000	\$410,000
<p>The Department of Developmental Services (DDS) contracts with Disability Rights California for clients' rights advocacy services for RC consumers. 45% of costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% federal financial participation (FFP).</p>						
	\$3,139,000	\$3,139,000	\$0	\$3,129,000	-\$10,000	-\$10,000
<p>Assembly Bill X4 9, Welfare and Institutions Code 4571 consolidated the Life Quality Assessment and the Movers Study which sunset on June 30, 2009 into one improved quality assurance survey to measure consumer and family satisfaction, provision of services and personal outcomes. 45% of costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP.</p>						

Projects

METHODOLOGY (continued):	Enacted	Updated	2014-15		2015-16	2015-16
	Budget	2014-15	Request	2015-16	2014-15	Over Enacted Budget
<ul style="list-style-type: none"> Direct Support Professional Training WIC Section 4695.2, mandates all direct support service professionals working in licensed community care facilities to complete two 35-hour competency-based training courses or pass challenge tests within the first two years of employment. DDS contracts with the Department of Education which in turn administers the training through the Regional Occupational Centers and Programs. 89% costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. 	\$2,620,000	\$2,620,000	\$0	\$2,636,000	\$16,000	\$16,000
<ul style="list-style-type: none"> Office of Administrative Hearings Federal law requires DDS to have a process to adjudicate disputes involving Medicaid beneficiaries; both the fair hearing and mediation processes satisfy this requirement. DDS contracts with the Office of Administrative Hearings to: (1) conduct fair hearings to resolve conflicts between RC and their consumers. Section 4700 et seq. of the Lanterman Act provides mediation as a potential option to consumers whose services are proposed to be terminated, reduced, or suspended, and (2) provide mediation services. 45% costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. 	\$2,910,000	\$2,910,000	\$0	\$2,910,000	\$0	\$0

Projects

METHODOLOGY (continued):	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16	
					Over 2014-15	Over Enacted Budget
<ul style="list-style-type: none"> Wellness Projects WIC Sections 4696 and 4646.5 contain requirements that are fulfilled through the Wellness Initiative. Project priorities are determined annually by a selection committee comprised of legislative staff, advocacy groups, consumers, RC representatives and the Community Services Division within DDS. The types of projects generally fall into the following categories: health professional training programs, medication reviews, health assessments, specialty clinics, telemedicine, resource development for persons with a dual diagnosis, training programs for parents and consumers, and dental health programs and services. (100% GF) 	\$100,000	\$100,000	\$0	\$100,000	\$0	\$0
<ul style="list-style-type: none"> Foster Grandparent/Senior Companion (FG/SC) Through these programs, men and women, 55 years of age and older, devote up to 20 hours a week to help people with developmental disabilities lead more independent and productive lives. CY 2014-15: \$713,000 FG/SC, \$1,397,000 GF BY 2015-16: \$812,000 FG/SC, \$1,676,000 GF 	\$2,110,000	\$2,110,000	\$0	\$2,488,000	\$378,000	\$378,000
<ul style="list-style-type: none"> Special Incident Reporting/Risk Assessment DDS contracts for the services of an independent specialized risk-assessment and mitigation contractor, possessing a multidisciplinary capacity, to conduct key activities such as data analysis, training, mortality reviews, site reviews, and to provide services related to protecting the health, safety and well-being of consumers. 45% costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. 	\$873,000	\$873,000	\$0	\$838,000	-\$35,000	-\$35,000

Projects

METHODOLOGY (continued):	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> ● Increased Access to Mental Health Services <ul style="list-style-type: none"> ● Regional Best Practice Training ● RC Technical Assistance and Liaison Support <p>100% Mental Health Services Fund (MHSF)</p>	\$740,000	\$740,000	\$0	\$740,000	\$0	\$0
	<i>675,000</i>	<i>654,000</i>	<i>-21,000</i>	<i>740,000</i>	<i>86,000</i>	<i>65,000</i>
	<i>65,000</i>	<i>86,000</i>	<i>21,000</i>	<i>0</i>	<i>-86,000</i>	<i>-65,000</i>
<ul style="list-style-type: none"> ● Sherry S. Court Case <p>In 1981 the Supreme Court ruled In Re Hop that before an adult is admitted to a developmental center, he/she must be afforded due process through a court hearing to determine if such a placement is warranted. Subsequently, in the Sherry S. case, the court ruled that a conservator or parent of an adult has authority to admit that adult through the Hop process. This estimate reflects the RC costs of processing Hop actions for RC consumers. (100% GF)</p>	\$211,000	\$211,000	\$0	\$211,000	\$0	\$0
<ul style="list-style-type: none"> ● 2003-04 FFP Enhancement, Phase II <p>These costs are associated with legal support for federal program activities. (100% GF)</p>	\$500,000	\$500,000	\$0	\$500,000	\$0	\$0
<ul style="list-style-type: none"> ● University Enterprises, Inc. <p>DDS contracts with University Enterprises, Inc. for statistical forecasting assistance in estimating RC costs. (100% GF)</p>	\$113,000	\$113,000	\$0	\$113,000	\$0	\$0

Projects

METHODOLOGY (continued):	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> Affordable Housing In 1994, pursuant to the court decision commonly referred to as the Coffelt Settlement Agreement, funds were allocated to create affordable housing for persons with developmental disabilities. Funding was allocated on a per capita basis to four geographic regions throughout California to provide affordable housing to very low-income individuals receiving services from the RC. Annual loan forgiveness for the term of twenty years was stipulated to occur based on each project's compliance with the Standard and Regulatory Agreement. Fiscal review and site monitoring activities are required annually. Technical assistance on additional housing-related issues is obtained through an interagency agreement with the Department of Housing and Community Development as needed. (100% GF) 	\$94,000	\$94,000	\$0	\$94,000	\$0	\$0
<ul style="list-style-type: none"> Review of Senate Bill (SB) 1175 Housing Proposals Pursuant to Chapter 617, Statutes of 2008, (SB 1175), the Developmental Disabilities Account is used as a depository for application fees collected by DDS for conducting the review and approval of housing proposals. Developmental Disabilities Services Account (DDSA) 100%. 	\$150,000	\$150,000	\$0	\$150,000	\$0	\$0
<ul style="list-style-type: none"> Extension of Denti-Cal Infrastructure for RC Funded Dental This project allows the RC to use the expertise and Department of Health Care Services (DHCS) system for reviewing treatment plans and approving claims for dental services consistent with the DHCS Denti-Cal program. (100% GF). 	\$1,197,000	\$247,000	-\$950,000	\$732,000	\$485,000	-\$465,000

Projects

METHODOLOGY (continued):	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> Homeland Security Grant Program (HSGP) The RC portion of the HSGP award will fund projects at specific RC's that will include such things as equipment, training, and exercise needs to prevent, protect against, respond to, and recover from acts of terrorism and other catastrophic events. (100% Homeland Security Grant) 	\$411,000	\$ 411,000	\$0	\$411,000	\$0	\$0
<ul style="list-style-type: none"> EXPENDITURES 	\$23,877,000	\$23,877,000	\$0	\$24,171,000	\$294,000	\$294,000

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflectes updated expenditure data.

Projects

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16	
					Over 2014-15	Over Enacted Budget
TOTAL	\$23,877,000	\$23,877,000	\$0	\$24,171,000	\$294,000	\$294,000
GF	\$17,835,000	\$17,790,000	-\$45,000	\$17,941,000	\$151,000	\$106,000
<i>GF Match</i>	4,027,000	4,071,000	44,000	4,115,000	44,000	88,000
<i>GF Other</i>	13,808,000	13,719,000	-89,000	13,826,000	107,000	18,000
Reimbursements	\$4,439,000	\$4,484,000	\$45,000	\$4,528,000	\$44,000	\$89,000
<i>HCBS Waiver Administration</i>	4,028,000	4,073,000	45,000	4,117,000	44,000	89,000
<i>HSGP</i>	411,000	411,000	0	411,000	0	\$0
Developmental Disabilities Services Account	\$150,000	\$150,000	\$0	\$150,000	\$0	\$0
Mental Health Services Fund	\$740,000	\$740,000	\$0	\$740,000	\$0	\$0
Federal Funds	\$713,000	\$713,000	\$0	\$812,000	\$99,000	\$99,000
<i>Foster Grandparent Program</i>	713,000	713,000	0	812,000	99,000	\$99,000

Intermediate Care Facility-Developmentally Disabled Administrative Fees

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility-Developmentally Disabled (ICF-DD), there are administrative costs for Regional Centers (RCs) billing on behalf of the ICF-DD.

ASSUMPTIONS/METHODOLOGY:

Billing costs are 1.5% of the cost of day treatment and transportation.

2014-15: Total billing costs are \$1.7 million for RC administration .

- Purchase of Services (POS) costs for day treatment and transportation for 2013-14 totals \$111.9 million. RC administration costs are 1.5% of the total or \$1.7 million.

2015-16: Total billing costs are \$1.7 million for RC administration.

- POS costs for day treatment and transportation for 2013-14 totals \$111.9 million. RC administration costs are 1.5% of the total or \$1.7 million.

FUNDING:

The Federal Medical Assistance Percentages establishes the FFP for expenditures associated with Operations, which is 50 percent for 2014-15 and 2015-16.

CHANGE FROM PRIOR ESTIMATE AND YEAR-TO-YEAR CHANGE:

Reflects updated POS expenditures for adult day treatment and transportation services for residents of ICF-DD's.

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$1,703,000	\$1,678,000	-\$25,000	\$1,678,000	\$0	-\$25,000
General Fund	851,000	839,000	-12,000	839,000	0	-12,000
<i>General Fund Match</i>	<i>851,000</i>	<i>839,000</i>	<i>-12,000</i>	<i>839,000</i>	<i>0</i>	<i>-12,000</i>
Reimbursements	852,000	839,000	-13,000	839,000	0	-13,000
<i>FFP (Quality Assurance Fees)</i>	<i>852,000</i>	<i>839,000</i>	<i>-13,000</i>	<i>839,000</i>	<i>0</i>	<i>-13,000</i>

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Community Care Facilities

DESCRIPTION:

Pursuant to Health and Safety Code Section 1502 (a)(1), (4), (5), or (6); Section 1567.50. (a); and Section 1569.2(k), Regional Centers (RCs) contract with vendors of facilities licensed by the Department of Social Services (DSS) to provide 24-hour residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustenance of daily living activities.

ASSUMPTIONS:

- CCF Consumer and Expenditure Data Source: Purchase of Services (POS) Claims Data file, dated August 1, 2014 with actuals through May 2014. Data were adjusted for lag based on historical data.
- Supplemental Security Income/State Supplementary Program (SSI/SSP) payment is a grant received by persons in CCFs from the Social Security Administration (the "SSI" portion), along with a supplemental payment from the State (the "SSP" portion). For individuals who receive SSI/SSP (an estimated 94.9 percent of persons in CCFs), the RCs fund only the portion of the facility costs that is above the SSI/SSP level of payment (i.e., the "net" costs). Funds for the SSI/SSP grants are in the DSS budget. This factor is incorporated in the CCF estimate.

METHODOLOGY:

- **Base:** Actual Fiscal Year 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16, the prior-year estimate for base and growth, with the following adjustments, was used as the base:
 - *Updated Costs without CPP/Prior Year Estimate*
 - *Lanterman Continuation Costs in 2012-13*
 - *AB 10 Minimum Wage Increase, July 1, 2014*

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
	\$982,587,000	\$992,918,000	\$10,331,000	\$1,031,160,000	\$38,242,000	\$48,573,000
• <i>Updated Costs without CPP/Prior Year Estimate</i>	925,634,000	935,965,000	10,331,000	974,207,000	38,242,000	48,573,000
• <i>Lanterman Continuation Costs in 2012-13</i>	4,153,000	4,153,000	0	4,153,000	0	0
• <i>AB 10 Minimum Wage Increase, July 1, 2014</i>	52,800,000	52,800,000	0	52,800,000	0	0

Community Care Facilities

METHODOLOGY (continued):	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> Utilization Change/Growth: 						
Total Utilization Change/Growth for 2014-15	\$24,426,000	\$38,242,000	\$13,816,000	<i>In Base</i>	<i>In Base</i>	
Total Utilization Change/Growth for 2015-16				\$39,424,000	\$1,182,000	\$14,998,000
<ul style="list-style-type: none"> Caseload Growth 						
<i>Estimate for 2014-15</i>	7,691,000	6,442,000	-1,249,000			
<i>Estimate for 2015-16</i>				4,365,000	-2,077,000	-3,326,000
<p>The 2014-15 and 2015-16 utilization change/growth were estimated based upon updated expenditure trends. The estimate reflects interventions for anomalies in the expenditure trends.</p>						
<p>Estimated Caseload Growth:</p>						
Enacted Budget:	2,457	Person Months				
Est. for 2014-15:	1,995	Person Months				
Est. for 2015-16:	1,234	Person Months				
<ul style="list-style-type: none"> Average Cost Increase: 						
<i>Estimate for 2014-15</i>	16,735,000	31,800,000	15,065,000			
<i>Estimate for 2015-16</i>				35,059,000	3,259,000	18,324,000
<p>Reflects the costs of consumers needing higher levels of CCF care than in the past.</p>						
<ul style="list-style-type: none"> Subtotal Base and Growth 	\$1,007,013,000	\$1,031,160,000	\$24,147,000	\$1,070,584,000	\$39,424,000	\$63,571,000
<ul style="list-style-type: none"> CPP: See CPP methodology for detail. 	\$14,884,000	\$14,884,000	\$0	\$14,123,000	-\$761,000	-\$761,000
<ul style="list-style-type: none"> SSI/SSP Increases Effective January 1, 2015 	-\$2,452,000	-\$3,560,000	-\$1,108,000	-\$4,274,000	-\$714,000	-\$1,822,000
<p>The SSI/SSP rate is estimated to increase from \$1,003 to \$1,008, January 2015</p>						
<ul style="list-style-type: none"> TOTAL EXPENDITURES 	\$1,019,445,000	\$1,042,484,000	\$23,039,000	\$1,080,433,000	\$37,949,000	\$60,988,000

Community Care Facilities

FUNDING:

CCF expenditures are funded by the General Fund (GF), Home and Community-Based Services (HCBS) Waiver, 1915 (i) State Plan Amendment (SPA), and the Title XX Block Grant, which includes Temporary Assistance to Needy Families (TANF) and Money Follows the Person. Based on actual 2013-14 billing data, approximately 81 percent of CCF expenditures are eligible for HCBS Waiver reimbursement. Of the HCBS Waiver and 1915 (i) SPA eligible amount, 50 percent is federal financial participation (FFP). Of the Money Follows the Person eligible amount, 75 percent is FFP. The State GF portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data.

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$1,019,445,000	\$1,042,484,000	\$23,039,000	\$1,080,433,000	\$37,949,000	\$60,988,000
GF	\$553,932,000	\$582,951,000	\$29,019,000	\$611,089,000	\$28,138,000	\$57,157,000
<i>GF Match</i>	458,132,000	448,332,000	-9,800,000	459,108,000	10,776,000	976,000
<i>GF Other</i>	95,800,000	134,619,000	38,819,000	151,981,000	17,362,000	56,181,000
Reimbursements	\$465,513,000	\$459,533,000	-\$5,980,000	\$469,344,000	\$9,811,000	\$3,831,000
<i>HCBS Waiver</i>	422,522,000	418,496,000	-4,026,000	431,977,000	13,481,000	9,455,000
<i>1915 (i) SPA</i>	32,047,000	29,836,000	-2,211,000	27,131,000	-2,705,000	-4,916,000
<i>Title XX TANF</i>	7,381,000	7,448,000	67,000	7,448,000	0	67,000
<i>Money Follows the Person</i>	3,563,000	3,753,000	190,000	2,788,000	-965,000	-775,000

Medical Facilities

DESCRIPTION:

Pursuant to the Health and Safety Code, Sections 1250, 1255.6, and 1255.7, among others, the regional centers (RCs) vendor Intermediate Care Facilities (ICFs) and Developmentally Disabled Continuous Nursing Care (DD/CNC) for consumers not eligible for Medi-Cal. ICFs are health facilities licensed by the Licensing and Certification Division of the State Department of Public Health (CDPH) to provide 24-hour-per-day services and certified for Medi-Cal reimbursement for services by the State Department of Health Care Services (DHCS). The types of ICFs providing services for Californians with developmental disabilities are: ICF/DD (Developmentally Disabled), ICF/DD-H (Habilitative), ICF/DD-N (Nursing), and DD/CNC (Continuous Nursing Care). The types of Non-ICFs providing services for Californians with developmental disabilities are: Specialized Residential Facilities (Health) and Nursing Facilities (NF).

ASSUMPTIONS:

ICF/DD

- Assumptions regarding caseload and facility growth for 2014-15 and 2015-16 are based on the 2014 Regional Center Spring Survey.
- The daily rates for 4 to 6 bed ICFs for 2014-15 and 2015-16 are provided by the DHCS and are effective May 27, 2014, as follows: \$187.62 for DD-Hs, \$213.95 for DD-Ns, \$425.92 for ventilator Dependent DD/CNC, \$385.46 for non-ventilator Dependent DD/CNC.
- For 2014-15 and 2015-16 it is assumed that 1.5 percent of the consumers in Medical Facilities will not be funded by Medi-Cal; therefore, Department of Developmental Services (DDS) will pay their Medical Facility costs.
- Assumes consumers will reside in an ICF/DD for 365 days.
- Estimated New Consumers: Per 2014 Regional Center Spring Survey

	Enacted Budget	Updated 2014-15	2015-16
DD-H	64	72	72
DD-N	70	48	48
DD/CNC	0	0	0

Non-ICF/DD

- Non-ICF/DD Expenditure Data Source: Purchase of Services (POS) Claims Data file, dated August 1, 2014, with actuals through May 2014. Data were adjusted for lag based on historical data.

METHODOLOGY:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
• Base: Actual Fiscal Year 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16, the prior-year estimate for base and growth, with the following adjustments was used as the base:	\$28,866,000	\$19,917,000	-\$8,949,000	\$20,182,000	\$265,000	-\$8,684,000
• Updated Costs without CPP/Prior Year Estimate	30,041,000	19,917,000	-10,124,000	20,182,000	265,000	-9,859,000
• Redesign Services for Individuals with Challenging Service Needs	-1,175,000	In Trends	In Trends	In Trends	In Trends	In Trends
• Utilization Change/Growth:	\$197,000	\$265,000	\$68,000			
Total Utilization Change/Growth for 2014-15	ICF/DD \$197,000	\$148,000	\$68,000		<i>In Base</i>	<i>In Base</i>
	Non ICF/DD \$0	\$117,000	\$0	\$439,000	\$174,000	
Total Utilization Change/Growth for 2015-16	ICF/DD			\$148,000	\$174,000	\$242,000
	Non ICF/DD			\$291,000	\$0	
• Subtotal Base and Growth	\$29,063,000	\$20,182,000	-\$8,881,000	\$20,621,000	\$439,000	-\$8,442,000

Medical Facilities

METHODOLOGY (continued):	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> Gap Resource Development (ICF/DD) <p>Gap is the time period between licensure and certification of small health facilities when Medi-Cal does not cover any person's facility costs.</p> <p>In 2014-15 and 2015-16 it is assumed that 3 DD-H facilities will need gap funding for 2 consumers each for not more than 60 days, and 7 DD-N facilities will need gap funding for 2 consumers each for not more than 60 days.</p>	\$336,000	\$336,000	\$0	\$336,000	\$0	\$0
<ul style="list-style-type: none"> CPP: See CPP methodology for detail. 	\$164,000	\$164,000	\$0	\$155,000	-\$9,000	-\$9,000
<ul style="list-style-type: none"> TOTAL EXPENDITURES 	\$29,563,000	\$20,682,000	-\$8,881,000	\$21,112,000	\$430,000	-\$8,451,000

FUNDING:

Medical Facility expenditures are funded by the General Fund (GF).

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$29,563,000	\$20,682,000	-\$8,881,000	\$21,112,000	\$430,000	-\$8,451,000
GF	29,563,000	20,682,000	-8,881,000	21,112,000	430,000	-8,451,000
GF Other	29,563,000	20,682,000	-8,881,000	21,112,000	430,000	-8,451,000

Day Programs

DESCRIPTION:

Pursuant to Welfare and Institutions Code (WIC) Section 4648, among others, of the Lanterman Act, regional centers (RCs) contract with vendors to provide services and supports to all qualified RC consumers. Day Program services may be at a fixed location or out in the community.

Types of services available through a Day Program include:

- Developing and maintaining self-help and self-care skills.
- Developing the ability to interact with others, making one's needs known and responding to instructions.
- Developing self-advocacy and employment skills.
- Developing community integration skills such as accessing community services.
- Improving behaviors through behavior management.
- Developing social and recreational skills.

ASSUMPTIONS:

- Day Program Consumer and Expenditure Data Source: Purchase of Services (POS) Claims Data file, dated August 1, 2014 with actuals through May 2014. Data were adjusted for lag based on historical data.

METHODOLOGY:

- **Base:** Actual Fiscal Year 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16, the prior-year estimate for base and growth, with the following adjustments, was used as the base:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
	\$898,293,000	\$903,669,000	\$5,376,000	\$940,067,000	\$36,398,000	\$41,774,000
• <i>Updated Costs without CPP/Prior Year Estimate</i>	867,684,000	870,195,000	2,511,000	906,593,000	36,398,000	38,909,000
• <i>Maximize Utilization of Generic Resources - Education Services</i>	-2,865,000	In Trends	In Trends	In Trends	In Trends	In Trends
• <i>AB 10 Minimum Wage Increase, July 1, 2014</i>	30,317,000	30,317,000	0	30,317,000	0	0
• <i>Restoration of Early Start Eligibility Criteria, January 1, 2015</i>	3,157,000	3,157,000	0	3,157,000	0	0

Day Programs

METHODOLOGY (continued):	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget									
<ul style="list-style-type: none"> • Utilization Change/Growth: • Total Utilization Change/Growth for 2014-15 • Total Utilization Change/Growth for 2015-16 <ul style="list-style-type: none"> • Caseload Growth <ul style="list-style-type: none"> Estimate for 2014-15 Estimate for 2015-16 <p>The 2014-15 and 2015-16 utilization change and growth were estimated based upon updated expenditure trends. The estimate reflects interventions for anomalies in the expenditure trends.</p> <p>Estimated Caseload Growth:</p> <table style="margin-left: 40px; border: none;"> <tr> <td style="padding-right: 20px;">Enacted Budget:</td> <td style="padding-right: 20px;">33,806</td> <td>Person Months</td> </tr> <tr> <td>Est. For 2014-15</td> <td>34,357</td> <td>Person Months</td> </tr> <tr> <td>Est. For 2015-16</td> <td>35,732</td> <td>Person Months</td> </tr> </table> <ul style="list-style-type: none"> • Average Cost Increase: <ul style="list-style-type: none"> Estimate for 2014-15 Estimate for 2015-16 <p>Reflects the cost of new community-based day programs</p> <ul style="list-style-type: none"> • Subtotal Base and Growth • CPP: See CPP methodology for detail. • TOTAL EXPENDITURES: 	Enacted Budget:	33,806	Person Months	Est. For 2014-15	34,357	Person Months	Est. For 2015-16	35,732	Person Months	<p>\$36,008,000</p> <p>34,280,000</p> <p>1,728,000</p> <p>\$934,301,000</p> <p>\$3,952,000</p> <p>\$938,253,000</p>	<p>\$36,398,000</p> <p>34,667,000</p> <p>1,731,000</p> <p>\$940,067,000</p> <p>\$3,952,000</p> <p>\$944,019,000</p>	<p>\$390,000</p> <p>387,000</p> <p>3,000</p> <p>\$5,766,000</p> <p>\$0</p> <p>\$5,766,000</p>	<p>\$39,545,000</p> <p>37,665,000</p> <p>1,880,000</p> <p>\$979,612,000</p> <p>\$3,731,000</p> <p>\$983,343,000</p>	<p><i>In Base</i></p> <p>\$3,147,000</p> <p>2,998,000</p> <p>149,000</p> <p>\$39,545,000</p> <p>-\$221,000</p> <p>\$39,324,000</p>	<p><i>In Base</i></p> <p>\$3,537,000</p> <p>3,385,000</p> <p>152,000</p> <p>\$45,311,000</p> <p>-\$221,000</p> <p>\$45,090,000</p>
Enacted Budget:	33,806	Person Months													
Est. For 2014-15	34,357	Person Months													
Est. For 2015-16	35,732	Person Months													

Day Programs

FUNDING:

Day Program expenditures are funded by the General Fund (GF), Intermediate Care Facility-Developmentally Disabled (ICF-DD), Home and Community-Based Services (HCBS) Waiver, 1915(i) SPA, the Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families (TANF), and the Early Start Grant. Based on actual 2013-14 billing data, approximately 55 percent of Day Program expenditures are eligible for HCBS Waiver reimbursement. Of the HCBS Waiver eligible amount, 1915(i) SPA amount, the ICF-DD amount and EPSDT amount, 50 percent is federal financial participation (FFP). The State GF portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data.

EXPENDITURES:	Enacted	Updated	2014-15	2015-16	2015-16	2015-16
	Budget	2014-15	Request	2015-16	Over 2014-15	Over Enacted Budget
TOTAL	\$938,253,000	\$944,019,000	\$5,766,000	\$983,343,000	\$39,324,000	\$45,090,000
GF	\$507,487,000	\$497,885,000	-\$9,602,000	\$537,677,000	\$39,792,000	\$30,190,000
<i>GF Match</i>	363,762,000	371,275,000	7,513,000	379,685,000	8,410,000	15,923,000
<i>GF Other</i>	143,725,000	126,610,000	-17,115,000	157,992,000	31,382,000	14,267,000
Reimbursements	\$412,030,000	\$419,135,000	\$7,105,000	\$427,543,000	\$8,408,000	\$15,513,000
<i>HCBS Waiver</i>	258,350,000	259,986,000	1,636,000	268,361,000	8,375,000	10,011,000
1915 (i) SPA	42,136,000	44,722,000	2,586,000	43,769,000	-953,000	1,633,000
<i>Title XX Social Services</i>	48,229,000	47,812,000	-417,000	47,812,000	0	-417,000
<i>Title XX TANF</i>	37,000	46,000	9,000	46,000	0	9,000
ICF-DD	42,473,000	41,281,000	-1,192,000	41,281,000	0	-1,192,000
EPSDT	20,805,000	25,288,000	4,483,000	26,274,000	986,000	5,469,000
Federal Funds	\$18,736,000	\$26,999,000	\$8,263,000	\$18,123,000	-\$8,876,000	-\$613,000
<i>Early Start Grant</i>	18,736,000	26,999,000	8,263,000	18,123,000	-8,876,000	-613,000

Habilitation

FUNDING:

Habilitation Services expenditures are funded by the General Fund (GF), Home and Community-Based Services Waiver (HCBS) and the 1915(i) State Plan Amendment. Based on actual 2013-14 billing data, approximately 60 percent, 37 percent, and 22 percent of WAP, SEP Group, and SEP Individual Placement expenditures, respectively, are eligible for the HCBS Waiver reimbursement. Of the HCBS Waiver and 1915(i) eligible amount, 50 percent is federal financial participation (FFP). The State GF portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data.

		Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
EXPENDITURES:	TOTAL	\$148,216,000	\$150,802,000	\$2,586,000	\$149,896,000	-\$906,000	\$1,680,000
	GF	\$89,562,000	\$92,675,000	\$3,113,000	\$89,313,000	-\$3,362,000	-\$249,000
	<i>GF Match</i>	58,653,000	58,126,000	-527,000	60,582,000	2,456,000	1,929,000
	<i>GF Other</i>	30,909,000	34,549,000	3,640,000	28,731,000	-5,818,000	-2,178,000
	Reimbursements	\$58,654,000	\$58,127,000	-\$527,000	\$60,583,000	\$2,456,000	\$1,929,000
	<i>HCBS Waiver</i>	36,807,000	35,555,000	-1,252,000	36,701,000	1,146,000	-106,000
	<i>1915 (i) SPA</i>	21,847,000	22,572,000	725,000	23,882,000	1,310,000	2,035,000

Transportation

DESCRIPTION:

Pursuant to Welfare and Institutions Code (WIC), Sections 4501, 4502, 4512, and 4646, (Lanterman Act), regional centers (RC) contract with vendors to provide services and supports to all qualifying regional center consumers. Transportation services are provided so persons with a developmental disability may participate in programs and/or other activities identified in their Individual Program Plan. A variety of sources may be used to provide transportation including: public transit and other providers: specialized transportation companies; day programs and/or residential vendors; and family members, friends, and others. Transportation services may include help in boarding and exiting a vehicle as well as assistance and monitoring while being transported.

ASSUMPTIONS:

- Transportation Expenditure Data Source: Purchase of Services (POS) Claims Data file, dated August 1, 2014, with actuals through May 2014. Data were adjusted for lag based on historical data.

METHODODOLOGY:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> Base: Actual Fiscal Year 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16, the prior-year estimate for base and growth, with the following adjustments was used as the base: <ul style="list-style-type: none"> Updated Costs without CPP/Prior Year Estimate Maximize Utilization of Generic Resources - Education Services AB 10 Minimum Wage Increase (July 1, 2014) Utilization Change/Growth: <ul style="list-style-type: none"> Total Utilization Change/Growth for 2014-15 Total Utilization Change/Growth for 2015-16 <p>The 2014-15 and 2015-16 utilization change/growth were estimated based upon updated expenditure trends. The estimate reflects interventions for anomalies in the expenditure trends.</p> Subtotal Base and Growth CPP: See CPP methodology for detail. TOTAL EXPENDITURES 	\$246,064,000	\$253,701,000	\$7,637,000	\$266,487,000	\$12,786,000	\$20,423,000
	243,220,000	250,524,000	7,304,000	263,310,000	12,786,000	20,090,000
	-333,000	In Trends	In Trends	In Trends	In Trends	In Trends
	3,177,000	3,177,000	0	3,177,000	0	0
	\$4,037,000	\$12,786,000	\$8,749,000		In Base	In Base
				\$13,484,000	\$698,000	\$9,447,000
	\$250,101,000	\$266,487,000	\$16,386,000	\$279,971,000	\$13,484,000	\$29,870,000
	\$745,000	\$745,000	\$0	\$704,000	-\$41,000	-\$41,000
	\$250,846,000	\$267,232,000	\$16,386,000	\$280,675,000	\$13,443,000	\$29,829,000

Transportation

FUNDING:

Transportation expenditures are funded by the General Fund (GF), Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), the Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families (TANF) and Intermediate Care Facility - Developmentally Disabled (ICF-DD). Based on actual 2013-14 billing data, approximately 63 percent of Transportation expenditures are eligible for HCBS Waiver reimbursement. Of the HCBS Waiver, 1915(i) and ICF-DD eligible amount, 50 percent is federal financial participation (FFP). The State GF portion is that which is non FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data.

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$250,846,000	\$267,232,000	\$16,386,000	\$280,675,000	\$13,443,000	\$29,829,000
GF	\$134,464,000	\$145,639,000	\$11,175,000	\$155,623,000	\$9,984,000	\$21,159,000
<i>GF Match</i>	108,507,000	113,198,000	4,691,000	116,656,000	3,458,000	8,149,000
<i>GF Other</i>	25,957,000	32,441,000	6,484,000	38,967,000	6,526,000	13,010,000
Reimbursements	\$116,382,000	\$121,593,000	\$5,211,000	\$125,052,000	\$3,459,000	\$8,670,000
<i>HCBS Waiver</i>	81,948,000	85,700,000	3,752,000	88,461,000	2,761,000	6,513,000
<i>1915 (i) SPA</i>	12,282,000	12,833,000	551,000	13,531,000	698,000	1,249,000
<i>Title XX Social Services</i>	6,732,000	7,301,000	569,000	7,301,000	0	569,000
<i>Title XX TANF</i>	1,142,000	1,093,000	-49,000	1,093,000	0	-49,000
<i>ICF-DD</i>	14,278,000	14,666,000	388,000	14,666,000	0	388,000

Support Services

DESCRIPTION:

Pursuant to Welfare and Institution Code (WIC) Section 4648, among others, of the Lanterman Act, regional centers (RC) contract with vendors to provide services and supports to all qualifying RC consumers. Support Services include a broad range of services to adults who choose to live in homes they themselves own or lease in the community. Included in the Support Services expenditures are Independent Living Supplement (ILS) payments to adults who are in supported/independent living (SL/IL) settings and receiving Supplementary Security Income/State Supplementary Portion (SSI/SSP) grant payments. RC's supplement the reduction in the SSP portion of the SSI/SSP grant to assure that consumers will be able to remain in these settings instead of having to move into community care facilities.

ASSUMPTIONS:

Support Services Expenditure Data Source: Purchase of Services (POS) Claims Data file, dated August 1, 2014 with actuals through May 2014. Data were adjusted for lag based on historical data.

METHODOLOGY:	Enacted	Updated	2014-15		2015-16	2015-16
	Budget	2014-15	Request	2015-16	Over	Over
					2014-15	Enacted
						Budget
<ul style="list-style-type: none"> Base: Actual Fiscal Year 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16 the prior-year estimate, with the following adjustments, was used as the base: <ul style="list-style-type: none"> Updated Costs without CPP/Prior Year Estimate Co-Payments and Deductibles Redesign Supported Living Assessments Coverage for Behavioral Health Treatment, SB 946, Statutes of 2012 AB 10 Minimum Wage Increase, July 1, 2014 Federal Labor Overtime Regulations, January 1, 2015 Restoration of Early Start Eligibility Criteria, January 1, 2015 	\$843,459,000	\$891,547,000	\$48,088,000	\$961,602,000	\$70,055,000	\$118,143,000
	838,049,000	865,293,000	27,244,000	920,072,000	54,779,000	82,023,000
	2,942,000	2,942,000	0	2,942,000	0	0
	-3,800,000	In Trends	In Trends	In Trends	In Trends	In Trends
	-14,244,000	0	14,244,000	0	0	14,244,000
	7,945,000	7,945,000	0	7,945,000	0	0
	12,478,000	15,278,000	2,800,000	30,554,000	15,276,000	18,076,000
	89,000	89,000	0	89,000	0	0

Support Services

METHODOLOGY (continued):	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget												
<ul style="list-style-type: none"> • Utilization Change/Growth: <ul style="list-style-type: none"> • Total Utilization Change/Growth for 2014-15 • Total Utilization Change/Growth for 2015-16 <p>The 2014-15 and 2015-16 utilization change/growth were estimated based upon updated expenditure trends. The estimate reflects interventions for anomalies in the expenditure trends.</p> • Subtotal Base and Growth • CPP: See CPP methodology for detail. • Independent Living Supplement <p>Based on Client Master File data as of August 5, 2014, it is estimated the following consumers will live in SL/IL arrangements. Of these totals, based on the 2014 Regional Center Survey, estimated persons who are part of a couple, who will receive their monthly supplemental payment of \$53.14, as well as the remainder who will receive their monthly payments of \$41.60 as individuals, are also displayed. All consumers are assumed to receive their payments in each month of the fiscal year. Prior year costs remain in the base, therefore only the incremental costs are added.</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Total SL/IL Consumers</td> <td style="width: 10%; text-align: right;">25,240</td> <td style="width: 10%; text-align: right;">24,900</td> <td style="width: 20%;"></td> </tr> <tr> <td>Part of a Couple</td> <td style="text-align: right;">-988</td> <td style="text-align: right;">-1,047</td> <td></td> </tr> <tr> <td>Individuals</td> <td style="text-align: right; border-top: 1px solid black;">24,252</td> <td style="text-align: right; border-top: 1px solid black;">23,853</td> <td></td> </tr> </table>	Total SL/IL Consumers	25,240	24,900		Part of a Couple	-988	-1,047		Individuals	24,252	23,853		\$37,644,000	\$54,779,000	17,135,000			<i>In Base</i>
Total SL/IL Consumers	25,240	24,900																
Part of a Couple	-988	-1,047																
Individuals	24,252	23,853																
				\$60,634,000	\$5,855,000	\$22,990,000												
	\$881,103,000	\$946,326,000	\$65,223,000	\$1,022,236,000	\$75,910,000	\$141,133,000												
	\$3,992,000	\$3,992,000	\$0	\$3,778,000	-\$214,000	-\$214,000												
	-\$79,000	\$283,000	\$362,000	\$162,000	-\$121,000	\$241,000												
• TOTAL EXPENDITURES	\$885,016,000	\$950,601,000	\$65,585,000	\$1,026,176,000	\$75,575,000	\$141,160,000												

Support Services

FUNDING:

Support Services expenditures are funded by the General Fund (GF), Home and Community-Based Services (HCBS) Waiver, the Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families (TANF), 1915(i) State Plan Amendment (SPA), and the Early Start Grant. Based on actual 2013-14 billing data, approximately 73 percent of Support Services expenditures are eligible for HCBS Waiver reimbursement. Of the HCBS Waiver eligible amount, 50 percent in federal financial participation (FFP). The State GF portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data.

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Request
TOTAL	\$885,016,000	\$950,601,000	\$65,585,000	\$1,026,176,000	\$75,575,000	\$141,160,000
GF	\$446,859,000	\$491,850,000	\$44,991,000	\$556,715,000	\$64,865,000	\$109,856,000
<i>GF Match</i>	<i>365,823,000</i>	<i>385,974,000</i>	<i>20,151,000</i>	<i>396,929,000</i>	<i>10,955,000</i>	<i>31,106,000</i>
<i>GF Other</i>	<i>81,036,000</i>	<i>105,876,000</i>	<i>24,840,000</i>	<i>159,786,000</i>	<i>53,910,000</i>	<i>78,750,000</i>
Reimbursements	\$437,645,000	\$457,996,000	\$20,351,000	\$468,949,000	\$10,953,000	\$31,304,000
<i>HCBS Waiver</i>	<i>325,804,000</i>	<i>342,601,000</i>	<i>16,797,000</i>	<i>353,637,000</i>	<i>11,036,000</i>	<i>27,833,000</i>
<i>1915 (i) SPA</i>	<i>39,982,000</i>	<i>43,373,000</i>	<i>3,391,000</i>	<i>43,290,000</i>	<i>-83,000</i>	<i>3,308,000</i>
<i>Title XX Social Services</i>	<i>58,063,000</i>	<i>57,895,000</i>	<i>-168,000</i>	<i>57,895,000</i>	<i>0</i>	<i>-168,000</i>
<i>Title XX TANF</i>	<i>13,796,000</i>	<i>14,127,000</i>	<i>331,000</i>	<i>14,127,000</i>	<i>0</i>	<i>331,000</i>
Federal Funds	\$512,000	\$755,000	\$243,000	\$512,000	-\$243,000	\$0
<i>Early Start Grant</i>	<i>512,000</i>	<i>755,000</i>	<i>243,000</i>	<i>512,000</i>	<i>-243,000</i>	<i>0</i>

In-Home-Respite

DESCRIPTION:

Pursuant to Welfare and Institutions Code (WIC) Section 4648, among others, of the Lanterman Act, regional centers (RC) contract with vendors to provide services and supports to all qualifying RC consumers. In-Home Respite includes those services that are intermittent or regularly scheduled temporary non-medical care and/or supervision services provided in the consumer's home, except for the provision of incidental medical services as expressly allowed in statute.

ASSUMPTIONS:

- In-Home Respite Expenditure Data Source: Purchase of Services (POS) Claims Data file, dated August 1, 2014, with actuals through May 2014. Data were adjusted for lag based on historical data.

METHODOLOGY:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> Base: Actual Fiscal Year 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16, the prior-year estimate for base and growth, with the following adjustments, was used as the base: <ul style="list-style-type: none"> <i>Updated Costs without CPP/Prior Year Estimate</i> 211,643,000 221,256,000 9,613,000 238,869,000 17,613,000 \$27,226,000 <i>Co-Payments and Deductibles</i> 87,000 87,000 0 87,000 0 \$0 <i>AB 10 Minimum Wage Increase, July 1, 2014</i> 9,010,000 9,010,000 0 9,010,000 0 \$0 <i>Restoration of Early Start Eligibility Criteria, January 1, 2015</i> 32,000 32,000 0 32,000 0 \$0 <i>Federal Labor Overtime Regulations, January 1, 2015</i> 4,522,000 5,400,000 878,000 10,799,000 5,399,000 \$6,277,000 Utilization Change/Growth: <ul style="list-style-type: none"> Total Utilization Change/Growth for 2014-15 \$9,066,000 \$17,613,000 \$8,547,000 <i>In Base</i> <i>In Base</i> Total Utilization Change/Growth for 2015-16 \$16,700,000 -\$913,000 \$7,634,000 <p>The 2014-15 and 2015-16 utilization change and growth were estimated based upon updated expenditure trends. The estimate reflects interventions for anomalies in the expenditure trends.</p> Subtotal Base and Growth \$234,360,000 \$253,398,000 \$19,038,000 \$275,497,000 \$22,099,000 \$41,137,000 CPP: See CPP methodology for detail. \$24,000 \$24,000 \$0 \$23,000 -\$1,000 -\$1,000 TOTAL EXPENDITURES: \$234,384,000 \$253,422,000 \$19,038,000 \$275,520,000 \$22,098,000 \$41,136,000 	\$225,294,000	\$235,785,000	\$10,491,000	\$258,797,000	\$23,012,000	\$33,503,000

In-Home-Respite

FUNDING:

In Home Respite expenditures are funded by the General Fund (GF), Home and Community-Based Services (HCBS) Waiver, the Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families (TANF), 1915(i) State Plan Amendment (SPA), and the Early Start Grant. Based on actual 2013-14 billing data, approximately 74 percent In-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the HCBS Waiver and 1915 (i) SPA eligible amount, 50 percent is federal financial participation (FFP). The State General Fund portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$234,384,000	\$253,422,000	\$19,038,000	\$275,520,000	\$22,098,000	\$41,136,000
GF	\$107,273,000	\$123,985,000	\$16,712,000	\$143,145,000	\$19,160,000	\$35,872,000
<i>GF Match</i>	93,586,000	96,180,000	2,594,000	99,202,000	3,022,000	5,616,000
<i>GF Other</i>	13,687,000	27,805,000	14,118,000	43,943,000	16,138,000	30,256,000
Reimbursements	\$126,929,000	\$129,169,000	\$2,240,000	\$132,193,000	\$3,024,000	\$5,264,000
<i>HCBS Waiver</i>	84,142,000	86,321,000	2,179,000	89,102,000	2,781,000	4,960,000
<i>1915 (i) SPA</i>	9,445,000	9,858,000	413,000	10,101,000	243,000	656,000
<i>Title XX Social Services</i>	18,453,000	18,706,000	253,000	18,706,000	0	253,000
<i>Title XX TANF</i>	14,889,000	14,284,000	-605,000	14,284,000	0	-605,000
Federal Funds	\$182,000	\$268,000	\$86,000	\$182,000	-\$86,000	\$0
<i>Early Start Grant</i>	182,000	268,000	86,000	182,000	-86,000	0

Out-of-Home Respite

DESCRIPTION:

Pursuant to Welfare and Institution Code (WIC) Section 4648, among others, of the Lanterman Act, regional centers (RC) contract with vendors to provide services and supports to all qualifying RC consumers. Out-of-Home Respite includes supervision services that are provided in licensed residential and day care facilities.

ASSUMPTIONS:

- Out-of-Home Respite Expenditure Data Source: Purchase of Services (POS) Claims Data file, dated August 1, 2014, with actuals through May 2014. Data were adjusted for lag based on historical data.

METHODOLOGY:

- Base:** Actual Fiscal Year 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16, the prior-year estimate for base and growth, with the following adjustments, was used as the base:

- Updated Costs without CPP/Prior Year Estimate
- AB 10 Minimum Wage Increase, July 1, 2014
- Restoration of Early Start Eligibility Criteria, January 1, 2015

- Utilization Change/Growth:**

- Total Utilization Change/Growth for 2014-15
- Total Utilization Change/Growth for 2015-16

The 2014-15 and 2015-16 utilization change and growth were estimated based upon updated expenditure trends. The estimate reflects interventions for anomalies in the expenditure trends.

- Subtotal Base and Growth**
- CPP:** See CPP methodology for detail.
- TOTAL EXPENDITURES:**

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
	\$43,589,000	\$41,608,000	-\$1,981,000	\$40,696,000	-\$912,000	-\$2,893,000
	42,211,000	40,230,000	-1,981,000	39,318,000	-912,000	-2,893,000
	1,356,000	1,356,000	0	1,356,000	0	0
	22,000	22,000	0	22,000	0	0
	\$950,000	-\$912,000	-\$1,862,000		<i>In Base</i>	<i>In Base</i>
				\$815,000	\$1,727,000	-\$135,000
	\$44,539,000	\$40,696,000	-\$3,843,000	\$41,511,000	\$815,000	-\$3,028,000
	\$76,000	\$76,000	\$0	\$73,000	-\$3,000	-\$3,000
	\$44,615,000	\$40,772,000	-\$3,843,000	\$41,584,000	\$812,000	-\$3,031,000

Out-of-Home Respite

FUNDING:

Out-of-Home Respite expenditures are funded by the General Fund (GF), Home and Community-Based Services (HCBS) Waiver, the Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families (TANF), 1915(i) State Plan Amendment (SPA), and the Early Start Grant. Based on actual 2013-14 billing data, approximately 65 percent Out-of-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the HCBS Waiver and 1915 (i) SPA eligible amount, 50 percent is federal financial participation (FFP). The State General Fund portion is that which is non FFP

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$44,615,000	\$40,772,000	-\$3,843,000	\$41,584,000	\$812,000	-\$3,031,000
GF	\$21,522,000	\$20,027,000	-\$1,495,000	\$20,389,000	\$362,000	-\$1,133,000
<i>GF Match</i>	16,440,000	15,053,000	-1,387,000	15,566,000	513,000	-874,000
<i>GF Other</i>	5,082,000	4,974,000	-108,000	4,823,000	-151,000	-259,000
Reimbursements	\$22,965,000	\$20,556,000	-\$2,409,000	\$21,067,000	\$511,000	-\$1,898,000
<i>HCBS Waiver</i>	14,679,000	14,040,000	-639,000	14,492,000	452,000	-187,000
<i>1915 (i) SPA</i>	1,761,000	1,014,000	-747,000	1,073,000	59,000	-688,000
<i>Title XX Social Services</i>	1,552,000	1,451,000	-101,000	1,451,000	0	-101,000
<i>Title XX TANF</i>	4,973,000	4,051,000	-922,000	4,051,000	0	-922,000
Federal Funds	\$128,000	\$189,000	\$61,000	\$128,000	-\$61,000	\$0
<i>Early Start Grant</i>	128,000	189,000	61,000	128,000	-61,000	0

Health Care

DESCRIPTION:

Pursuant to Welfare and Institutions Code (WIC) Sections 4646 and 4648, among others, of the Lanterman Act, regional centers (RC) contract with vendors to provide services and supports to all qualifying RC consumers. Health Care services include those that are medical/health care-related.

ASSUMPTIONS:

Health Care Expenditure Data Source: Purchase of Services (POS) Claims Data file dated August 1, 2014 with actuals through May 2014. Data were adjusted for lag based on historical data.

METHODOLOGY:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> • Base: Actual 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16, the prior-year estimate for base and growth, with the following adjustments was used as the base: <ul style="list-style-type: none"> • Updated Costs without CPP/Prior Year Estimate • Medi-Cal Hard Cap on Hearing Aids, November 2012 • Restoration of Adult Dental as Medi-Cal Benefit, May 2014 • Redesign Services for Individuals with Challenging Needs • Co-Payments and Deductibles • Restoration of Early Start Eligibility Criteria, January 1, 2015 • Restoration of Enteral Nutrition as Medi-Cal Benefit, May 2014 • Utilization Change/Growth: <ul style="list-style-type: none"> • Total Utilization Change/Growth for 2014-15 • Total Utilization Change/Growth for 2015-16 <p style="margin-left: 40px;">The utilization change and growth were estimated based upon updated expenditure trends. The estimate reflects interventions for anomalies in the expenditure trends.</p> • Subtotal Base and Growth • CPP: See CPP methodology for detail. • TOTAL EXPENDITURES 	\$82,155,000	\$87,401,000	\$5,246,000	\$95,305,000	\$7,904,000	\$13,150,000
	86,584,000	90,017,000	3,433,000	97,920,000	7,903,000	11,336,000
	355,000	355,000	0	355,000	0	0
	-756,000	-756,000	0	-756,000	0	0
	-3,627,000	-1,814,000	1,813,000	-1,813,000	1,000	1,814,000
	610,000	610,000	0	610,000	0	0
	489,000	489,000	0	489,000	0	0
	-1,500,000	-1,500,000	0	-1,500,000	0	0
	\$3,878,000	\$7,903,000	\$4,025,000		<i>In Base</i>	<i>In Base</i>
				\$6,833,000	-\$1,070,000	\$2,955,000
	\$86,033,000	\$95,304,000	\$9,271,000	\$102,138,000	\$6,834,000	\$16,105,000
	\$671,000	\$671,000	\$0	\$633,000	-\$38,000	-\$38,000
	\$86,704,000	\$95,975,000	\$9,271,000	\$102,771,000	\$6,796,000	\$16,067,000

Health Care

FUNDING:

Health Care expenditures are funded by the General Fund, Home and Community-Based Services (HCBS) Waiver, the Title XX Block Grant, which includes Temporary Assistance for Needy Families (TANF), 1915(i) State Plan Amendment, and the Early Start Grant. Based on actual 2013-14 billing data, approximately 22 percent of Health Care expenditures are eligible for HCBS Waiver reimbursement. Of the HCBS Waiver and 1915(i) SPA eligible amount, 50 percent is federal financial participation (FFP). The State GF portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure date.

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$86,704,000	\$95,975,000	\$9,271,000	\$102,771,000	\$6,796,000	\$16,067,000
GF	\$69,155,000	\$75,481,000	\$6,326,000	\$82,964,000	\$7,483,000	\$13,809,000
<i>GF Match</i>	13,305,000	15,086,000	1,781,000	15,729,000	643,000	2,424,000
<i>GF Other</i>	55,850,000	60,395,000	4,545,000	67,235,000	6,840,000	11,385,000
Reimbursements	\$14,741,000	\$16,356,000	\$1,615,000	\$16,999,000	\$643,000	\$2,258,000
<i>HCBS Waiver</i>	9,150,000	10,121,000	971,000	10,447,000	326,000	1,297,000
<i>1915 (i) SPA</i>	4,156,000	4,964,000	808,000	5,281,000	317,000	1,125,000
<i>Title XX TANF</i>	1,435,000	1,271,000	-164,000	1,271,000	0	-164,000
Federal Funds	\$2,808,000	\$4,138,000	\$1,330,000	\$2,808,000	-\$1,330,000	\$0
<i>Early Start Grant</i>	2,808,000	4,138,000	1,330,000	2,808,000	-1,330,000	0

Miscellaneous Services

DESCRIPTION:

Pursuant to Welfare and Institutions Code (WIC) Section 4648, among others, of the Lanterman Act, regional centers (RC) contract with vendors to provide services and supports to all qualifying RC consumers. The Miscellaneous Services category includes a variety of services identified on a consumer's individual program plan or individualized family service plan. Due to the wide variety and often unique qualities of the services in this category, they cannot be classified in the other Purchase of Services (POS) budget categories. Services in this category include, among others: behavior intervention, early intervention programs, interdisciplinary assessments, translators, and tutors.

ASSUMPTIONS:

Miscellaneous Services Expenditure Data Source: Purchase of Services (POS) Claims Data file, dated August 1, 2014 with actuals through May 2014. Data were adjusted for lag based on historical data.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
METHODOLOGY:						
<ul style="list-style-type: none"> Base: Actual Fiscal Year 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16 the prior-year estimate for base and growth, with the following adjustments, was used as the base: <ul style="list-style-type: none"> Updated Costs without CPP/Prior Year Estimate Adjustment for April POS Co-Payments and Deductibles Statutes of 2012 Cost Savings and Efficiencies (Use of Technology) Restoration of Early Start Eligibility Criteria, January 1, 2015 Utilization Change/Growth: <ul style="list-style-type: none"> Total Utilization Change/Growth for 2014-15 Total Utilization Change/Growth for 2015-16 <p>The 2014-15 and 2015-16 utilization change/growth were estimated based upon updated expenditure trends. The estimate reflects interventions for anomalies in the expenditure trends.</p>	\$389,763,000	\$414,724,000	\$24,961,000	\$421,572,000	\$6,848,000	\$31,809,000
<ul style="list-style-type: none"> Updated Costs without CPP/Prior Year Estimate 	406,629,000	406,724,000	95,000	413,572,000	6,848,000	6,943,000
<ul style="list-style-type: none"> Adjustment for April POS 	7,238,000	In Trends	In Trends	In Trends	In Trends	In Trends
<ul style="list-style-type: none"> Co-Payments and Deductibles 	6,226,000	6,226,000	0	6,226,000	0	0
<ul style="list-style-type: none"> Statutes of 2012 	-30,054,000	0	30,054,000	0	0	30,054,000
<ul style="list-style-type: none"> Cost Savings and Efficiencies (Use of Technology) 	-2,050,000	In Trends	In Trends	In Trends	In Trends	In Trends
<ul style="list-style-type: none"> Restoration of Early Start Eligibility Criteria, January 1, 2015 	1,774,000	1,774,000	0	1,774,000	0	0
<ul style="list-style-type: none"> Total Utilization Change/Growth for 2014-15 	\$8,008,000	\$6,848,000	-\$1,160,000		In Base	In Base
<ul style="list-style-type: none"> Total Utilization Change/Growth for 2015-16 				-\$1,046,000	-\$7,894,000	-\$9,054,000
<ul style="list-style-type: none"> Subtotal Base and Growth 	\$397,771,000	\$421,572,000	\$23,801,000	\$420,526,000	-\$1,046,000	\$22,755,000
<ul style="list-style-type: none"> Total CPP 	\$41,953,000	\$41,953,000	\$0	\$29,203,000	-\$12,750,000	-\$12,750,000
<ul style="list-style-type: none"> CPP: See CPP methodology for detail. 	\$41,953,000	\$41,953,000	\$0	\$29,203,000	-\$12,750,000	-\$12,750,000
<ul style="list-style-type: none"> TOTAL EXPENDITURES 	\$439,724,000	\$463,525,000	\$23,801,000	\$449,729,000	-\$13,796,000	\$10,005,000

Miscellaneous Services

FUNDING:

Miscellaneous Services expenditures are funded by the General Fund (GF), Home and Community-Based Services (HCBS) Waiver, the Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families (TANF), 1915(i) State Plan Amendment (SPA), the Early Start Grant and Program Development Funds. Based on actual 2013-14 billing data, approximately 38 percent of Miscellaneous Services expenditures are eligible for HCBS Waiver reimbursement. Of the HCBS Waiver and 1915(i) eligible amount, 50 percent is federal financial participation (FFP). The GF portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated population and expenditure data.

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16	2015-16
					Over 2014-15	Over 2014-15 Request
TOTAL	\$439,724,000	\$463,525,000	\$23,801,000	\$449,729,000	-\$13,796,000	\$10,005,000
GF	\$291,006,000	\$303,954,000	\$12,948,000	\$291,128,000	-\$12,826,000	\$122,000
<i>GF Match</i>	94,859,000	101,428,000	6,569,000	105,247,000	3,819,000	10,388,000
<i>GF Other</i>	196,147,000	202,526,000	6,379,000	185,881,000	-16,645,000	-10,266,000
Reimbursements	\$132,731,000	\$140,499,000	\$7,768,000	\$144,319,000	\$3,820,000	\$11,588,000
<i>HCBS Waiver</i>	80,601,000	83,998,000	3,397,000	86,704,000	2,706,000	6,103,000
<i>1915 (i) SPA</i>	14,257,000	17,431,000	3,174,000	18,545,000	1,114,000	4,288,000
<i>Title XX Social Services</i>	4,059,000	3,923,000	-136,000	3,923,000	0	-136,000
<i>Title XX TANF</i>	33,814,000	35,147,000	1,333,000	35,147,000	0	1,333,000
Federal Funds	\$10,179,000	\$15,001,000	\$4,822,000	\$10,179,000	-\$4,822,000	\$0
<i>Early Start Grant</i>	10,179,000	15,001,000	4,822,000	10,179,000	-4,822,000	0
Program Development Fund	\$5,808,000	\$4,071,000	-\$1,737,000	\$4,103,000	\$32,000	-\$1,705,000

Quality Assurance Fees (Intermediate Care Facility-Developmentally Disabled Costs)

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment (SPA), there are administrative costs for the ICF-DD, and Quality Assurance Fees (QAF) the ICF-DD must remit to the Department of Health Care Services (DHCS) for these supplemental payments.

ASSUMPTIONS/METHODOLOGY:

Billing costs are 1.5% of the cost of day treatment, transportation, and regional center administration fees for ICF-DD. QAF are set by DHCS.

2014-15: Total billing costs are \$1.7 million ICF-DD administration and \$7.4 million QAF

- POS costs for day treatment and transportation including Regional Center Administration Costs for 2013-14 totals \$111.9 million. ICF-DD administration costs are 1.5% of the total or \$1.7 million. QAF set by DHCS are \$7.4 million. Total administration and QAF=\$9.1 million.

2015-16: Total billing costs are \$1.7 million ICF-DD administration and \$7.4 million QAF.

- POS costs for day treatment and transportation including Regional Center Administration Costs for 2013-14 totals \$111.9 million. ICF-DD administration costs are 1.5% of the total or \$1.7 million. QAF set by DHCS are \$7.4 million. Total administration and QAF=\$9.1 million.

FUNDING:

100 percent reimbursement to the Department of Developmental Services transferred from DHCS.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR

CHANGE: The estimate reflects updated POS for day adult treatment and transportation services for residents of ICF-DD's.

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$9,192,000	\$9,061,000	-\$131,000	\$9,061,000	\$0	-\$131,000
Reimbursements	9,192,000	9,061,000	-131,000	9,061,000	0	-131,000
<i>QAF Admin Fees</i>	<i>4,596,000</i>	<i>4,531,000</i>	<i>-65,000</i>	<i>4,531,000</i>	<i>0</i>	<i>-65,000</i>
<i>Transfer from DHCS</i>	<i>4,596,000</i>	<i>4,530,000</i>	<i>-66,000</i>	<i>4,530,000</i>	<i>0</i>	<i>-66,000</i>

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SECTION E: ESTIMATE METHODOLOGIES (continued)

PREVENTION PROGRAME-16

Prevention Program

DESCRIPTION:

Effective July 1, 2011, regional centers no longer added cases to the Prevention Program and instead refer eligible children to Family Resource Centers (FRCs) for Prevention Resource and Referral Services (PRRS.) Eligible children for PRRS are ages birth through 35 months, who are at substantially greater risk for a developmental disability but who are otherwise ineligible for services through the Early Start Program. Services available through FRCs include information, resources, referrals, and targeted outreach.

ASSUMPTIONS:

The estimate assumes FRCs will provide PRRS for eligible children.

CHANGE FROM PRIOR ESTIMATE & REASON FOR YEAR-TO-YEAR CHANGE:

No change.

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2014-15	2015-16 Over 2014-15	2015-16 Over Enacted Budget
General Fund	\$2,003,000	\$2,003,000	\$0	\$2,003,000	\$0	\$0
Prevention Program	<i>2,003,000</i>	<i>2,003,000</i>	<i>0</i>	<i>2,003,000</i>	<i>0</i>	<i>0</i>

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SECTION E: ESTIMATE METHODOLOGIES (continued)

COMMUNITY PLACEMENT PLAN..... E-17.1 to E-17.11

Community Placement Plan

DESCRIPTION:

The purpose of Community Placement Plan (CPP) funding is to enhance the capacity of the community service delivery system and to reduce reliance on developmental centers (DC), mental health facilities [e.g., Mental Health Rehabilitation Centers (MHRC) and Institutions for Mental Disease (IMD)] ineligible for Federal Financial Participation (FFP), and out-of-state placements. This estimate is based on projected costs and historical experience derived from individualized assessments of community services and supports needs, and reflects what is needed to place an individual from a DC, IMD, MHRC, or out-of-state placement into the community and to deflect individuals who have been referred to a DC for potential admission. It also reflects costs associated with performing individualized assessments and developing necessary community resources. CPPs are developed through negotiations with each regional center and are based on individualized planning efforts.

This estimate reflects Operations and Purchase of Service (POS) resources needed to:

- Work with the DCs and families in identifying individuals for movement.
- Facilitate transitions to the community from a DC.
- Deflect the admission of individuals to a DC, MHRCs and IMDs ineligible for FFP, and out of state services.
- Stabilize current community living arrangements.

Regular CPP funding covers these Operations and POS categories:

OPERATIONS:

Personal Services:

- **Resource Development:** Positions needed to develop community living arrangements for consumers moving from DCs, MHRCs and IMDs ineligible for FFP, and out of state services into the community. These positions are critical to developing living arrangements that will safely meet the challenges of people moving into the community.
- **Assessment:** Positions needed to identify DC residents, individuals residing in a MHRC and IMDs ineligible for FFP, and in out of state services ready for placement in community living arrangements. Proper comprehensive assessment is critical to safe and successful community placement and should minimize re-admission to a DC and/or unstable and cost-inefficient community placement.
- **Placement:** Positions for placement activities. Individuals who reside in the DCs, at MHRCs, or in out of state services, have, on average, more complex "unique" placement needs that will be addressed by these staff.
- **Crisis Service Teams:** Positions for crisis services which include a behavioral team, a clinical team, an emergency response team, health care coordinators, nursing and oral health care coordinators.

Operating Expenses:

Costs for office space, furniture, computers, travel, training and rent are included.

Community Placement Plan

DESCRIPTION (continued):

PURCHASE OF SERVICES:

- **Start-Up:** These costs are related to development of new facilities/programs and program expansion.
- **Assessment:** Individualized and comprehensive identification of consumer supports and services needed for stabilized community living.
- **Placement:** Funds cost of consumers' move into community settings based on consumer-specific information.
- **Deflection:** Placement POS for residential costs of facilities developed with 2013-14 CPP start-up to deflect admission from a DC. These facilities are developed based on a comprehensive analysis of DC admission data, current trends in needed services specific to the RC, and other local issues.

ASSUMPTIONS:

- Department of Health Care Services approved rates used for:
 - Intermediate Care Facilities/Developmentally Disabled – Nursing Facilities
 - Intermediate Care Facilities/Developmentally Disabled – Habilitation Facilities

METHODOLOGY:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 over Enacted Budget
• OPERATIONS TOTAL	\$14,220,000	\$14,220,000	\$0	\$15,265,000	\$1,045,000	\$1,045,000
• PURCHASE OF SERVICES TOTAL	\$66,637,000	\$66,637,000	\$0	\$52,589,000	-\$14,048,000	-\$14,048,000
• TOTAL EXPENDITURES	\$80,857,000	\$80,857,000	\$0	\$67,854,000	-\$13,003,000	-\$13,003,000

See Pages E-17.4 to E-17.11

FUNDING:

CPP expenditures are funded by the General Fund (GF), Home and Community-Based Services (HCBS) Waiver and Money Follows the Person (MFP) Grant.

Community Placement Plan

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$80,857,000	\$80,857,000	\$0	\$67,854,000	-\$13,003,000	-\$13,003,000
<i>RC Operations</i>	\$14,220,000	\$14,220,000	\$0	\$15,265,000	\$1,045,000	\$1,045,000
<i>RC Purchase of Services</i>	\$66,637,000	\$66,637,000	\$0	\$52,589,000	-\$14,048,000	-\$14,048,000
<i>Community Care Facilities</i>	14,884,000	14,884,000	0	14,123,000	-761,000	-761,000
<i>Medical Facilities</i>	164,000	164,000	0	155,000	-9,000	-9,000
<i>Day Programs</i>	3,952,000	3,952,000	0	3,731,000	-221,000	-221,000
<i>Work Activity Program</i>	0	0	0	0	0	0
<i>Supported Employment Program: Group Placement</i>	176,000	176,000	0	166,000	-10,000	-10,000
<i>Supported Employment Program: Individual Placement</i>	0	0	0	0	0	0
<i>Transportation</i>	745,000	745,000	0	704,000	-41,000	-41,000
<i>Support Services</i>	3,992,000	3,992,000	0	3,778,000	-214,000	-214,000
<i>In-Home Respite</i>	24,000	24,000	0	23,000	-1,000	-1,000
<i>Out-of-Home Respite</i>	76,000	76,000	0	73,000	-3,000	-3,000
<i>Health Care</i>	671,000	671,000	0	633,000	-38,000	-38,000
<i>Miscellaneous Services</i>	41,953,000	41,953,000	0	29,203,000	-12,750,000	-12,750,000
FUND SOURCES:						
TOTAL	\$80,857,000	\$80,857,000	\$0	\$67,854,000	-13,003,000	-13,003,000
GF	\$68,614,000	\$69,611,000	\$997,000	\$56,663,000	-12,948,000	-11,951,000
<i>GF Match</i>	9,562,000	6,309,000	-3,253,000	5,864,000	-445,000	-3,698,000
<i>GF Other</i>	59,052,000	63,302,000	4,250,000	50,799,000	-12,503,000	-8,253,000
						0
Reimbursements	\$12,243,000	\$11,246,000	-\$997,000	\$11,191,000	-\$55,000	-1,052,000
<i>HCBS Waiver</i>	5,172,000	5,214,000	42,000	4,595,000	-619,000	-577,000
<i>MFP Grant</i>	7,071,000	6,032,000	-1,039,000	6,596,000	564,000	-475,000

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2014-15 and 2015-16**

	Enacted ^{1/} Budget	Updated ^{1/} 2014-15	2014-15 Request	2015-16 ^{4/}	2015-16 Over 2014-15	Over Enacted Budget
I. OPERATIONS	\$14,220,000	\$14,220,000	\$0	\$15,265,000	\$1,045,000	\$1,045,000
II. PURCHASE OF SERVICES (POS)						
A. Start-Up	\$37,556,000	\$37,556,000	\$0	\$25,436,000 ^{6/}	-\$12,120,000	-\$12,120,000
B. Assessment ^{5/}	\$3,600,000 1,289 <i>consumers</i>	\$3,600,000 1,289 <i>consumers</i>	\$0 0 <i>consumers</i>	\$3,016,000 1,080 <i>consumers</i>	-\$584,000 -209 <i>consumers</i>	-\$584,000 -209 <i>consumers</i>
C. Placement	\$24,168,000 158 ^{2/} <i>consumers</i>	\$24,168,000 158 ^{2/} <i>consumers</i>	\$0 0 <i>consumers</i>	\$22,824,000 134 ^{3/} <i>consumers</i>	-\$1,344,000 -24 <i>consumers</i>	-\$1,344,000 -24 <i>consumers</i>
D. Deflection	\$1,313,000 66 <i>consumers</i>	\$1,313,000 66 <i>consumers</i>	\$0 0 <i>consumers</i>	\$1,313,000 66 <i>consumers</i>	\$0 0 <i>consumers</i>	\$0 0 <i>consumers</i>
E. SUBTOTAL POS	\$66,637,000	\$66,637,000	\$0	\$52,589,000	-\$14,048,000	-\$14,048,000
III. TOTAL CPP	\$80,857,000	\$80,857,000	\$0	\$67,854,000	-\$13,003,000	-\$13,003,000

^{1/} The Enacted Budget and updated 2014-15 estimate include one-time funding of \$12.6 million (\$0.9 million in Operations and \$11.7 million in Purchase of Services [POS] for start-up) reappropriation from 2011-12 to implement the Developmental Center (DC) Task Force recommendations. The 2015-16 estimate realigns funding between Operations and POS to fund \$1.0 million in ongoing cost for Operations.

^{2/} For 2014-15, of the 158 consumers in placement, 48 are from Lanterman Developmental Center and 110 are from other DCs.

^{3/} For 2015-16, there are 134 consumer placements from all remaining DCs.

^{4/} 2015-16 Estimate redirects \$1.0 million from POS to Operations to fund ongoing DC Task Force Operations functions and 4.0 positions for support of enhanced behavioral services.

^{5/} Based on an initial and updated assessments.

^{6/} 2015-16 POS start-up estimate includes a reduction of \$420,000 to redirect funding for ongoing DC Task Force enhanced staffing ratio of 1:45 (\$344,000) and Risk Management Consultant/National Core Indicator Survey projects (\$76,000) (see pages E-2.2 Staffing and E- 4.1 Projects for further information on redirected funding).

COMMUNITY PLACEMENT PLAN

Operations

2014-15

	Enacted Budget ^{1/}		Updated 2014-15 ^{1/}		2014-15 Request	
	Positions	Cost	Positions	Cost	Positions	Cost
A. STAFFING						
1. PERSONAL SERVICES						
a. Resource Development Quality Assurance/	55.83	\$4,773,500	55.83	\$4,773,500	0.00	\$0
b. Management	12.51	1,213,000	12.51	1,213,000	0.00	0
c. Assessment	13.88	1,494,000	13.88	1,494,000	0.00	0
d. Placement	59.96	4,824,500	59.96	4,824,500	0.00	0
e. Crisis Services Teams	6.13	1,137,999	6.13	1,137,999	0.00	0
f. DC Task Force Staffing ^{1/}						
f(1). Quality Assurance	6.00	337,000	6.00	337,000	0.00	0
f(2). Resource Developer	2.00	168,000	2.00	168,000	0.00	\$0
f(3). Board Certified Behavior Analyst	2.00	140,000	2.00	140,000	0.00	\$0
f(4). Nurses to Support Complex Medical Needs	2.00	131,000	2.00	131,000	0.00	\$0
g. Total Personal Services	160.31	14,218,999	160.31	\$14,218,999	0.00	\$0
2. OPERATING EXPENSES						
a. Operating Expenses		\$538,600		\$538,600		\$0
b. Rent		1,209,255		1,209,255		\$0
c. Training, Travel, Consultants		155,000		155,000		\$0
d. Total Operating Expenses		\$1,902,855		\$1,902,855		\$0
3. SUBTOTAL STAFFING (Rounded)	160.31	\$16,121,854	160.31	\$16,121,854	0.00	\$0
		\$16,122,000		\$16,122,000		\$0
B. Budget Balancing Reduction 2009-10 Reduce CPP Operations		-\$1,902,000		-\$1,902,000		\$0
C. Total (A+B)	160.31	\$14,220,000	160.31	\$14,220,000	0.00	\$0

^{1/} The Enacted Budget and updated 2014-15 estimate includes \$0.9 million in reappropriation from 2011-12 to implement the Developmental Center (DC) Task Force recommendations.

COMMUNITY PLACEMENT PLAN

Operations 2015-16

A. STAFFING	Enacted Budget		2015-16 ^{1/}		2015-16 Over Enacted Budget	
	Positions	Cost	Positions	Cost	Positions	Cost
1. PERSONAL SERVICES						
a. Resource Development Quality Assurance/	55.83	\$4,773,500	55.83	\$4,773,500	0.00	\$0
b. Management	12.51	1,213,000	12.51	1,213,000	0.00	0
c. Assessment	13.88	1,494,000	13.88	1,494,000	0.00	0
d. Placement	59.96	4,824,500	59.96	4,824,500	0.00	0
e. Crisis Services Teams	6.13	1,137,999	6.13	1,137,999	0.00	0
f. DC Task Force Staffing ^{1/}						
f(1). Quality Assurance	6.00	337,000	6.00	505,000	0.00	168,000
f(2). Resource Developer	2.00	168,000	2.00	168,000	0.00	0
f(3). Board Certified Behavior Analyst	2.00	140,000	6.00	876,000	4.00	736,000
f(4). Nurses to Support Complex Medical Needs	2.00	131,000	2.00	196,000	0.00	65,000
					0.00	0
g. Total Personal Services	160.31	\$14,218,999	164.31	\$15,187,999	4.00	\$969,000
2. OPERATING EXPENSES						
a. Operating Expenses		\$538,600		\$551,600		\$13,000
b. Rent		1,209,255		1,272,255		\$63,000
c. Training, Travel, Consultants		155,000		155,000		\$0
d. Total Operating Expenses		\$1,902,855		\$1,978,855		\$76,000
3. SUBTOTAL STAFFING (Rounded)	160.31	\$16,121,854	164.31	\$17,166,854	4.00	\$1,045,000
		\$16,122,000		\$17,167,000		\$1,045,000
B. Budget Balancing Reduction 2009-10 Reduce CPP Operations		-\$1,902,000		-\$1,902,000		\$0
C. Total (A+B)	160.31	\$14,220,000	164.31	\$15,265,000	4.00	\$1,045,000

^{1/} 2015-16 Operations estimate reflects \$1.0 million redirected from Purchase of Services to fund ongoing Developmental Center (DC) Task Force Operations functions and 4.0 positions for support of enhanced behavioral services.

Community Placement Plan
Purchase of Services
Summary of Costs by POS Category
2014-15

CPP Activity	# of Consumers	Living Arrangement				Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
		Community Care Facilities	Adult Family Home Agencies	Intermediate Care Facilities	Supported Living			Group	Individual							
A. Start-Up	a/	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$37,556,000	\$37,556,000
B. Assessment	1,289	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,600,000	\$3,600,000
C. Placement	158	\$13,689,000	\$0	\$164,000	\$2,546,000	\$3,952,000	\$0	\$176,000	\$0	\$745,000	\$1,328,000	\$24,000	\$76,000	\$671,000	\$797,000	\$24,168,000
D. Deflection	b/ 66	\$1,195,000	\$0	\$0	\$118,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,313,000
E. November 2014 Estimate 2014-15 TOTAL		\$14,884,000	\$0	\$164,000	\$2,664,000	\$3,952,000	\$0	\$176,000	\$0	\$745,000	\$1,328,000	\$24,000	\$76,000	\$671,000	\$41,953,000	\$66,637,000
F. Enacted Budget Total		\$14,884,000	\$0	\$164,000	\$2,664,000	\$3,952,000	\$0	\$176,000	\$0	\$745,000	\$1,328,000	\$24,000	\$76,000	\$671,000	\$41,953,000	\$66,637,000
G. DIFFERENCE		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

a/ Start-Up Cost: This is related to start-up funds needed for new facilities/programs, program expansion, and program continuations, and includes \$11.7 million in reappropriated funds from 2011-12.

b/ A "deflection" is defined as a person who is in a community setting and who is deflected away from placement in a developmental center (DC).

Community Placement Plan
Purchase of Services
Summary of Costs by POS Category
2015-16

CPP Activity	# of Consumers	Living Arrangement				Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
		Community Care Facilities	Adult Family Home Agencies	Intermediate Care Facilities	Supported Living			Group	Individual							
A. Start-Up a/		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$25,436,000	\$25,436,000
B. Assessment	1,080	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,016,000	\$3,016,000
C. Placement	134	\$12,928,000	\$0	\$155,000	\$2,405,000	\$3,731,000	\$0	\$166,000	\$0	\$704,000	\$1,255,000	\$23,000	\$73,000	\$633,000	\$751,000	\$22,824,000
D. Deflection b/	66	\$1,195,000	\$0	\$0	\$118,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,313,000
E. 2014 November Estimate TOTAL a/c		\$14,123,000	\$0	\$155,000	\$2,523,000	\$3,731,000	\$0	\$166,000	\$0	\$704,000	\$1,255,000	\$23,000	\$73,000	\$633,000	\$29,203,000	\$52,589,000
F. Enacted Budget 2014-15 TOTAL		\$14,884,000	\$0	\$164,000	\$2,664,000	\$3,952,000	\$0	\$176,000	\$0	\$745,000	\$1,328,000	\$24,000	\$76,000	\$671,000	\$41,953,000	\$66,637,000
G. DIFFERENCE		-\$761,000	\$0	-\$9,000	-\$141,000	-\$221,000	\$0	-\$10,000	\$0	-\$41,000	-\$73,000	-\$1,000	-\$3,000	-\$38,000	-\$12,750,000	-\$14,048,000

a/ Start-Up Cost: This is related to start-up funds needed for new facilities/programs, program expansion, and program continuations and includes reduction of \$11.7 million in one-time reappropriated funds from 2011-12.

b/ A "deflection" is defined as a person who is in a community setting and who is deflected away from placement in a developmental center (DC).

c/ Includes redirection of \$1.0 million to Operations to fund ongoing DC Task Force Operations functions and 4.0 positions for support of enhanced behavioral services.

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2014-15

For the November 2014 Estimate, Regular CPP costs are assumed to be the following.

Living Arrangement	# of Consumers	% of Total	Consumer Months	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
				CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
Regular CPP																	
• Community Care Facilities (CCF)																	
• Residential Facilities	22	14%	185	\$1,874,000	\$0	\$0	\$701,000	\$0	\$0	\$0	\$94,000	\$316,000	\$0	\$6,000	\$24,000	\$159,000	\$3,174,000
• Specialized Residential Facilities (SRF)	104	66%	819	\$11,476,000	\$0	\$0	\$2,666,000	\$0	\$176,000	\$0	\$521,000	\$894,000	\$0	\$33,000	\$580,000	\$395,000	\$16,741,000
• Intermediate Care Facilities (ICF)	9	6%	48	\$0	\$164,000	\$0	\$158,000	\$0	\$0	\$0	\$20,000	\$73,000	\$0	\$9,000	\$15,000	\$87,000	\$526,000
• Supported Living	19	12%	124	\$0	\$0	\$2,546,000	\$378,000	\$0	\$0	\$0	\$94,000	\$44,000	\$0	\$4,000	\$51,000	\$156,000	\$3,273,000
• Avg Cost/Consumer Mo						\$20,613	\$3,060	\$0	\$0	\$0	\$761	\$356		\$32	\$413	\$1,263	\$26,498
• Other (Own Home, etc.)	3	2%	19	\$339,000	\$0	\$0	\$49,000	\$0	\$0	\$0	\$16,000	\$1,000	\$24,000	\$24,000	\$1,000	\$0	\$454,000
TOTAL	158	100%	1,195	\$13,689,000	\$164,000	\$2,546,000	\$3,952,000	\$0	\$176,000	\$0	\$745,000	\$1,328,000	\$24,000	\$76,000	\$671,000	\$797,000	\$24,168,000

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2015-16

For the November 2014 Estimate, Regular CPP costs are assumed to be the following.

Living Arrangement	# of Consumers	% of Total	Consumer Months	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
				CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
Regular CPP																	
• Community Care Facilities (CCF)																	
• Residential Facilities	19	14%	185	\$1,770,000	\$0	\$0	\$662,000	\$0	\$0	\$0	\$89,000	\$299,000	\$0	\$6,000	\$23,000	\$150,000	\$2,999,000
• Specialized Residential Facilities (SRF)	88	66%	819	\$10,838,000	\$0	\$0	\$2,517,000	\$0	\$166,000	\$0	\$492,000	\$844,000	\$0	\$32,000	\$547,000	\$372,000	\$15,808,000
• Intermediate Care Facilities (ICF)	9	6%	48	\$0	\$155,000	\$0	\$149,000	\$0	\$0	\$0	\$19,000	\$69,000	\$0	\$9,000	\$14,000	\$82,000	\$497,000
• Supported Living	16	12%	124	\$0	\$0	\$2,405,000	\$357,000	\$0	\$0	\$0	\$89,000	\$42,000	\$0	\$4,000	\$48,000	\$147,000	\$3,092,000
Avg Cost/Consumer Mo				0		\$2,013	\$2,879				\$718	\$339		\$32	\$387	\$1,185	\$7,553
• Other (Own Home, etc.)	3	2%	19	\$320,000	\$0	\$0	\$46,000	\$0	\$0	\$0	\$15,000	\$1,000	\$23,000	\$22,000	\$1,000	\$0	\$428,000
TOTAL	134	100%	1,195	\$12,928,000	\$155,000	\$2,405,000	\$3,731,000	\$0	\$166,000	\$0	\$704,000	\$1,255,000	\$23,000	\$73,000	\$633,000	\$751,000	\$22,824,000

COMMUNITY PLACEMENT PLAN

Lanterman Developmental Center Closure Share of Funds

DESCRIPTION:

With closure of Lanterman Developmental Center (LDC), on December 31, 2014, Community Placement Plan funds previously dedicated to the placement of LDC residents will now be refocused towards the placement of residents from the remaining developmental centers.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 over 2014-15	2015-16 over Enacted Budget
I. OPERATIONS*	\$11,192,000	\$11,192,000	\$0	\$0	-\$11,192,000	\$0
II. PURCHASE OF SERVICES (POS)						
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0
C. Placement	\$3,036,000	\$3,036,000	\$0	\$0	-\$3,036,000	\$0
D. Deflection	\$0	\$0	\$0	\$0	\$0	\$0
E. SUBTOTAL POS(A + B + C + D)	\$3,036,000	\$3,036,000	\$0	\$0	-\$3,036,000	\$0
III. TOTAL CPP (I + II)	\$14,228,000	\$14,228,000	\$0	\$0	-\$14,228,000	\$0

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General Fund

DESCRIPTION:

The General Fund (GF) is the main operating fund of the State.

ASSUMPTIONS/METHODOLOGY:

		Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget	
● GF Match:		\$1,736,847,000	\$1,776,896,000	\$40,049,000	\$1,856,431,000	\$79,535,000	\$119,584,000	
	This portion of GF is required to use as a match to reimbursements received from the Department of Health Care Services (DHCS). These reimbursements are originally funded by the federal government and passed through DHCS (the federally-recognized single state agency for Medicaid). The federal financial participation (FFP) costs are established by utilizing the Federal Medical Assistance Program (FMAP) percentages. They are as follows:							
	FMAP % of GF Match							
1	Home and Community-Based Services (HCBS) Waiver	50.00%	1,314,002,000	1,336,818,000	22,816,000	1,409,047,000	72,229,000	95,045,000
2	HCBS Waiver Administration	50.00%	6,494,000	8,780,000	2,286,000	8,824,000	44,000	2,330,000
3	Medicaid Administration	25.00%	3,471,000	3,472,000	1,000	3,472,000	0	1,000
4	Targeted Case Management (TCM)	50.00%	146,951,000	153,335,000	6,384,000	153,579,000	244,000	6,628,000
5	TCM Administration	50.00%	4,411,000	4,321,000	-90,000	4,321,000	0	-90,000
6	Intermediate Care Facility/Developmentally Disabled (ICF-DD)	50.00%	56,749,000	55,945,000	-804,000	55,946,000	1,000	-803,000
7	Quality Assurance Fees (Operations portion only) *	50.00%	851,000	839,000	-12,000	839,000	0	-12,000
8	1915(i) State Plan Amendment (SPA)	50.00%	177,983,000	186,602,000	8,619,000	192,860,000	6,258,000	14,877,000
9	Money Follows the Person Grant (Operations portion only) *	25.00%	5,130,000	1,496,000	-3,634,000	1,269,000	-227,000	-3,861,000
10	Early Periodic Screening Diagnosis and Treatment (EPSDT)	50.00%	20,805,000	25,288,000	4,483,000	26,274,000	986,000	5,469,000
● GF Other:		\$922,377,000	\$984,492,000	\$62,115,000	\$1,135,480,000	\$150,988,000	\$213,103,000	
	These costs consist of the remainder of total regional center expenditures not included in the GF Match, Reimbursements, Program Development Fund, Developmental Disabilities Services Account, Mental Health Services Fund or Federal Funds.							

* For Quality Assurance Fees, the GF Match for Purchase of Services is in the DHCS Budget. For Money Follows the Person, the GF Match is included in the HCBS Waiver.

General Fund

FUNDING:

These GF are reflected in the Operations and Purchase of Services sections of the estimate.

CHANGE FROM PRIOR ESTIMATE AND YEAR-TO-YEAR CHANGE:

The expenditures have been updated and reflect the most current available expenditure and reimbursement data.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
• TOTAL EXPENDITURES:	\$2,659,224,000	\$2,761,388,000	\$102,164,000	\$2,991,911,000	\$230,523,000	\$332,687,000
Total General Fund	\$2,659,224,000	\$2,761,388,000	\$102,164,000	\$2,991,911,000	\$230,523,000	\$332,687,000
<i>GF Match</i>	1,736,847,000	1,776,896,000	40,049,000	1,856,431,000	79,535,000	119,584,000
<i>GF Other</i>	922,377,000	984,492,000	62,115,000	1,135,480,000	150,988,000	213,103,000

Reimbursements
Summary of Reimbursements and General Fund (GF) Match
Fiscal Year 2014-15

REIMBURSEMENTS ^{a/}	Enacted Budget	Governor's Budget	Difference
A. Home and Community-Based Services (HCBS) Waiver	\$2,628,005,000	\$2,673,636,000	\$45,631,000
1. Reimbursement (from DHCS) = 50%	1,314,003,000	1,336,818,000	22,815,000
2. DDS GF Match = 50% (Purchase of Services)	1,314,002,000	1,336,818,000	22,816,000
B. HCBS Waiver Administration	\$12,988,000	\$17,561,000	\$4,573,000
1. Reimbursement (from DHCS) = 50%	6,494,000	8,781,000	2,287,000
2. DDS GF Match = 50% (Operations)	6,494,000	8,780,000	2,286,000
C. Medicaid Administration	\$13,888,000	\$13,892,000	\$4,000
1. Reimbursement (from DHCS) = 75%	10,417,000	10,420,000	3,000
2. DDS GF Match = 25% (Operations)	3,471,000	3,472,000	1,000
D. Targeted Case Management (TCM)	\$293,903,000	\$306,670,000	\$12,767,000
1. Reimbursement (from DHCS) = 50%	146,952,000	153,335,000	6,383,000
2. DDS GF Match = 50% and BY 50% (Operations)	146,951,000	153,335,000	6,384,000
E. TCM Administration	\$8,822,000	\$8,642,000	-\$180,000
1. Reimbursement (from DHCS) = 50%	4,411,000	4,321,000	-90,000
2. DDS GF Match = 50% (Operations)	4,411,000	4,321,000	-90,000
F. Title XX Block Grant	\$214,555,000	\$214,555,000	\$0
1a. Social Services (from DSS) = 100%	137,088,000	137,088,000	0
1b. Temporary Assistance for Needy Families (TANF) (from DSS) = 100%	77,467,000	77,467,000	0
2. DDS GF Match = 0% (Purchase of Services)	0	0	0
G. Intermediate Care Facility-Developmentally Disabled (ICF-DD)	\$113,500,000	\$111,892,000	-\$1,608,000
1. Reimbursement (from DHCS) = 50%	56,751,000	55,947,000	-804,000
2. DDS GF Match = 50% (Purchase of Services)	56,749,000	55,945,000	-804,000
H. Quality Assurance Admin Fees (Transfer from DHCS) ^{b/}	\$10,895,000	\$10,739,000	-\$156,000
1a. Operations:	1,703,000	1,678,000	-25,000
Reimbursements from DHCS = 50%	852,000	839,000	-13,000
DDS GF Match = 50%	851,000	839,000	-12,000
1b. Purchase of Services:	9,192,000	9,061,000	-131,000
Reimbursements from DHCS = 100%	9,192,000	9,061,000	-131,000
DDS GF Match = 0%	0	0	0
I. 1915(i) State Plan Amendment	\$355,931,000	\$373,205,000	\$17,274,000
1. Reimbursement (from DHCS) = 50%	177,948,000	186,603,000	8,655,000
2. DDS GF Match = 50% (Purchase of Services)	177,983,000	186,602,000	8,619,000
J. Money Follows the Person Grant	\$13,420,000	\$9,763,000	-\$3,657,000
1a. Operations:	6,294,000	6,010,000	-284,000
Reimbursements from DHCS = 75%	4,727,000	4,514,000	-213,000
DDS GF Match = 25%	1,567,000	1,496,000	-71,000
1b. Purchase of Services:	7,126,000	3,753,000	-3,373,000
Reimbursements from DHCS	3,563,000	3,753,000	190,000
100% GF Match in DHCS Budget	3,563,000	0	-3,563,000
K. Homeland Security Grant	\$411,000	\$411,000	\$0
1. Reimbursement (from Cal OES) = 100%	411,000	411,000	0
2. DDS GF Match = 0% (Operations)	0	0	0
L. Race to the Top	\$341,000	\$341,000	\$0
1. Reimbursement (from CDE) = 100%	341,000	341,000	0
2. DDS GF Match = 0% (Other Agency Costs)	0	0	0
M. Early Periodic Screening Diagnosis and Treatment	\$41,610,000	\$50,576,000	\$8,966,000
1. Reimbursement (from DHCS) = 50%	20,805,000	25,288,000	4,483,000
2. DDS GF Match = 50% (Purchase of Services)	20,805,000	25,288,000	4,483,000
N. TOTAL	\$3,708,269,000	\$3,791,883,000	\$83,614,000
1. Reimbursements	\$1,971,422,000	\$2,014,987,000	\$43,565,000
2. Total GF Match	\$1,736,847,000	\$1,776,896,000	\$40,049,000

a/ Reimbursements are funds received via other state agencies.

b/ For Quality Assurance Admin Fees, GF Match is in the DHCS Budget.

DHCS = Department of Health Care Services

DSS = Department of Social Services

CDE = California Department of Education

Cal OES = California Office of Emergency Services

Reimbursements
Summary of Reimbursements and General Fund (GF) Match
Fiscal Year 2015-16

REIMBURSEMENTS a/	Enacted Budget	Governor's Budget	Difference
A. Home and Community-Based Services (HCBS) Waiver	\$2,628,005,000	\$2,818,094,000	\$190,089,000
1. Reimbursement (from DHCS) = 50%	1,314,003,000	1,409,047,000	95,044,000
2. DDS GF Match = 50% (Purchase of Services)	1,314,002,000	1,409,047,000	95,045,000
B. HCBS Waiver Administration	\$12,988,000	\$17,649,000	\$4,661,000
1. Reimbursement (from DHCS) = 50%	6,494,000	8,825,000	2,331,000
2. DDS GF Match = 50% (Operations)	6,494,000	8,824,000	2,330,000
C. Medicaid Administration	\$13,888,000	\$13,892,000	\$4,000
1. Reimbursement (from DHCS) = 75%	10,417,000	10,420,000	3,000
2. DDS GF Match = 25% (Operations)	3,471,000	3,472,000	1,000
D. Targeted Case Management (TCM)	\$293,903,000	\$307,157,000	\$13,254,000
1. Reimbursement (from DHCS)= 50%	146,952,000	153,578,000	6,626,000
2. DDS GF Match = 50% (Operations)	146,951,000	153,579,000	6,628,000
E. TCM Administration	\$8,822,000	\$8,642,000	-\$180,000
1. Reimbursement (from DHCS) = 50%	4,411,000	4,321,000	-90,000
2. DDS GF Match = 50% (Operations)	4,411,000	4,321,000	-90,000
F. Title XX Block Grant	\$214,555,000	\$214,555,000	\$0
1a. Social Services (from DSS) = 100%	137,088,000	137,088,000	0
1b. Temporary Assistance for Needy Families (TANF) (from DSS) = 100%	77,467,000	77,467,000	0
2. DDS GF Match = 0% (Purchase of Services)	0	0	0
G. Intermediate Care Facility-Developmentally Disabled (ICF-DD)	\$113,500,000	\$111,893,000	-\$1,607,000
1. Reimbursement (from DHCS) = 50%	56,751,000	55,947,000	-804,000
2. DDS GF Match = 50% (Purchase of Services)	56,749,000	55,946,000	-803,000
H. Quality Assurance Admin Fees (Transfer from DHCS) ^{b/}	\$10,895,000	\$10,739,000	-\$156,000
1a. Operations:	1,703,000	1,678,000	-25,000
Reimbursements from DHCS = 50%	852,000	839,000	-13,000
DDS GF Match = 50%	851,000	839,000	-12,000
1b. Purchase of Services:	9,192,000	9,061,000	-131,000
Reimbursements from DHCS = 100%	9,192,000	9,061,000	-131,000
DDS GF Match = 0%	0	0	0
I. 1915(i) State Plan Amendment	\$355,931,000	\$385,721,000	\$29,790,000
1. Reimbursement (from DHCS) = 50%	177,948,000	192,861,000	14,913,000
2. DDS GF Match = 50% (Purchase of Services)	177,983,000	192,860,000	14,877,000
J. Money Follows the Person Grant	\$13,420,000	\$7,887,000	-\$5,533,000
1a. Operations:	6,294,000	5,099,000	-1,195,000
Reimbursements from DHCS = 75%	4,727,000	3,830,000	-897,000
DDS GF Match = 25%	1,567,000	1,269,000	-298,000
1b. Purchase of Services:	7,126,000	2,788,000	-4,338,000
Reimbursements from DHCS	3,563,000	2,788,000	-775,000
100% GF Match in DHCS Budget	3,563,000	0	-3,563,000
K. Homeland Security Grant	\$411,000	\$411,000	\$0
1. Reimbursement (from Cal OES) = 100%	411,000	411,000	0
2. DDS GF Match = 0% (Operations)	0	0	0
L. Race to the Top	\$341,000	\$143,000	-\$198,000
1. Reimbursement (from CDE) = 100%	341,000	143,000	-198,000
2. DDS GF Match = 0% (Other Agency Costs)	0	0	0
M. Early Periodic Screening Diagnosis and Treatment	\$41,610,000	\$52,548,000	\$10,938,000
1. Reimbursement (from DHCS) = 50%	20,805,000	26,274,000	5,469,000
2. DDS GF Match = 50% (Purchase of Services)	20,805,000	26,274,000	5,469,000
N. TOTAL	\$3,708,269,000	\$3,949,331,000	\$241,062,000
1. Reimbursements	\$1,971,422,000	\$2,092,900,000	\$121,478,000
2. Total GF Match	\$1,736,847,000	\$1,856,431,000	\$119,584,000

a/ Reimbursements are funds received via other state agencies.

b/ For Quality Assurance Admin Fees, GF Match is in the DHCS Budget.

DHCS = Department of Health Care Services

DSS = Department of Social Services

CDE = California Department of Education

Cal OES = California Office of Emergency Services

Home and Community-Based Services Waiver

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver program enables the Department of Developmental Services (DDS) to provide a broad array of HCBS to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for the developmentally disabled.

KEY DATA/ASSUMPTIONS:

Data Source: Medicaid Waiver Total Billed Dollar Amounts and Client Counts report, dated September 2, 2014 for the period of July 2013 through June 2014.

METHODOLOGY:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
• Base:	\$2,530,968,000	\$2,569,154,000	\$38,186,000	\$2,668,722,000	\$99,568,000	\$137,754,000
• 2014-15						
Total estimated expenditures based on billing data for the period of July 2013 - June 2014	2,455,759,000	2,479,078,000				
Plus AB 10 Minimum Wage Increase (July 1, 2014)	74,927,000	74,927,000				
Plus Federal Labor Regulations (January 1, 2015) - Half Year	12,564,000	15,149,000				
• 2015-16						
Total Estimated prior-year HCBS Waiver Expenditures				2,673,636,000		
Less 2014-15 Community Placement Plan (CPP) Placements, Deflections, and Continuation	-14,268,000			-22,944,000		
Less half-year Federal Labor Regulations (January 1, 2015)				-15,149,000		
Plus full-year Federal Labor Regulations (January 1, 2015)				30,295,000		
Plus SSI/SSP RC Savings in Community Care Facilities	1,986,000			2,884,000		
• Annual Growth, New RC Consumers	\$59,320,000	\$63,381,000	\$4,061,000	\$58,944,000	-\$4,437,000	-\$376,000
• 2014-15						
• 2014-15 Annual Growth	30,921,000	25,470,000	-5,451,000			
Add annual growth costs in 2014-15 for 3,421 consumers who will be new to the Regional Center (RC) system and added to the HCBS Waiver with \$1,300 monthly cost per consumer phased-in.						
• 2013-14 Annual Growth, Continuation for RC Consumers Added in 2013-14	28,399,000	37,911,000	9,512,000			
• 2015-16						
• 2015-16 Annual Growth				31,044,000		
Add annual growth costs in 2015-16 for 3,676 consumers who will be new to the RC system and added to the HCBS Waiver in 2015-16 with \$1,300 monthly cost per consumer phased-in.						
• 2015-16 Annual Growth, Continuation for RC Consumers Added in 2015-16				27,900,000		

Home and Community-Based Services Waiver

METHODOLOGY (continued):

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> ● Increase of Waiver Base Growth, Existing RC Consumers <ul style="list-style-type: none"> ● 2014-15 Increase of Waiver Base Growth Add increase of waiver base growth costs in 2014-15 for 1,467 consumers to the HCBS Waiver with \$1,000 monthly cost per consumer phased-in. ● 2014-15 Increase of Waiver Base Growth Continuation ● 2015-16 Increase of Waiver Base Growth Add increase of waiver base growth costs in 2015-16 for 1,234 consumers to the HCBS Waiver with \$1,000 monthly cost per consumer phased-in. ● 2015-16 Increase of Waiver Base Growth Continuation ● CPP <ul style="list-style-type: none"> ● 2014-15 Add CPP and HCBS Waiver costs in 2014-15 for 136 consumers in the RC system with \$12,000 monthly cost per consumer phased-in. ● Add CPP & HCBS Waiver costs in 2015-16 for 115 consumers in the RC system with \$12,000 monthly cost per consumer phased-in. ● Placement Continuation: <ul style="list-style-type: none"> ● 2014-15 The annual estimated Placement Continuation costs for 197 HCBS Waiver-eligible consumers in each living arrangement with \$12,000 monthly cost per consumer phased-in. ● 2015-16 The annual estimated Placement Continuation costs for 136 HCBS Waiver-eligible consumers in each living arrangement with \$12,000 monthly cost per consumer phased-in. ● SSI/SSP Increase/RC Savings in Community Care Facilities ● AB 10 Minimum Wage Increase (January 1, 2016) ● AB 1522 Employment: Paid Sick Days ● Impacts from Other Departments, DHCS - Behavioral Health Treatment ● TOTAL EXPENDITURES ● Federal Medical Assistance Percentage (FMAP) ● Total Federal Financial Participation (FFP) ● General Fund (GF) Match 	<p>\$19,315,000</p> <p>9,610,000</p> <p>9,705,000</p> <p>\$10,344,000</p> <p>\$10,044,000</p> <p>-\$1,986,000</p> <p>50.00%</p> <p>\$1,314,003,000</p> <p>\$1,314,002,000</p>	<p>\$21,041,000</p> <p>8,417,000</p> <p>12,624,000</p> <p>\$10,428,000</p> <p>12,516,000</p> <p>-\$2,884,000</p> <p>50.00%</p> <p>\$1,336,818,000</p> <p>\$1,336,818,000</p>	<p>\$1,726,000</p> <p>-1,193,000</p> <p>2,919,000</p> <p>\$2,472,000</p> <p>2,472,000</p> <p>-\$898,000</p> <p>45,631,000</p> <p>22,815,000</p> <p>\$22,816,000</p>	<p>\$17,202,000</p> <p>8,014,000</p> <p>9,188,000</p> <p>\$9,178,000</p> <p>\$9,180,000</p> <p>9,180,000</p> <p>-\$3,462,000</p> <p>44,068,000</p> <p>16,096,000</p> <p>-\$1,834,000</p> <p>\$2,818,094,000</p> <p>50.00%</p> <p>\$1,409,047,000</p> <p>\$1,409,047,000</p>	<p>-\$3,839,000</p> <p>-\$3,336,000</p> <p>-\$578,000</p> <p>\$44,068,000</p> <p>\$16,096,000</p> <p>\$144,458,000</p> <p>\$72,229,000</p> <p>\$72,229,000</p>	<p>-\$2,113,000</p> <p>-\$864,000</p> <p>-\$1,476,000</p> <p>\$44,068,000</p> <p>\$16,096,000</p> <p>\$190,089,000</p> <p>\$95,044,000</p> <p>\$95,045,000</p>

FUNDING:

HCBS Waiver reimbursements fund a broad array of Purchase of Services (POS) costs for eligible individuals. The expenditure of HCBS Waiver reimbursements are in all of the POS budget categories, except Medical Facilities.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects the additional growth in HCBS Waiver billables, updated expenditures, and additional consumers added to the waiver.

Home and Community-Based Services Waiver Estimated Distribution in Purchase of Services

EXPENDITURES:

	FYI Only Estimated % of Costs Billable to HCBS Waiver	% of Actual 2013-14 Waiver Expenditures by Budget Category	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-2016 Over Enacted Budget
Total Estimated HCBS Waiver-Billable Expenditures			\$2,628,005,000	\$2,673,636,000	\$45,631,000	\$2,818,094,000	\$144,458,000	\$190,089,000
<i>GF Match</i>			1,314,002,000	1,336,818,000	22,816,000	1,409,047,000	72,229,000	95,045,000
<i>FFP (from DHCS)</i>			1,314,003,000	1,336,818,000	22,815,000	1,409,047,000	72,229,000	95,044,000
<i>FMAP</i>			50.00%	50.00%		50.00%		
Purchase of Services								
Community Care Facilities	81%	31.31%	845,044,000	836,992,000	-8,052,000	863,954,000	26,962,000	18,910,000
<i>GF Match</i>			422,522,000	418,496,000	-4,026,000	431,977,000	13,481,000	9,455,000
<i>FFP</i>			422,522,000	418,496,000	-4,026,000	431,977,000	13,481,000	9,455,000
Day Programs	55%	19.45%	516,699,000	519,971,000	3,272,000	536,722,000	16,751,000	20,023,000
<i>GF Match</i>			258,349,000	259,985,000	1,636,000	268,361,000	8,376,000	10,012,000
<i>FFP</i>			258,350,000	259,986,000	1,636,000	268,361,000	8,375,000	10,011,000
Work Activity Program	60%	1.40%	38,412,000	37,358,000	-1,054,000	38,561,000	1,203,000	149,000
<i>GF Match</i>			19,206,000	18,679,000	-527,000	19,280,000	601,000	74,000
<i>FFP</i>			19,206,000	18,679,000	-527,000	19,281,000	602,000	75,000
Supported Employment Placement (SEP) / Group	37%	1.10%	30,702,000	29,380,000	-1,322,000	30,327,000	947,000	-375,000
<i>GF Match</i>			15,351,000	14,690,000	-661,000	15,163,000	473,000	-188,000
<i>FFP</i>			15,351,000	14,690,000	-661,000	15,164,000	474,000	-187,000
SEP / Individual	23%	0.16%	4,500,000	4,372,000	-128,000	4,513,000	141,000	13,000
<i>GF Match</i>			2,250,000	2,186,000	-64,000	2,257,000	71,000	7,000
<i>FFP</i>			2,250,000	2,186,000	-64,000	2,256,000	70,000	6,000
Transportation	63%	6.41%	163,895,000	171,400,000	7,505,000	176,921,000	5,521,000	13,026,000
<i>GF Match</i>			81,947,000	85,700,000	3,753,000	88,460,000	2,760,000	6,513,000
<i>FFP</i>			81,948,000	85,700,000	3,752,000	88,461,000	2,761,000	6,513,000
Support Services	73%	25.63%	651,610,000	685,202,000	33,592,000	707,275,000	22,073,000	55,665,000
<i>GF Match</i>			325,806,000	342,601,000	16,795,000	353,638,000	11,037,000	27,832,000
<i>FFP</i>			325,804,000	342,601,000	16,797,000	353,637,000	11,036,000	27,833,000

Home and Community-Based Services Waiver Estimated Distribution in Purchase of Services

EXPENDITURES

	FYI Only	% of Actual	Enacted	Updated	2014-15	2015-16	2015-16	2015-16
	Estimated	2013-14						
	% of Costs	Waiver					Over	Over
	Billable to	Expenditures by	Budget	2014-15	Request	2015-16	2014-15	Enacted
	HCBS Waiver	Budget Category						Budget
In-Home Respite	74%	6.46%	168,283,000	172,643,000	4,360,000	178,204,000	5,561,000	9,921,000
<i>GF Match</i>			84,141,000	86,322,000	2,181,000	89,102,000	2,780,000	4,961,000
<i>FFP</i>			84,142,000	86,321,000	2,179,000	89,102,000	2,781,000	4,960,000
Out-of-Home Respite	65%	1.05%	29,358,000	28,080,000	-1,278,000	28,985,000	905,000	-373,000
<i>GF Match</i>			14,679,000	14,040,000	-639,000	14,493,000	453,000	-186,000
<i>FFP</i>			14,679,000	14,040,000	-639,000	14,492,000	452,000	-187,000
Health Care	22%	0.76%	18,300,000	20,243,000	1,943,000	20,895,000	652,000	2,595,000
<i>GF Match</i>			9,150,000	10,122,000	972,000	10,448,000	326,000	1,298,000
<i>FFP</i>			9,150,000	10,121,000	971,000	10,447,000	326,000	1,297,000
Miscellaneous	38%	6.28%	161,202,000	167,995,000	6,793,000	173,407,000	5,412,000	12,205,000
<i>GF Match</i>			80,601,000	83,997,000	3,396,000	86,703,000	2,706,000	6,102,000
<i>FFP</i>			80,601,000	83,998,000	3,397,000	86,704,000	2,706,000	6,103,000
AB 10 Minimum Wage Increase (January 1, 2016)						44,068,000	44,068,000	44,068,000
<i>GF Match</i>						22,034,000	22,034,000	22,034,000
<i>FFP (from DHCS)</i>						22,034,000	22,034,000	22,034,000
AB 1522 Employment: Paid Sick Days						16,096,000	16,096,000	16,096,000
<i>GF Match</i>						8,048,000	8,048,000	8,048,000
<i>FFP (from DHCS)</i>						8,048,000	8,048,000	8,048,000
Impacts from Other Departments, DHCS - Behavioral Health								
Treatment						-1,834,000	-1,834,000	-1,834,000
<i>GF Match</i>						-917,000	-917,000	-917,000
<i>FFP</i>						-917,000	-917,000	-917,000

Home and Community-Based Services Waiver Administration

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver enables the Department of Developmental Services (DDS) to provide a broad array of home and community-based services to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for the developmentally disabled. These HCBS Waiver Administration funds are for the proper and efficient administration of the HCBS Waiver.

ASSUMPTIONS/METHODOLOGY:

Training for Direct Support Professional (DSP) Staff:

Welfare and Institutions Code Section 4695.2, Statutes of 1998, mandates all DSPs working in licensed community care facilities (CCFs) to either pass competency tests or satisfactorily complete each of two consecutive 35-hour training segments within two years of the date of hire. The testing and training program is conducted through an Interagency Agreement (IA) with the Department of Education (DOE). This estimate of Operations costs covers the costs of challenge tests, and training through the IA with DOE.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Training for DSP Staff	\$2,332,000	\$2,279,000	-\$53,000	\$2,293,000	\$14,000	-\$39,000

- Total Cost for DSP Training is:
 - CY \$2,620,000
 - BY \$2,636,000
- 87% of the consumers residing in CCFs are HCBS Waiver eligible; therefore, it is assumed that 87% of the costs are eligible for federal financial participation (FFP).
 - CY $\$2,620,000 \times .87 = \$2,279,000$
 - BY $\$2,636,000 \times .87 = \$2,293,000$
- The FFP portion of the HCBS Waiver Administration-eligible costs is 50%.
 - CY $\$2,279,000 \times .50 = \$1,139,000$
 - BY $\$2,293,000 \times .50 = \$1,147,000$
- These costs are reflected in the DSP Training estimate, under Operations, Projects.

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

Collection (FFP) for Contracted Services

Regional center staff will renegotiate contracts with vendors, liaison with the Department of Developmental Services experts on changes required to expand and enhance existing billing options, train vendors and regional center personnel involved in the billing process to key enter necessary attendance and other required billing data from paper invoices submitted by vendors, and review, adjust and/or correct attendance data after it is uploaded to the Uniform Fiscal System to assure proper payment to vendors. These resources will allow the State to collect HCBS Waiver Administration reimbursements.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Staffing for Collection of FFP for Contracted Services	\$891,000	\$913,000	\$22,000	\$913,000	\$0	\$22,000

- Total cost of Staffing for Collection of FFP for Contracted Services is \$2,228,000.
 - 41% of the total community population is FFP eligible, therefore it is assumed that 41% of the costs are eligible for FFP.
\$2,228,000 x .41 = \$913,000.
 - The FFP portion of the HCBS Waiver Administration eligible costs is 50%.
\$913,000 x .50 = \$457,000
- These costs are reflected in the Staffing for Collection of FFP for Contracted Services under Operations, Staffing.

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

Collection of Federal Financial Participation (FFP) for Contracted Services

The Centers for Medicare & Medicaid Services (CMS) approved rate-setting methodology for the Targeted Case Management (TCM) program distributes administrative costs previously included in TCM to other programs.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
FFP Enhancement (related to 2003-04 Enhancing FFP BCP)						
<ul style="list-style-type: none"> Total RC Administrative Costs are \$7,196,000 100% of costs are eligible for FFP. The FFP portion of total HCBS Waiver Administration eligible costs is 50%. $\\$7,196,000 \times .50 = \\$3,598,000$ These Costs are reflected under Operations, Core Staffing. 	\$7,737,000	\$7,196,000	-\$541,000	\$7,196,000	\$0	-\$541,000

2007-08 Legislative Augmentation: Resources for Health Care Community Specialists

Reimbursement related to Agnews Ongoing workload Health Care Community Specialists positions were moved from Medicaid Administration (MA) to HCBS Waiver Administration. 98% of the total personal services and operating expenses for the above positions were eligible for waiver.

2007-08 Legislative Augmentation: Resources for Health Care Community Specialist	\$377,000	\$377,000	\$0	\$377,000	\$0	\$0
<ul style="list-style-type: none"> Total Cost for Resources for Health Care Community Specialist is \$385,000 98% of Agnews Consumers are HCBS Waiver eligible, therefore it is assumed that 98% of these costs are eligible for FFP. $\\$385,000 \times .98 = \\$377,000$ The FFP portion of the HCBS Waiver Administration costs is 50%. $\\$377,000 \times .50 = \\$189,000$. These costs are reflected under Operations, Agnews Ongoing Workload. 						

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Federal Medicaid Requirements for RC HCBS Services	\$984,000	\$984,000	\$0	\$984,000	\$0	\$0
<ul style="list-style-type: none"> Total Costs \$984,000 100% of costs are eligible for FFP. The FFP portion of the HCBS Waiver Administration costs is 50%. $\\$984,000 \times .50 = \\$492,000$ These costs are reflected in the Federal Medicaid Requirements for RC HCBS Services estimate under Operations, Federal 						
Office of Administrative Hearings	\$1,310,000	\$1,310,000	\$0	\$1,310,000	\$0	\$0
<ul style="list-style-type: none"> Total Costs \$2,910,000 45% of costs are eligible for FFP. $\\$2,910,000 \times .45 = \\$1,310,000$ The FFP portion of the HCBS Waiver Administration costs is 50%. $\\$1,310,000 \times .50 = \\$655,000$ These costs are reflected in the Office of Administrative Hearings estimate under Operations, Projects. 						
Clients' Rights Advocacy	\$2,606,000	\$2,696,000	\$90,000	\$2,791,000	\$95,000	\$185,000
<ul style="list-style-type: none"> Total Costs: <ul style="list-style-type: none"> CY \$5,992,000 BY \$6,202,000 45% of costs are eligible for FFP. CY: $\\$5,992,000 \times .45 = \\$2,696,000$ BY: $\\$6,202,000 \times .45 = \\$2,791,000$ The FFP portion of the HCBS Waiver Administration costs is 50%. CY: $\\$2,696,000 \times .50 = \\$1,348,000$ BY: $\\$2,791,000 \times .50 = \\$1,396,000$ 						

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Quality Assessment Contract	\$1,388,000	\$1,413,000	\$25,000	\$1,408,000	-\$5,000	\$20,000
<ul style="list-style-type: none"> Total Costs: <ul style="list-style-type: none"> CY \$3,139,000 BY \$3,129,000 45% of costs are eligible for FFP. <ul style="list-style-type: none"> CY: \$3,139,000 x .45 = \$1,413,000 BY: \$3,129,000 x .45 = \$1,408,000 The FFP portion of the HCBS Waiver Administration costs is 50%. <ul style="list-style-type: none"> CY: \$1,413,000 x .50 = \$707,000 BY: \$1,408,000 x .50 = \$704,000 <p>These costs are reflected in the Quality Assessment Contract estimate under Operations, Projects.</p>						
Special Incident Reporting/Risk Assessment						
<ul style="list-style-type: none"> Total Costs: <ul style="list-style-type: none"> CY \$873,000 BY \$838,000 45% of costs are eligible for FFP. <ul style="list-style-type: none"> CY: \$873,000 x .45 = \$393,000 BY: \$838,000 x .45 = \$377,000 The FFP portion of the HCBS Waiver Administration costs is 50%. <ul style="list-style-type: none"> CY: \$393,000 x .50 = \$196,000 BY: \$377,000 x .50 = \$188,000 <p>These costs are reflected in the Special Incident Reporting/Risk Assessment estimate under Operations, Projects.</p>	\$363,000	\$393,000	\$30,000	\$377,000	-\$16,000	\$14,000
<u>FY 2012-13 General Fund Savings Proposal</u>	-\$5,000,000	\$0	\$5,000,000	\$0	\$0	\$5,000,000

Funding for HCBS Waiver Administration reimbursement is 50% Federal Financial Participation (FFP) and 50% General Fund (GF).

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated expenditure data.

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

	Enacted Budget	Updated 2014-15	2014-15 Request	Updated 2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
EXPENDITURES:						
TOTAL	\$12,988,000	\$17,561,000	\$4,573,000	\$17,649,000	\$88,000	\$4,661,000
FFP	6,494,000	8,781,000	2,287,000	8,825,000	44,000	2,331,000
GF Match	6,494,000	8,780,000	2,286,000	8,824,000	44,000	2,330,000

Medicaid Administration

DESCRIPTION:

Clinical Support Teams and Senate Bill (SB) 1038 Health Reviews (Regional Center Operations pages E-2.5, E-2.7)

Clinical support teams ensure the regional centers' (RC) ability to adequately monitor the health care of consumers with severe behavior and/or medical problems; to provide health-related consultation to these consumers, their families, providers and other community health professionals; to ensure careful and complete mortality reviews for these consumers' deaths; and to ensure health care access and advocacy for these consumers.

In addition, clinical support teams complete yearly reviews of medications, health care plans and behavioral plans for all consumers in community care facilities and in supported and independent living arrangements. Clinical teams also review circumstances leading to all deaths of these consumers.

Pursuant to SB 1038 (Chapter 1043, Statutes of 1998), RC physicians and nurses (with clerical support) provide medical reviews for the remainder of the community consumers who are not getting medical reviews from the clinical support teams.

These activities are eligible for federal Medicaid Administration (MA) reimbursement.

Compliance with Home and Community-Based Services (HCBS) Waiver Requirements (Regional Center Operations page E-3.5)

RC physicians and psychiatrists perform activities, including clinical consultation, monitoring and review of consumers' medications, to ensure the RC system maintains compliance with the HCBS Waiver.

Agnews Ongoing Workload (AOW) (Regional Center Operations page E-2.14)

RC physicians and psychiatrists perform activities, including clinical consultation, monitoring and review of consumers' medications, to ensure the RC system maintains compliance with the HCBS Waiver.

Consistent with passage of Assembly Bill 1378 (Chapter 538, Statutes of 2005) AOW employees will provide clinical support services to ensure the health and well being of Agnews residents as they transition into the community consistent with the Department of Developmental Services' (DDS) Interagency Agreement for MA with the Department of Health Care Services.

Nurse consultants will monitor consumer health care plans and health care for Agnews residents living in SB 962 homes. One dental coordinator at each of the Bay Area RC (RC of the East Bay, San Andreas RC and Golden Gate RC) will promote and coordinate dental resources for Agnews residents that have moved into the community.

State employees in the community may participate in all MA activities, including clinical support teams, SB 1038 health reviews, and compliance with HCBS Waiver requirements.

Medicaid Administration

METHODOLOGY:

KEY DATA/ASSUMPTIONS:

- The cost of conducting health reviews reflects upon Regional Centers (RCs) actual cost.
- Costs for Clinical Support Teams and SB 1038 Health Reviews are based on 2012-13 data collected from the RC's.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Total	\$9,256,800	\$9,514,266	\$257,284	\$9,514,084	\$0	\$257,284
Rounded	\$9,257,000	\$9,514,000	\$257,000	\$9,514,000	\$0	\$257,000
<ul style="list-style-type: none"> The Federal Financial Participation (FFP) portion of total MA-eligible costs is 75%. 	0.75	0.75		0.75		
Total	\$6,942,750	\$7,135,500	\$192,750	\$7,135,500	\$0	\$192,750
Rounded	\$6,943,000	\$7,136,000	\$193,000	\$7,136,000	\$0	\$193,000
<ul style="list-style-type: none"> Staffing for Compliance with HCBS Waiver Requirements <ul style="list-style-type: none"> Total personal services and operating costs related to MA. 100% of these costs are eligible for MA because related staff will be working only with HCBS Waiver consumers. 	\$2,600,000	\$2,600,000	\$0	\$2,600,000	\$0	\$0
	1.00	1.00		1.00	\$0	
Total	\$2,600,000	\$2,600,000	\$0	\$2,600,000	\$0	\$0
Rounded	\$2,600,000	\$2,600,000	\$0	\$2,600,000	\$0	\$0
<ul style="list-style-type: none"> The FFP portion of total MA-eligible costs is 75% . 	0.75	0.75		0.75		
Total	\$1,950,000	\$1,950,000	\$0	\$1,950,000	\$0	\$0
Rounded	\$1,950,000	\$1,950,000	\$0	\$1,950,000	\$0	\$0
<ul style="list-style-type: none"> Agnews Ongoing Workload <ul style="list-style-type: none"> Nurse Consultants <ul style="list-style-type: none"> Total personal services and operating costs related to MA. Consistent with the Community Placement Plan (CPP) assumptions, 98% of these cost are eligible for MA The FFP portion of total MA-eligible costs is 75% . 	\$275,000	\$230,000	-\$45,000	\$230,000	\$0	-\$45,000
	0.98	0.98		0.98		
Total	\$269,500	\$225,400	-\$44,100	\$225,400	\$0	-\$44,100
Rounded	\$270,000	\$225,000	-\$44,000	\$225,000	\$0	-\$44,000
Total	\$202,500	\$168,750	-\$33,750	\$168,750	\$0	-\$33,750
Rounded	\$203,000	\$169,000	-\$34,000	\$169,000	\$0	-\$34,000

Medicaid Administration

METHODOLOGY: (continued)

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16 2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
• State Employees in the Community						
• Total personal services and operating costs related to MA.	\$1,292,000	\$1,292,000	\$0	\$1,292,000	\$0	\$0
• Consistent with CPP assumptions, 98% of these costs are eligible for MA	0.98	0.98		0.98		
Total	\$1,266,160	\$1,266,160	\$0	\$1,266,160	\$0	\$0
Rounded	\$1,266,000	\$1,266,000	\$0	\$1,266,000	\$0	\$0
• The FFP portion of total MA-eligible costs is 75% .	0.75	0.75		0.75		
Total	\$949,500	\$949,500	\$0	\$949,500	\$0	\$0
Rounded	\$950,000	\$950,000	\$0	\$950,000	\$0	\$0
• Dental Coordinators						
• Total personal services and operating costs related to MA.	\$505,000	\$293,000	-\$212,000	\$293,000	\$0	-\$212,000
• Consistent with the CPP assumptions, 98% of these costs are eligible for MA (\$293,000 x .98 = \$287,000).	0.98	0.98		0.98		
Total	\$494,900	\$287,140	-\$207,760	\$287,140	\$0	-\$207,760
Rounded	\$495,000	\$287,000	-\$208,000	\$287,000	\$0	-\$208,000
• The FFP portion of total MA-eligible costs is 75% (\$287,000 x .75 = \$215,000).	0.75	0.75		0.75		
Total	\$371,250	\$215,250	-\$156,000	\$215,250	\$0	-\$156,000
Rounded	\$371,000	\$215,000	-\$156,000	\$215,000	\$0	-\$156,000

Medicaid Administration

METHODOLOGY: (continued)

FUNDING:

The MA reimbursement is 75% FFP and 25% General Fund (GF). These MA funds are reflected in Operations, Staffing, Compliance with HCBS Waiver Requirements estimate, and Agnews Ongoing Workload.

CHANGE FROM PRIOR ESTIMATE:

The reimbursement amounts reflect updated RC personal services expenditures.

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	Updated 2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$13,888,000	\$13,892,000	\$4,000	\$13,892,000	\$0	\$4,000
FFP	10,417,000	10,420,000	3,000	10,420,000	0	3,000
GF Match	3,471,000	3,472,000	1,000	3,472,000	0	1,000

Targeted Case Management

DESCRIPTION:

The Targeted Case Management (TCM) program provides Medicaid federal matching funds for case management services provided by regional centers (RC) for specific client groups. There are approximately 184,000 Medi-Cal eligible persons in the RC system. Federal legislation enacted in 1986 defined these case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This means that the cost for most of the RC case manager's time spent on Medi-Cal eligible developmentally disabled persons is eligible for federal financial participation (FFP).

KEY DATA/ASSUMPTIONS:

Source data is from the TCM RC Billed Units report dated August 4, 2014 for the period July 2013 - June 2014.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
METHODOLOGY:						
• Base: TCM expenditures are based on actual TCM billable units for a 12-month period (July 2013 - June 2014) multiplied by the RC TCM rates effective July 2014.	\$293,686,000	\$306,453,000	\$12,767,000	\$306,453,000	\$0	\$12,767,000
• AB 10 Minimum Wage Increase - (July 1, 2014)	\$37,000	\$37,000	\$0		-\$37,000	-\$37,000
FFP	19,000	19,000	0		-19,000	-19,000
GF Match	18,000	18,000	0		-18,000	-18,000
• AB 10 Minimum Wage Increase - (January 1, 2016)				\$524,000	\$524,000	\$524,000
FFP				262,000	262,000	262,000
GF Match				262,000	262,000	262,000
• Enhanced Caseload Ratio of 1:45	\$180,000	\$180,000	\$0	\$180,000	\$0	\$0
FFP	90,000	90,000	0	90,000	0	0
GF Match	90,000	90,000	0	90,000	0	0

FUNDING:

Funding for TCM reimbursement is 50% FFP and 50% General Fund (GF). TCM funds are reflected in the Core Staffing and Federal Compliance estimates, under Operations.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

The reimbursement amounts reflect the most current billable units, TCM rates, and RC personnel costs.

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$293,903,000	\$306,670,000	\$12,767,000	\$307,157,000	\$487,000	\$13,254,000
FFP	146,952,000	153,335,000	6,383,000	153,578,000	243,000	6,626,000
GF Match	146,951,000	153,335,000	6,384,000	153,579,000	244,000	6,628,000

Targeted Case Management Administration

DESCRIPTION:

The Targeted Case Management (TCM) program provides Medicaid federal matching funds for case management services for specific client groups. There are approximately 184,000 Medi-Cal eligible persons in the regional center (RC) system. Federal legislation enacted in 1986 defined case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This means that the cost for most of the RC case manager's time spent on Medi-Cal eligible developmentally disabled persons and the cost of providing administrative support to the case management program are both eligible for federal financial participation (FFP). These TCM Administration funds are for the proper and efficient administration of the TCM.

KEY DATA/ASSUMPTIONS:

- TCM Administration reimbursements will be 50% FFP.
- Source of Data: Department of Developmental Services' (DDS) Fiscal Systems - California State Accounting and Reporting System.

METHODOLOGY:

Utilizing a time survey, DDS gathers records of time spent by DDS headquarters personnel providing administrative case management assistance to the RC. These surveys are used to allocate headquarters salaries, wages and benefits and a portion of DDS' statewide cost allocation related to administrative case management support.

Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
\$8,822,000	\$8,642,000	-\$180,000	\$8,642,000	\$0	-\$180,000

FUNDING:

Funding for TCM Administration reimbursement is 50% FFP and 50% General Fund (GF). TCM Administration funds are reflected in the Core Staffing estimate, under Operations.

CHANGE FROM PRIOR ESTIMATE:

Reflects the most current DDS allocation of costs related to administrative case management and support.

Targeted Case Management Administration

METHODOLOGY (continued):

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$8,822,000	\$8,642,000	-\$180,000	\$8,642,000	\$0	-\$180,000
<i>FFP</i>	4,411,000	4,321,000	-90,000	4,321,000	0	-90,000
<i>GF Match</i>	4,411,000	4,321,000	-90,000	4,321,000	0	-90,000

Title XX Block Grant

DESCRIPTION:

Social Services: The State has received federal Title XX Block Grant funds for social services since 1981. Each state has wide discretion in determining the range of services to be provided and how the funds are to be distributed. There is no state match requirement for these funds. In California, the program is administered by the California Department of Social Services (DSS).

Federal statute establishes five service goals:

1. Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency;
2. Achieving or maintaining self-sufficiency, including the reduction or prevention of dependency;
3. Preventing or remedying neglect, abuse or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating or reuniting families;
4. Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and
5. Securing referral or admission for institutional care when other forms of care are not appropriate or providing services to individuals in institutions.

Temporary Assistance for Needy Families (TANF): These Title XX Block Grant funds are available for regional center expenditures for individuals under age 18 whose family income is less than 200 percent of the income official poverty line (as defined by the federal Office of Management and Budget) applicable to a family of the size involved.

KEY DATA/ASSUMPTIONS:

The Department of Developmental Services portion of the Title XX Block Grant is determined by the DSS.

Title XX Block Grant

ASSUMPTIONS/METHODOLOGY (Cont'd):

FUNDING:

The Title XX Block Grant amount is determined by the DSS.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

No change in the grant amount

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL TITLE XX BLOCK GRANT	\$214,555,000	\$214,555,000	\$0	\$214,555,000	\$0	\$0
SOCIAL SERVICES	\$137,088,000	\$137,088,000	\$0	\$137,088,000	\$0	\$0
ESTIMATED DISTRIBUTION IN RC PURCHASE OF SERVICES						
<i>Day Programs</i>	48,229,000	47,812,000	-417,000	47,812,000	0	-\$417,000
<i>Transportation</i>	6,732,000	7,301,000	569,000	7,301,000	0	\$569,000
<i>Support Services</i>	58,063,000	57,895,000	-168,000	57,895,000	0	-\$168,000
<i>In-Home Respite</i>	18,453,000	18,706,000	253,000	18,706,000	0	\$253,000
<i>Out-of-Home Respite</i>	1,552,000	1,451,000	-101,000	1,451,000	0	-\$101,000
<i>Miscellaneous Services</i>	4,059,000	3,923,000	-136,000	3,923,000	0	-\$136,000

Title XX Block Grant

EXPENDITURES (Cont'd):	Enacted	Updated	2014-15		2015-16	2015-16
	Budget	2014-15	Request	2015-16	2014-15	Over Enacted Budget
TANF	\$77,467,000	\$77,467,000	\$0	\$77,467,000	\$0	\$0
ESTIMATED DISTRIBUTION IN RC PURCHASE OF SERVICES						
Community Care Facilities	7,381,000	7,448,000	67,000	7,448,000	0	67,000
Day Programs	37,000	46,000	9,000	46,000	0	9,000
Transportation	1,142,000	1,093,000	-49,000	1,093,000	0	-49,000
Support Services	13,796,000	14,127,000	331,000	14,127,000	0	331,000
In-Home Respite	14,889,000	14,284,000	-605,000	14,284,000	0	-605,000
Out-of-Home Respite	4,973,000	4,051,000	-922,000	4,051,000	0	-922,000
Health Care	1,435,000	1,271,000	-164,000	1,271,000	0	-164,000
Miscellaneous Services	33,814,000	35,147,000	1,333,000	35,147,000	0	1,333,000

Intermediate Care Facility-Developmentally Disabled State Plan Amendment

DESCRIPTION:

In 2007, the Department of Developmental Services (DDS), in conjunction with the Department of Health Care Services (DHCS), submitted a State Plan Amendment (SPA) seeking federal financial participation (FFP) in the day program and transportation services of Intermediate Care Facility-Developmentally Disabled (ICF-DD) residents. DDS reached agreement with the Centers for Medicare and Medicaid Services (CMS) on the mechanism to achieve the already budgeted FFP for 2007-08 through 2010-11, which was reflected in the 2010-11 Budget Trailer Bill Language. The SPA was approved April 14, 2011 by CMS.

IMPLEMENTATION DATE:

The SPA was approved April 14, 2011 retroactive to July 1, 2007.

ASSUMPTIONS/METHODOLOGY:

The total expenditures for adult day treatment and non-medical transportation services received by regional center consumers residing in an ICF-DD are based on actual expenditures for fiscal year 2013-14.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Estimated day and transportation costs for ICF-DD residents	\$113,500,000	\$111,892,000	-\$1,608,000	\$111,892,000	\$0	-\$1,608,000

Intermediate Care Facility-Developmentally Disabled State Plan Amendment

FUNDING:

Funding for ICF-DDs is 50 percent Federal Financial Participation (FFP) and 50 percent General Fund (GF) for Day Treatment and Transportation Services for consumers residing in an ICF-DD. It is assumed that 75 percent of this funding is for Day Programs and the remaining 25 percent for Transportation services. This funding is reflected under Purchase of Services for Day Programs and Transportation.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO YEAR CHANGE:

Reflects updated Regional Center POS expenditures.

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$113,500,000	\$111,892,000	-\$1,608,000	\$111,892,000	\$0	-\$1,608,000
FFP	56,751,000	55,947,000	-804,000	55,947,000	0	-804,000
GF Match	56,749,000	55,945,000	-804,000	55,945,000	0	-804,000
Day Programs	\$84,945,000	\$82,561,000	-\$2,384,000	\$82,561,000	\$0	-\$2,384,000
FFP	42,473,000	41,281,000	-1,192,000	41,281,000	0	-1,192,000
GF Match	42,472,000	41,280,000	-1,192,000	41,280,000	0	-1,192,000
Transportation	\$28,555,000	\$29,331,000	\$776,000	\$29,331,000	\$0	\$776,000
FFP	14,278,000	14,666,000	388,000	14,666,000	0	388,000
GF Match	14,277,000	14,665,000	388,000	14,665,000	0	388,000

Quality Assurance Fees (Regional Center Costs and Intermediate Care Facility-Developmentally Disabled Vendor Costs)

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for regional centers (RC) billing on behalf of the ICF-DD, administrative costs for the ICF-DD, and Quality Assurance Fees (QAF) the ICF-DD must remit to the Department of Health Care Services (DHCS) for these supplemental payments.

ASSUMPTIONS/METHODOLOGY:

- RC Administration costs are 1.5% of day treatment and transportation expenditures for RC.
- Source of Data: Purchase of Services (POS) Claims Data file, dated September 10, 2014, with actuals through July 2014.
- ICF-DD Administration costs are 1.5% of the cost of day treatment and transportation for both the RC and ICF-DD. ICF-DD Administrative Costs and QAF are set by DHCS.

2014-15: Total billing costs: \$1.7 million for RC administration, \$1.7 million for ICF-DD administration, and \$7.4 million QAF.

- POS costs for day treatment and transportation for 2014-15 totals \$111.9 million. RC and ICF-DD administration costs are each 1.5%. QAF set by DHCS are \$9.1 million.

2015-16: Total billing costs: \$1.7 million for RC administration, \$1.7 million for ICF-DD administration, and \$7.4 million QAF.

- POS costs for day treatment and transportation for 2015-16 totals \$111.9 million. RC and ICF-DD administration costs are each 1.5%. QAF set by DHCS are \$9.1 million.

FUNDING:

Funding for RC Administration fees is 50% FFP and 50% GF and are reflected in ICF-DD Administration Fee in Operations, page E-4.8. QAF is 100% FFP with the GF Match in DHCS Budget, and are reflected in POS, page E-15.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

This estimate reflects the current available expenditure data.

Quality Assurance Fees
(Regional Center Costs and Intermediate Care Facility-Developmentally Disabled Vendor Costs)

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16 2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$10,895,000	\$10,739,000	-\$156,000	\$10,739,000	\$0	-\$156,000
Operations	1,703,000	1,678,000	-25,000	1,678,000	0	-25,000
General Fund	851,000	839,000	-12,000	839,000	0	-12,000
<i>General Fund Match</i>	<i>851,000</i>	<i>839,000</i>	<i>-12,000</i>	<i>839,000</i>	<i>0</i>	<i>-12,000</i>
Reimbursements	852,000	839,000	-13,000	839,000	0	-13,000
<i>FFP (Quality Assurance Fees)</i>	<i>852,000</i>	<i>839,000</i>	<i>-13,000</i>	<i>839,000</i>	<i>0</i>	<i>-13,000</i>
Purchase of Services	9,192,000	9,061,000	-131,000	9,061,000	0	-131,000
<i>QAF Admin Fees (FFP)</i>	<i>4,596,000</i>	<i>4,531,000</i>	<i>-65,000</i>	<i>4,531,000</i>	<i>0</i>	<i>-65,000</i>
<i>Transfer from DHCS (FFP)</i>	<i>4,596,000</i>	<i>4,530,000</i>	<i>-66,000</i>	<i>4,530,000</i>	<i>0</i>	<i>-66,000</i>

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16 2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$10,895,000	\$10,739,000	-\$156,000	\$10,739,000	\$0	-\$156,000
FFP	10,044,000	9,900,000	-144,000	9,900,000	0	-144,000
GF Match	851,000	839,000	-12,000	839,000	0	-12,000

1915(i) State Plan Amendment

DESCRIPTION:

Section 6086 of the Deficit Reduction Act of 2005, (Public Law 109-171) established an optional Medicaid benefit giving states a new method for covering Home and Community-Based (HCBS) services for Medicaid beneficiaries beginning in January 2007. To date only a few other states have exercised this option for mental health benefits delivery. The Department of Developmental Services (DDS) in a joint effort with the Department of Health Care Services, submitted a 1915(i) State Plan Amendment (SPA) to be effective October 2009 to cover habilitation, respite, and other services allowable under a 1915(i) SPA. Subsequent changes to federal law have allowed DDS to seek further expansion of the services covered under the 1915(i) SPA. The initial SPA was approved April 25, 2013 with an effective date of October 1, 2009. DDS continues to work with DHCS and the Centers for Medicare and Medicaid Services to finalize the remaining components of the SPA. On February 19, 2014, CMS approved the portion of the 1915(i) SPA that expands the scope of eligible services to include services relating to hearing and language, dental, optometric/optician, psychology, non-medical transportation, and transition/set-up retroactive to October 2011.

ASSUMPTIONS:

Expenditure Data Source: Purchase of Services (POS) Claims Data file, dated October 1, 2014 with actuals through June 2014.

FUNDING:

1915(i) SPA funds a broad array of purchase of service (POS) costs for eligible individuals. The 1915(i) SPA expenditures are in all of the POS budget categories except for Medical Facilities. 1915(i) SPA expenditures are funded by federal financial participation (FFP) determined by the Federal Medical Assistance Percentage (FMAP) of 50% and 50% General Fund (GF).

CHANGE FROM PRIOR ESTIMATE:

Reflects updated Regional Center POS expenditures

REASON FOR YEAR-TO-YEAR CHANGE:

Reflects AB 10 Minimum Wage Increase in 2014-15 and 2015-16, Federal Labor Regulations in 2014-15, and AB 1522 Employment: Paid Sick Days in 2015-16.

METHODOLOGY:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> ● Base: Actual Fiscal Year 2013-14 expenditures were used to develop the 2014-15 base. For 2015-16, the prior-year estimate for base and growth, with the following adjustments, was used as the base: 	\$355,896,000	\$373,205,000	\$17,309,000	\$373,206,000	\$1,000	\$17,310,000
<ul style="list-style-type: none"> ● Updated Costs without CPP/Prior Year Estimate 	334,440,000	350,803,000	16,363,000	350,803,000	0	16,363,000
<ul style="list-style-type: none"> ● AB 10 Minimum Wage Increase (July 1, 2014) 	18,566,000	18,566,000	0	18,566,000	0	0
<ul style="list-style-type: none"> ● Federal Labor Regulations (January 1, 2015) - Half Year 	2,890,000	3,836,000	946,000	-3,836,000	-7,672,000	-6,726,000
<ul style="list-style-type: none"> ● Federal Labor Regulations (January 1, 2015) - Full Year 	0	0	0	7,673,000	7,673,000	7,673,000

1915(i) State Plan Amendment

EXPENDITURES:		Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16	2015-16
						Over 2014-15	Over Enacted Budget
TOTAL EXPENDITURES		\$355,896,000	\$373,205,000	\$17,309,000	\$385,721,000	\$12,516,000	\$29,825,000
	<i>GF Match</i>	177,948,000	186,602,000	8,654,000	192,860,000	6,258,000	14,912,000
	<i>FFP</i>	177,948,000	186,603,000	8,655,000	192,861,000	6,258,000	14,913,000

Estimated Distribution in Purchase of Services

EXPENDITURES:		% of Expenditures by Budget Category 2014-15 & 2015-16	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16	2015-16	
							Over 2014-15	Over Enacted Budget	
TOTAL EXPENDITURES			\$355,896,000	\$373,205,000	\$17,309,000	\$385,721,000	\$12,516,000	\$29,825,000	
	<i>GF Match</i>		177,948,000	186,602,000	8,654,000	192,860,000	6,258,000	14,912,000	
	<i>FFP</i>		177,948,000	186,603,000	8,655,000	192,861,000	6,258,000	14,913,000	
	Community Care Facilities	14.54%	14.54%	\$64,094,000	\$59,672,000	-\$4,422,000	\$54,262,000	-\$5,410,000	-\$9,832,000
	<i>GF Match</i>			32,047,000	29,836,000	-2,211,000	27,131,000	-2,705,000	-4,916,000
	<i>FFP</i>			32,047,000	29,836,000	-2,211,000	27,131,000	-2,705,000	-4,916,000
	Day Programs	23.46%	23.46%	\$84,272,000	\$89,445,000	\$5,173,000	\$87,539,000	-\$1,906,000	\$3,267,000
	<i>GF Match</i>			42,136,000	44,723,000	2,587,000	43,770,000	-953,000	1,634,000
	<i>FFP</i>			42,136,000	44,722,000	2,586,000	43,769,000	-953,000	1,633,000
	Habilitation	12.80%	12.80%	\$43,693,000	\$45,143,000	\$1,450,000	\$47,764,000	\$2,621,000	\$4,071,000
	<i>GF Match</i>			21,846,000	22,571,000	725,000	23,882,000	1,311,000	2,036,000
	<i>FFP</i>			21,847,000	22,572,000	725,000	23,882,000	1,310,000	2,035,000
	Transportation	7.25%	7.25%	\$24,565,000	\$25,666,000	\$1,101,000	\$27,062,000	\$1,396,000	\$2,497,000
	<i>GF Match</i>			12,283,000	12,833,000	550,000	13,531,000	698,000	1,248,000
	<i>FFP</i>			12,282,000	12,833,000	551,000	13,531,000	698,000	1,249,000

1915(i) State Plan Amendment

Estimated Distribution in Purchase of Services

EXPENDITURES:	% of Expenditures by Budget Category 2014-15 & 2015-16		Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
	Support Services	23.20%	23.20%	\$80,034,000	\$86,746,000	\$6,712,000	\$86,581,000	-\$165,000
<i>GF Match</i>			40,017,000	43,373,000	3,356,000	43,291,000	-82,000	3,274,000
<i>FFP</i>			40,017,000	43,373,000	3,356,000	43,290,000	-83,000	3,273,000
In-Home Respite	5.41%	5.41%	\$18,890,000	\$19,716,000	\$826,000	\$20,201,000	\$485,000	\$1,311,000
<i>GF Match</i>			9,445,000	9,858,000	413,000	10,100,000	242,000	655,000
<i>FFP</i>			9,445,000	9,858,000	413,000	10,101,000	243,000	656,000
Out-of-Home Respite	0.57%	0.57%	\$3,522,000	\$2,027,000	-\$1,495,000	\$2,146,000	\$119,000	-\$1,376,000
<i>GF Match</i>			1,761,000	1,013,000	-748,000	1,073,000	60,000	-688,000
<i>FFP</i>			1,761,000	1,014,000	-747,000	1,073,000	59,000	-688,000
Health Care	2.83%	2.83%	\$8,311,000	\$9,928,000	\$1,617,000	\$10,562,000	\$634,000	\$2,251,000
<i>GF Match</i>			4,155,000	4,964,000	809,000	5,281,000	317,000	1,126,000
<i>FFP</i>			4,156,000	4,964,000	808,000	5,281,000	317,000	1,125,000
Miscellaneous	9.94%	9.94%	\$28,515,000	\$34,862,000	\$6,347,000	\$37,089,000	\$2,227,000	\$8,574,000
<i>GF Match</i>			14,258,000	17,431,000	3,173,000	18,544,000	1,113,000	4,286,000
<i>FFP</i>			14,257,000	17,431,000	3,174,000	18,545,000	1,114,000	4,288,000
AB 10 Minimum Wage Increase (January 1, 2016)						\$10,561,000	10,561,000	10,561,000
<i>GF Match</i>						5,280,000	5,280,000	5,280,000
<i>FFP</i>						5,281,000	5,281,000	5,281,000
AB 1522 Employment: Paid Sick Days						\$2,204,000	\$2,204,000	\$2,204,000
<i>GF Match</i>						1,102,000	1,102,000	1,102,000
<i>FFP</i>						1,102,000	1,102,000	1,102,000
Impacts from Other Departments, DHCS - Behavioral Health Treatment						-\$250,000	-\$250,000	-\$250,000
<i>GF Match</i>						-125,000	-125,000	-125,000
<i>FFP</i>						-125,000	-125,000	-125,000

Money Follows the Person Grant Operations

DESCRIPTION:

The Department of Health Care Services (DHCS) administers California's Money Follows the Person (MFP) Grant. The federal MFP Grant (also known as California Community Transitions) provides federal funding for eligible services needed to assist consumers in transitioning to the community from institutions.

ASSUMPTIONS:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
The MFP Grant will fund regional center transition coordination activities for the following:						
<ul style="list-style-type: none"> Quality of Life Surveys It is assumed that each regional center will complete three QoL surveys per consumer who has transitioned from a developmental center into the community and whose POS is funded by MFP 	\$27,000	\$23,000	-\$4,000	\$22,000	-\$1,000	-\$5,000
<i>Funding:</i>						
<i>FFP</i>	27,000	23,000	-4,000	22,000	-1,000	-5,000
<i>GF Match</i>	0	0	0	0	0	0
<ul style="list-style-type: none"> Lanterman Developmental Center Closure, see Page E-2.16. 	\$904,000	\$1,606,000	\$702,000	\$0	-\$1,606,000	-\$904,000
<i>Funding:</i>						
<i>FFP</i>	678,000	1,205,000	527,000	0	-1,205,000	-678,000
<i>GF Match</i>	226,000	401,000	175,000	0	-401,000	-226,000
<ul style="list-style-type: none"> Community Placement Plan, Resource Development, see Pages E-17.3 and E-17.5. 	\$5,363,000	\$4,381,000	-\$982,000	\$5,077,000	\$696,000	-\$286,000
<i>Funding:</i>						
<i>FFP</i>	4,022,000	3,286,000	-736,000	3,808,000	522,000	-214,000
<i>GF Match</i>	1,341,000	1,095,000	-246,000	1,269,000	174,000	-72,000

FUNDING:

The MFP Grant reimbursement is 75% federal financial participation (FFP) / 25% General Fund (GF) for specified Centers for Medicare and Medicaid Services (CMS) approved transition coordination activities.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated expenditures for Money Follows the Person Grant.

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$6,294,000	\$6,010,000	-\$284,000	\$5,099,000	-\$911,000	-\$1,195,000
<i>FFP</i>	4,727,000	4,514,000	-213,000	3,830,000	-684,000	-897,000
<i>GF Match</i>	1,567,000	1,496,000	-71,000	1,269,000	-227,000	-298,000

Money Follows the Person Grant Purchase of Services

DESCRIPTION:

The Department of Health Care Services administers California's Money Follows the Person (MFP) Grant. The federal MFP Grant (also known as California Community Transitions) provides federal funding for eligible services needed to assist consumers in transitioning to the community from institutions.

ASSUMPTIONS:

The MFP Grant will fund regional center purchased consumer services as follows:

- Community Placement Plan, Purchase of Services, see Page E-17.3.

Funding:

FFP

GF Match

- Community Care Facilities (transition from Lanterman Developmental Center), see Pages E-5.1 through E-5.3

Funding:

FFP

GF Match

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
	\$6,098,000	\$2,746,000	-\$3,352,000	\$2,788,000	\$42,000	-\$3,310,000
	3,049,000	2,746,000	-303,000	2,788,000	42,000	-261,000
	3,049,000	0	-3,049,000	0	0	-3,049,000
	\$1,028,000	\$1,007,000	-\$21,000	\$0	-\$1,007,000	-\$1,028,000
	514,000	1,007,000	493,000	0	-1,007,000	-514,000
	514,000	0	-514,000	0	0	-514,000

FUNDING:

The MFP Grant reimbursement is 75% federal financial participation (FFP) / 25% General Fund (GF) for specified Centers for Medicare and Medicaid Services approved transition coordination activities.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated expenditures for the Money Follows the Person Grant

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$7,126,000	\$3,753,000	-\$3,373,000	\$2,788,000	-\$965,000	-\$4,338,000
<i>FFP</i>	3,563,000	3,753,000	190,000	2,788,000	-965,000	-775,000
<i>GF Match</i>	3,563,000	0	-3,563,000	0	0	-3,563,000

**Money Follows the Person Grant
Total**

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$13,420,000	\$9,763,000	-\$3,657,000	\$7,887,000	-\$1,876,000	-\$5,533,000
<i>FFP</i>	8,290,000	8,267,000	-23,000	6,618,000	-1,649,000	-1,672,000
<i>GF Match</i>	5,130,000	1,496,000	-3,634,000	1,269,000	-227,000	-3,861,000

Homeland Security Grant Program

DESCRIPTION:

The Homeland Security Grant Program (HSGP), administered by the California Emergency Management Agency, is comprised of five interconnected grants that support the implementation of State Homeland Security Strategies to address the identified planning, organization, equipment, training, and exercise needs to prevent, protect against, respond to, and recover from acts of terrorism and other catastrophic events.

ASSUMPTIONS/METHODOLOGY:

The Department of Development Services' (DDS) portion of the HSGP award is determined by the U.S. Department of Homeland Security Federal Emergency Management Agency and will fund equipment, training, and exercise needs to prevent, protect against, respond to, and recover from acts of terrorism and other catastrophic events at specific regional centers (RC's).

FUNDING:

The HSGP award is 100% federal funds and is reflected under RC Operations, Projects.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

No Change

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Grant Amount Allocation:	\$411,000	\$411,000	\$0	\$411,000	\$0	\$0

Early Periodic Screening Diagnosis and Treatment

DESCRIPTION:

Early Periodic Screening, Diagnosis and Treatment (EPSDT) is a Medicaid (Medi-Cal) benefit for individuals under the age of 21 who have full-scope Medi-Cal eligibility. In addition to the regular Medi-Cal benefits, a beneficiary may receive EPSDT Supplemental Services. The Department of Developmental Services has submitted a State Plan Amendment (SPA) that will cover some regional center funded services that are not eligible for federal reimbursement under other Medicaid funded programs.

ASSUMPTIONS:

Estimates based on actual expenditures for the period of July 2013 through June 2014, with an implementation date of October 1, 2012.

FUNDING:

Funding for EPSDT services is 50% Federal Financial Participation (FFP) and 50% General Fund (GF).

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects updated expenditures

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL EXPENDITURES	\$41,610,000	\$50,576,000	\$8,966,000	\$52,548,000	\$1,972,000	\$10,938,000
<i>GF Match</i>	<i>20,805,000</i>	<i>25,288,000</i>	<i>4,483,000</i>	<i>26,274,000</i>	<i>986,000</i>	<i>5,469,000</i>
<i>FFP</i>	<i>20,805,000</i>	<i>25,288,000</i>	<i>4,483,000</i>	<i>26,274,000</i>	<i>986,000</i>	<i>5,469,000</i>

Early Periodic Screening Diagnosis and Treatment

Estimated Distribution in Purchase of Services

EXPENDITURES:	% of Expend. by Budget Category 2013-14 & 2014-15	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL EXPENDITURES		\$41,610,000	\$50,576,000	\$8,966,000	\$52,548,000	\$1,972,000	\$10,938,000
GF Match		20,805,000	25,288,000	4,483,000	26,274,000	986,000	5,469,000
FFP		20,805,000	25,288,000	4,483,000	26,274,000	986,000	5,469,000
Day Programs	100.00%	\$41,610,000	\$50,576,000	\$8,966,000	\$52,548,000	\$1,972,000	\$10,938,000
GF Match		20,805,000	25,288,000	4,483,000	26,274,000	986,000	5,469,000
FFP		20,805,000	25,288,000	4,483,000	26,274,000	986,000	5,469,000

Race to the Top

DESCRIPTION:

The Race to the Top -- Early Learning Challenge focuses on improving California early learning and development programs and increases access to high-quality programs for high-needs children, birth to five years. As a participating state agency, the Department of Developmental Services (DDS) will facilitate and provide leadership on interagency coordination across childhood initiatives; participate with statewide efforts; and, coordinate best practices in developmental and health screening at the local level.

ASSUMPTIONS:

DDS via interagency agreement with the Department of Education (CDE) will receive a federal grant totaling \$1,000,000 over 3-1/2 years (July 1, 2012 - December 31, 2015). Grant award funds will be allocated as follows:

2012-13:	\$44,000
2013-14:	\$472,000
2014-15:	\$341,000
2015-16:	\$143,000

FUNDING:

Funding for Race to the Top is a federal grant received via an interagency agreement with CDE.

CHANGE FROM PRIOR ESTIMATE AND YEAR-TO-YEAR CHANGE:

Reflects final year of the grant award period

EXPENDITURES:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Grant Allocation	\$341,000	\$341,000	\$0	\$143,000	-\$198,000	-\$198,000

Program Development Fund/Parental Fees

DESCRIPTION:

Parents of children under the age of 18 years who receive 24-hour out-of-home services provided by the State or purchased with State funds through a regional center are required to pay a fee depending on their ability to pay. Parents of children under the age of 18 years who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400 percent of the federal poverty level are required to pay an annual fee. The purpose of the Program Development Fund (PDF) is to provide resources needed to initiate new programs which are consistent with the State Plan (Welfare and Institutions Code Sections 4677, 4782, and 4785).

ASSUMPTIONS/METHODOLOGY:

PDF amounts are based on the current information regarding projected revenues.

FUNDING:

Expenditure of PDF funds is reflected in the Purchase of Services, Miscellaneous Services estimate.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

The 2014-15 Enacted Budget inadvertently carried forward approximately \$900,000 in one time recoveries for past due fees from 2011-12 and 2012-13, already budgeted for in 2013-14, updated population and payment information.

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Parental Fees	\$1,905,000	\$1,905,000	\$0	\$1,905,000	\$0	\$0
Annual Family Program Fees	3,903,000	2,166,000	-1,737,000	2,198,000	32,000	-1,705,000
TOTAL	\$5,808,000	\$4,071,000	-\$1,737,000	\$4,103,000	\$32,000	-\$1,705,000

Developmental Disabilities Services Account

DESCRIPTION:

The Developmental Disabilities Services Account is used as a depository for application fees collected by the Department of Developmental Services (DDS) for conducting the review and approval of housing proposals pursuant to Senate Bill (SB) 1175 (Chapter 617, Statutes of 2008).

ASSUMPTIONS:

DDS expects to receive housing proposals totaling \$150,000 in application fees.

FUNDING:

These costs are reflected in the Review of SB 1175 Housing Proposals, under Operations, Projects.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

No change

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$150,000	\$150,000	\$0	\$150,000	\$0	\$0

Mental Health Services Fund

DESCRIPTION:

Initiative Statute (Proposition 63) imposes an additional tax on taxable income over \$1 million to provide funds to counties to expand services and develop innovative programs and integrated service plans for mentally ill children, adults and seniors. Consistent with the requirements of the Mental Health Services Act (MHSA - Proposition 63), the Department of Developmental Services, in consultation with the California Department of Health Care Services, identifies best practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness).

ASSUMPTIONS/METHODOLOGY:

Funding will be used to implement services and trainings to more effectively address consumers who are dually diagnosed. Specifically, these funds will provide the following ongoing components at the local level:

METHODOLOGY:	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
• Regional Best Practice Training	\$675,000	\$654,000	-\$21,000	\$740,000	\$86,000	\$65,000
• Regional Center Technical Assistance and Liaison Support	65,000	86,000	21,000	0	-86,000	-65,000
• TOTAL EXPENDITURES	<u>\$740,000</u>	<u>\$740,000</u>	<u>\$0</u>	<u>\$740,000</u>	<u>\$0</u>	<u>\$0</u>

FUNDING:

These funds are reflected in the Increased Access to Mental Health Services estimate, under Regional Center Operations, Projects.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

No change

EXPENDITURES:

TOTAL	\$740,000	\$740,000	\$0	\$740,000	\$0	\$0
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Early Start Part C Grant, Federal Funds

DESCRIPTION:

Part C of the federal Individuals with Disabilities Education Act (IDEA) provides federal grant funding for states to develop and operate early intervention programs for families and their children with developmental delays, disabilities, or conditions, which place them at high risk of disabilities from birth to under age 3 years. The program, known as Early Start in California, is administered according to Title 34 of the Code of Federal Regulations, Sections 303.1 through 303.654. The program is also administered according to the California Early Intervention Services Act and State regulations found in Title 17 of the California Code of Regulations, Sections 52000 through 52175. California has designated the Department of Developmental Services (DDS) to act as its lead agency for preparing the annual grant application and for receiving and administering the federal funds. DDS allocates a significant portion of the federal funding to regional centers (RC) for local program operation. Also, DDS has an interagency agreement with California Department of Education (CDE) to provide funding for local education agency programs and services, in accordance with the California Early Intervention Services Act, contained in Title 14 of the Government Code (GC), Sections 95000 through 95029.

KEY DATA/ASSUMPTIONS:

Federal Office of Special Education Programs (OSEP) grant award letter Part C Allocation Table dated July 1, 2014.

METHODOLOGY:

The Part C IDEA Grant funds are used to pay costs for the additional federal requirements imposed by the Part C program. Funds are disbursed in this order (1) other agencies, and (2) RC Purchase of Services (POS). Services and costs for this age group are identified below. Costs for POS expenditures are already included in the forecasts for each of the POS budget categories, in the POS section of the estimate.

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
<ul style="list-style-type: none"> • Other Agencies 	\$19,109,000	\$19,109,000	\$0	\$19,109,000	\$0	\$0
<ul style="list-style-type: none"> • CDE: Additional federal requirements include shorter time lines for conducting evaluation, assessment and program plan development, provision of year-round services, service coordination and administrative services, and provision of services to children with solely low incidence disabilities in regions where such services to this age group were not provided prior to Part C implementation. 						
<i>Local Education Agencies</i>	14,245,000	14,245,000	0	14,245,000	0	0
<i>Support</i>	355,000	355,000	0	355,000	0	0

Early Start Part C Grant, Federal Funds

	Enacted Budget	Updated 2014-15	2013-14 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
METHODOLOGY (continued):						
• System Requirements: Funding is required for public awareness and a comprehensive system of personnel development, mediation and due process hearings conducted by the State Office of Administrative Hearings, and collaboration with Department of Health Care Services' Children's Medical Services.	1,850,000	1,850,000	0	1,850,000	0	0
• Family Resource Centers (FRC): Funds pay for services that are provided by 33 contractors. Services, which are specified in GC 95024(d)(2), include parent-to-parent support, information dissemination, public awareness and family professional collaboration activities.	2,659,000	2,659,000	0	2,659,000	0	0
• RC POS The remaining Part C Grant funds, after funding CDE and FRC system requirements, are used for POS. The following estimates are based on the proportion of total POS expenditures in 2012-13 by budget category.	\$32,545,000	\$47,350,000	\$14,805,000	\$31,932,000	-\$15,418,000	-\$613,000
Day Programs	18,736,000	26,999,000	8,263,000	18,123,000	-8,876,000	-613,000
Support Services	512,000	755,000	243,000	512,000	-243,000	0
In-Home Respite	182,000	268,000	86,000	182,000	-86,000	0
Out-of-Home Respite	128,000	189,000	61,000	128,000	-61,000	0
Health Care	2,808,000	4,138,000	1,330,000	2,808,000	-1,330,000	0
Miscellaneous Services	10,179,000	15,001,000	4,822,000	10,179,000	-4,822,000	0
• TOTAL EXPENDITURES	\$51,654,000	\$66,459,000	\$14,805,000	\$51,041,000	-\$15,418,000	-\$613,000

Early Start Part C Grant, Federal Funds

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

Reflects \$14.8 million in one-time carryover funds from prior years that is available for expenditure in 2014-15

FUNDING:

The annual Part C Grant is independently determined by OSEP. It is 100% federal funds and requires a Maintenance of Effort from the state.

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
TOTAL	\$51,654,000	\$66,459,000	\$14,805,000	\$51,041,000	-\$15,418,000	-\$613,000
Grant	51,654,000	66,459,000	14,805,000	51,041,000	-15,418,000	-613,000

Foster Grandparent Program

DESCRIPTION:

The Foster Grandparent Program (FGP) is a federal grant gives men and women, 55 years of age and older, the opportunity to serve their community by sharing their time and attention with children under the age of 22 years who have developmental disabilities. Foster grandparents volunteer in community schools, developmental centers, Head Start centers, foster homes and pre-schools (see Regional Center (RC) Operations, Projects, for more detail on the total RC costs for this program).

ASSUMPTIONS/METHODOLOGY:

The FGP grant is used as a fund source for specified RC operations costs in five RC's: Valley Mountain, Tri-Counties, Kern, Central Valley and San Andreas.

FUNDING:

These federal funds are reflected in the Foster Grandparent/Senior Companion Programs estimate, under RC Operations, Projects.

CHANGE FROM PRIOR ESTIMATE:

Reflects updated information on grant amount.

REASON FOR YEAR-TO-YEAR CHANGE:

Reflects the transfer of funding from the Developmental Centers Program to the community.

EXPENDITURES:

	Enacted Budget	Updated 2014-15	2014-15 Request	2015-16	2015-16 Over 2014-15	2015-16 Over Enacted Budget
Grant Amount Allocation :	\$713,000	\$713,000	\$0	\$812,000	\$99,000	\$99,000

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SECTION F: IMPACT FROM OTHER DEPARTMENTS

IMPACT FROM OTHER DEPARTMENTS F-1

Impact from Other Departments Department of Health Care Services-Behavioral Health Treatment

DESCRIPTION:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code, Section 14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services to the extent it is required by the federal government to be covered by Medi-Cal for individuals less than 21 years of age. This program ensures that children with autism will receive treatment so they do not become life-long dependents on government support. Once implemented, the retroactive date of this new service will be July 1, 2014.

On September 30, 2014, DHCS submitted a State Plan Amendment to CMS seeking approval to add BHT services as a Medi-Cal benefit for individuals under the age of 21 with an Autism Spectrum Disorder diagnosis. The policy change directly impacts DDS. It is estimated that 7,700 individuals currently receiving BHT services through the regional centers may be eligible to receive these services under the proposed Medi-Cal benefit.

Consistent with DHCS' interim policy guidance issued on September 15, 2014, all individuals receiving BHT services on September 14, 2014, through the regional centers will continue to receive those services through the regional centers until such time that DHCS and DDS develop a plan for transition. While the development of this plan for transition is under way, the timing of when this transition will begin has not yet been determined. As a result, the fiscal impact for DDS is unknown at this time. New DDS consumers who are eligible for Medi-Cal and receiving BHT in 2015-16 would receive those services through DHCS.

ASSUMPTIONS:

Savings were estimated based on the number of new consumers and the projected average cost of services in 2015-16.

METHODOLOGY:

2015-16

TOTAL EXPENDITURES:

-\$2,083,000

FUNDING:

Expenditures for BHT are assumed to be funded by the General Fund (GF), the Home and Community-Based Services (HCBS) Waiver and the 1915(i) with 50% Federal Financial Participation and 50% GF.

CHANGE FROM PRIOR ESTIMATE:

This is a new major assumption.

TOTAL	-\$2,083,000
GF	-\$1,041,000
<i>GF Match</i>	<i>-1,041,000</i>
<i>GF Other</i>	<i>0</i>
Reimbursements	-\$1,042,000
<i>HCBS Waiver</i>	<i>-917,000</i>
<i>1915(i)</i>	<i>-125,000</i>

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SECTION G: AUXILIARY TABLE

ASSEMBLY BILL (AB)

AB 10 Operations	G-1
AB 10 Purchase of Services.....	G-2
AB 1522 Employment: Paid Sick Days.....	G-3

**Assembly Bill (AB) 10, Minimum Wage Increase
Operations**

DESCRIPTION:

AB 10, Chapter 351, Statutes of 2013 increases the minimum wage after January 1, 2016, from \$9 per hour to \$10 per hour. This minimum wage increase will apply to positions in Core Staffing that are budgeted at minimum wage. The positions that requires additional funding are Account Clerks and Secretary I.

ASSUMPTIONS:

Cost were estimated based on the budgeted salary for Account Clerks and Secretary I (see page E-2.8 Attachment A Operations).

METHODOLOGY:

TOTAL EXPENDITURES

2015-16

\$1,872,000

FUNDING:

Expenditures for the minimum wage increase are funded by Targeted Case Management (TCM) and General Fund (GF) with 50% Federal Financial Participation (FFP) and 50% GF. The State GF Other portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

This is a new estimate.

EXPENDITURES:

	2015-16
TOTAL	\$1,872,000
GF	\$1,610,000
<i>GF Match</i>	<i>262,000</i>
<i>GF Other</i>	<i>1,348,000</i>
Reimbursements	\$262,000
<i>TCM</i>	<i>262,000</i>

Assembly Bill (AB) 10, Minimum Wage Increase Purchase of Services

DESCRIPTION:

AB 10, Chapter 351, Statutes of 2013 increases the minimum wage, on and after July 1, 2014, from \$8 per hour to \$9 per hour. This bill further increases the minimum wage, on and after January 1, 2016, from \$9 per hour to \$10 per hour. This minimum wage increase will apply to the services which rely on employees that are paid minimum wage. The services which require additional funding are community care facilities (CCFs), day program services, habilitation services, respite services, supported living services (SLS) and transportation.

ASSUMPTIONS:

Costs to the following programs were estimated based on actual expenditures and include mandated employer costs for Social Security, Medicare, Federal Unemployment, State Unemployment, and Worker's Compensation.

METHODOLOGY:

	2015-16
<i>Community Care Facilities</i>	\$29,157,000
<i>Day Program Services</i>	\$18,118,000
<i>Habilitation Services</i>	\$1,678,000
<i>Transportation</i>	\$2,765,000
<i>Support Services</i>	\$6,967,000
<i>In-Home Respite</i>	\$3,620,000
<i>Out-of-Home Respite</i>	\$52,000
 TOTAL EXPENDITURES	 \$62,357,000

FUNDING:

Expenditures from the minimum wage increases are assumed to be funded by the General Fund (GF), the Home and Community-Based Services (HCBS) Waiver and 1915(i) with 50% Federal Financial Participation (FFP) and 50% GF. The State GF Other portion is that which is non-FFP.

CHANGE FROM PRIOR ESTIMATE:

This is a new major assumption with a minimum wage increase effective January 1, 2016.

EXPENDITURES:

	2015-16
TOTAL	\$62,357,000
GF	\$35,042,000
<i>GF Match</i>	27,314,000
<i>GF Other</i>	7,728,000
Reimbursements	\$27,315,000
<i>HCBS Waiver</i>	22,034,000
<i>1915 (i)</i>	5,281,000

Assembly Bill (AB) 1522 Employment: Paid Sick Days

DESCRIPTION:

AB 1522, Chapter 317, Statutes of 2014, Sick Leave Bill enacts the Healthy Workplaces, Healthy Families Act of 2014. Pursuant to AB 1522, after July 1, 2015, an employee who works in California for 30 days or more in a calendar year, is entitled to paid sick days that will accrue at a rate of no less than one hour for every 30 hours worked, and may be used beginning on the 90th calendar day of employment. Employers may limit the use of an employee's sick leave to 24 hours or 3 days in each calendar year.

ASSUMPTIONS:

Costs to the following programs were estimated using the projected number of employees providing consumer services that have no sick leave and assume 24 hours (3 days) of paid sick leave.

METHODOLOGY:

	2015-16
• Community Care Facilities	10,903,000
• Medical Facilities	29,000
• Day Programs	5,143,000
• Habilitation Services Program	647,000
• Transportation	107,000
• Support Services	3,520,000
• In-Home Respite	3,938,000
• Out-of-Home Respite	173,000
• Miscellaneous	864,000
TOTAL EXPENDITURES:	\$25,324,000

FUNDING:

Expenditures from AB 1522 are assumed to be funded by the General Fund (GF), the Home and Community-Based Services (HCBS) Waiver and the 1915(i) with 50% Federal Financial Participation (FF) and 50% GF. The State GF Other portion that which is non-FF).

CHANGE FROM PRIOR ESTIMATE AND REASON FOR YEAR-TO-YEAR CHANGE:

This is a new major assumption.

EXPENDITURES:

	2015-16
TOTAL	\$25,324,000
GF	\$16,174,000
<i>GF Match</i>	<i>9,150,000</i>
<i>GF Other</i>	<i>7,024,000</i>
Reimbursements	\$9,150,000
<i>HCBS Waiver</i>	<i>8,048,000</i>
<i>1915(i)</i>	<i>1,102,000</i>